Length-based assessment of sustainability benchmarks for coral reef fishes in Puerto Rico

Jerald S. Ault, Steven G. Smith, Jiangang Luo, Mark E. Monaco, and Richard S. Appeldoorn

SEDAR46-RD-07

February 2016



Length-based assessment of sustainability benchmarks for coral reef fishes in Puerto Rico

JERALD S. AULT^{*,1}, STEVEN G. SMITH¹, JIANGANG LUO¹, MARK E. MONACO² AND RICHARD S. APPELDOORN³

¹University of Miami, Rosenstiel School of Marine and Atmospheric Science, 4600 Rickenbacker Causeway, Miami, Florida 33149, USA ²NOAA National Ocean Service Biogeography Branch, 1305 East West Highway, Silver Spring, Maryland 20910, USA ³University of Puerto Rico, Department of Marine Sciences, Mayaguez, Puerto Rico USA

Date submitted: 17 December 2007; Date accepted: 3 September 2008

SUMMARY

The sustainability of multispecies coral reef fisheries is a key conservation concern given their economic and ecological importance. Empirical estimation and numerical model analyses were conducted to evaluate exploitation status via resource reference points (or sustainability benchmarks) for coral reef fishes of the snapper-grouper complex in Puerto Rico. Mean size $(\bar{L}, \text{ in length})$ of animals in the exploited part of the population was estimated from fishery-dependent and fishery-independent size composition data and used as an indicator variable of exploitation rates. In application, fishing mortality rates estimated from L of various data sources were comparable. Of the 25 reef fish species assessed, 16 were below 30% spawning potential ratio (SPR), six were above 30% SPR, and three could not be reliably determined owing to low sample sizes. These findings indicate that a majority of snapper-grouper species in Puerto Rico are currently fished at unsustainable levels.

Keymords: average size, coral-reef fish, fishery exploitation, Puerto Rico

INTRODUCTION

The sustainability of multispecies coral reef fisheries is a key conservation concern given their economic and ecological importance, the significant dependence of subsistence and artisanal fishers on reef fisheries for their livelihoods, and the considerable and growing threats to coral reef habitats (i.e. coral bleaching and disease, pollution and climate change). Sustainability refers to the ability of an exploited stock to produce goods and services, including yields at suitable levels in the short term, while maintaining sufficient stock reproductive capacity to continue providing these goods and services into the indefinite future (Walters & Martell 2004). Intensive exploitation and overfishing is perhaps the major threat to these ecosystems (Russ 1991; Haedrich & Barnes 1997; Ault *et al.* 1998, 2005*a*). However, insufficient and poor

quality data and lack of an appropriate modelling framework have prevented sophisticated evaluations of the sustainability of reef fisheries. Generally lacking are the data needed to conduct modern stock assessments, including demographic rates and historical time-series of age-size structured catches by species, and the associated fishing effort by gear and sector (Quinn & Deriso 1999; Haddon 2001; Quinn 2003).

The coral reef fisheries of the Puerto Rico archipelago are a case in point. The reef ecosystem, inhabited by hundreds of reef fishes and macroinvertebrates, supports multimillion-dollar fishing and tourism industries (NOAA [National Oceanic and Atmospheric Administration] 2006). The reef fishery focuses on the 'snapper-grouper' complex consisting of > 50 species of mostly groupers (Serranidae) and snappers (Lutjanidae), but also grunts (Haemulidae), wrasses (Labridae), jacks (Carangidae), porgies (Sparidae), parrotfishes (Scaridae) and triggerfishes (Balistidae), which use a range of inshore to offshore habitats and oceanographic features over their life spans (Appeldoorn et al. 1997, 2003; Ault et al. 2005a; Monaco et al. 2005; Pittman et al. 2007). The latest fishery census reported 1163 commercial fishers. 200 000 resident and 40 000 non-resident recreational fishers, and a total of 60 000 registered boats (Matos-Caraballo 2004). Between 2001 and 2003, reef-associated fisheries accounted for > 91% of the total commercial landings in Puerto Rico, capturing an average of 1393 t of reef fish and shellfish per year (Garcia-Sais et al. 2005). Between 2000 and 2002, total recreational catches ranged from 1089 to 2087 t (Lilystrom & Hoffmaster 2002). While the quality and scope of reef fishery catch-effort data have generally improved over the past two decades for the commercial fleet, comparable data from the recreational fleet are not available prior to 2000. Also important are the exports of > 100 species of reef fishes and > 110 species of invertebrates for the aquarium trade that began in the early 1970s, but these are poorly quantified. A concern is that substantial reductions in commercial reef fish catches over the past several decades have been observed (Appeldoorn et al. 1992; Matos-Caraballo 2004; Garcia-Sais et al. 2005; see also Caribbean Fishery Management Council URL http://www.caribbeanfmc.com) that have resulted in harvest moratoria on several species (for example Nassau grouper, Epinephelus striatus, and goliath grouper, Epinephelus itajara), but the status of sustainability for these important multispecies fisheries is unclear.

^{*}Correspondence: Dr Jerald Ault Tel: +1 305 421 4884 Fax: +1 305 421 4791 e-mail: jault@rsmas.miami.edu

The most recent assessment for any reef species in Puerto Rico (Acosta & Appeldoorn 1992) attempted to maximize proximal fishery yields without clear consideration of risks to stock reproductive potential or longer-term sustainability, an approach common to that era. However, over the past decade, requirements for fishery assessment and management in the USA have moved towards a more precautionary approach that strives to 'prevent overfishing while achieving, on a continuing basis, the optimal yield from each fishery for the United States fishing industry' (MSFCMA [Magnuson-Stevens Fishery Conservation and Management Act], Restrepo et al. 1998). Under this legal framework, determination of the sustainability of a fishery must also consider relevant socioeconomic and ecological factors, particularly whether fishing could deleteriously impact the reproductive capacity of the resource. This new process involves regulation of fishing mortality rate, over which management has some direct control, and how it should change depending upon stock reproductive potential and associated fishery yields (Restrepo & Powers 1999; Fenichel et al. 2008). The data limited situations confronting most coral reef fisheries, including those of Puerto Rico, have hampered application of modern stock assessment techniques that meet the legal mandate of the MSFCMA.

In this paper we employ length-based assessment methods developed for Florida reef fishes (Ault *et al.* 1998, 2005*a*, *b*) to quantify the reef-fish community response to exploitation in Puerto Rico. This approach is novel in that it has relatively simple data requirements and provides a community-level perspective on exploitation effects, yet also enables evaluation of stock-specific sustainability that conforms to the legal requirements of the MSFCMA. The principal data used in the assessment were abundance at size for 25 species from the exploited snapper-grouper complex sampled by both fisheryindependent and fishery-dependent surveys. The objectives of these analyses were to: (1) estimate stock mortality rates from the length composition data; (2) use the estimated mortality rates and other population-dynamic parameters in a length-structured population model to compute sustainability reference points (benchmarks) for the exploited reef fish community in Puerto Rico; and (3) as a first step in the fishery management process, evaluate the species-specific benchmarks with respect to resource sustainability standards in an exploited fish community context.

METHODS

Study area

The island archipelago of Puerto Rico (18° N, 67.0° W), located in the Greater Antilles chain of islands in the northern Caribbean Sea, has an area of 8.93×10^{6} km², including the main island, and Mona, Monito, Desecheo, Caja de Muertos, Vieques and Culebra Islands, and a series of cays known as Cordillera de Fajardo (Fig. 1).



Figure 1 Map of the northern Caribbean Sea, with inset showing the island archipelago of Puerto Rico and the La Parguera region.

Mortality estimation

Indicators are needed to assess reef fisheries and to support the implementation of an ecosystem approach to fisheries (Jennings 2005; Cury & Christensen 2005). The principal stock assessment indicator variable we used to quantify population status for the community of Puerto Rican reef fishes was average length (\bar{L}) of the exploited part of the population, which is a metabolic-based indicator that is highly correlated with population size (Beverton & Holt 1957; Ricker 1963; Pauly & Morgan 1987; Ehrhardt & Ault 1992; Kerr & Dickie 2001; Jennings *et al.* 2007). For exploited species, \bar{L} directly reflects the rate of fishing mortality through alterations of the population size structure (Beverton & Holt 1957; Quinn & Deriso 1999). Theoretically, \bar{L} at time *t* is expressed as

$$\bar{L}(t) = \frac{F(t) \int\limits_{a_c}^{a_{\Lambda}} N(a, t) L(a, t) da}{F(t) \int\limits_{a_c}^{a_{\Lambda}} N(a, t) da},$$
(1)

where a_c is the minimum age at first capture, a_{λ} the oldest age in the stock, N(a, t) the abundance for age class a, L(a, t) the length at age a and F(t) is the instantaneous fishing mortality rate at time t. In practice, \overline{L} is usually estimated from lengths in the range of length at first capture L_c (or recruitment to the exploited phase of the stock) to the maximum observed length L_{λ} , the length of a fish at a_{λ} . F(t) could also be the viewing power of divers in fishery-independent visual surveys of reef fish populations (Ault *et al.* 1998).

Fisherv-dependent length composition data were obtained from Puerto Rico-wide sampling of commercial and recreational catches, whereas fishery-independent data were from visual surveys conducted in the La Parguera region (Fig. 1). Length composition data (Table 1) for the species considered were used to estimate mean lengths and corresponding variances between lower L_c and upper L_{λ} bounds, applying standard statistical procedures and lower bound estimates of L_c (Table 2). The commercial gears included hook-and-line, fish traps, beach seines, gill nets, trammel nets, cast nets and lobster pots. Recreational fishers principally used hook-and-line and SCUBA gears (Garcia-Sais *et al.* 2005). We set L_c to correspond to the lower bound of full exploitation for the range of gears used. Non-normality of length observations was corrected by log-transformation to facilitate estimation of \overline{L} .

Using estimates of L in time t, total instantaneous mortality rate $\hat{Z}(t)$ was estimated using the method of Ehrhardt and Ault (1992)

$$\left[\frac{L_{\infty}-L_{\lambda}}{L_{\infty}-L_{c}}\right]^{\frac{Z(t)}{K}} = \frac{\hat{Z}(t)(L_{c}-\bar{L}(t))+K(L_{\infty}-\bar{L}(t))}{\hat{Z}(t)(L_{\lambda}-\bar{L}(t))+(L_{\infty}-\bar{L}(t))}, \quad (2)$$

where K and L_{∞} are parameters of the von Bertalanffy growth equation. Estimates of Z were computed using an

Table 1 Sources of fishery-dependent and fishery-independent length composition data used to estimate mean average length in the exploited phase for Puerto Rican reef fishes (MRFSS = Marine Recreational Fisheries Statistics Survey; TIP = Trip Interview Programme; RVC = National Ocean Service (NOS) Biogeography, Reef-fish Visual Census). Sources: *NOAA Fisheries (URL http://www.sefsc.noaa.gov/), MRFSS (URL http://www.nmfs. noaa.gov/sfa/PartnershipsCommunications/recfish/recfishing. htm), TIP (URL http://www.sefsc.noaa.gov/tip.jsp), NOAA Fisheries of the United States (URL http://www.st.nmfs.noaa. gov/st1/fus/fus06/index.html); **NOAA NOS Biogeography Branch reef fish database (URL http://ccma.nos.noaa.gov/ about/biogeography/).

Database	Period	Comments
Puerto Rican-wide		
MRFSS*	2000-2002	Sport fishing fleet
TIP*	1985-1987	Commercial fishing fleet
TIP*	2000-2002	Commercial fishing fleet
La Parguera region		
RVC**	2000-2005	Fishery-independent

iterative numerical algorithm (computer program LBAR; Ault *et al.* 1996; FAO [Food and Agriculture Organization of the United Nations] 2003). Life history parameters for maximum age, growth and maturity for the reef fish species considered (Table 3) were obtained from the literature syntheses of Ault *et al.* (1998, 2005*b*) and Claro *et al.* (2001).

Numerical population model

We used a stochastic length-based numerical population model (Ault & Olson 1996; Ault *et al.* 1998) to calculate ensemble numbers at given lengths \tilde{N}_{γ} over time for a given cohort γ , generalized as

$$\tilde{N}_{\gamma}(L_{\gamma},t) = \int_{a_{\tau}}^{a_{\lambda}} R_{\gamma}(\tau-a)S(a)\theta(a)P(L|a)\,d\,a\,,\qquad(3)$$

where $R_{\gamma}(\tau - a)$ is cohort recruitment lagged back to birth date, S(a) is survivorship to age a, $\theta(a)$ is a logistic model of sex ratio at age to account for hermaphroditic life histories common to tropical reef fishes, and P(L/a) is the probability of being length L given the fish is age a. This population model simulates the time-transition of recruits to mature adults to maximum size-age using a number of dynamic functions to regulate population birth, growth, and survivorship processes, including fishery harvests (details in Ault *et al.* 1998).

We calibrated the numerical model (Eq. 3) through a consistency check between model estimates of \overline{L} , using \hat{Z} from Eq. (2) as the input, and the $\hat{\overline{L}}$ estimated from data. Additionally, we evaluated the two major components of Z, namely fishing mortality rate F and natural mortality rate M. In this process, we estimated M from lifespan applying the procedure of Alagaraja (1984; *sensu* Hoenig 1983) assuming that 5% of a cohort survives to the maximum age/size, and F was estimated by subtracting M from Z (Ault *et al.* 1998). We used the calibrated model to compute management

		1	1		1		105			
		TIP 1985–1987		TI	P 2000–2002	MR	FSS 2000–2002	NOS RVC 2000–2005		
Species	L _c (mm)	n <u>L (95% CI)</u> (mm)		n <u>L</u> (95% CI) (mm)		n	L (95% CI) (mm)	n	<i>L</i> (95% CI) (mm)	
Groupers (Serranidae)										
Graysby (Cephalopholis cruentata)	200	258	226 (223-229)	113	233 (228-238)	14	238 (216-260)	22	229 (223-235)	
Coney (C. fulvus)	200	5778	233 (232-233)	1030	246 (244-248)	92	236 (231-241)	31	237 (229-245)	
Rock hind (Epinephelus adscensionis)	210	40	334 (310-359)	23	367 (339-397)	20	263 (238-290)	n/o		
Red hind (E. guttatus)	210	4322	285 (283-286)	2784	314 (312-316)	131	289 (278-300)	33	268 (253-284)	
Goliath grouper (E. itajara)	300	16	510 (403-629)	6	1326 (907-1805)	4	895 (470-1394)	n/o		
Red grouper (E. morio)	300	12	399 (353-448)	4	481 (362-611)	n/o		n/o		
Nassau grouper (E. striatus)	300	203	398 (386-410)	40	401 (375-428)	1	765	n/o		
Tiger grouper (Mycteroperca tigris)	300	4	369 (284-460)	89	450 (431-470)	n/o		n/o		
Yellowfin grouper (M. venenosa)	300	63	460 (430-490)	46	544 (495-596)	1	591	n/o		
Snappers (Lutjanidae)										
Mutton snapper (Lutjanus analis)	220	393	395 (384-407)	958	378 (369-386)	30	361 (312-414)	2	275	
Schoolmaster (L. apodus)	220	529	300 (294-305)	478	279 (275-283)	17	279 (256-304)	128	235 (231-240)	
Blackfin snapper (L. buccanella)	220	187	267 (262-273)	253	284 (278-290)	11	257 (235-279)	n/o		
Gray snapper (L. griseus)	230	41	300 (278-322)	29	373 (331-418)	6	255 (230-280)	27	329 (303-356)	
Dog snapper (L. jocu)	230	171	318 (307-329)	153	402 (379-425)	22	345 (299-395)	3	307 (236-383)	
Mahogany snapper (L. mahogani)	230	128	286 (279-293)	133	292 (282-302)	4	280 (173-401)	2	275	
Lane snapper (L. synagris)	170	4037	221 (220-222)	3296	245 (243-246)	72	223 (212-234)	15	193 (177-209)	
Silk snapper (L. vivanus)	190	859	264 (260-267)	5560	279 (278-281)	141	288 (277-300)	n/o		
Yellowtail snapper (Ocyurus chrysurus)	230	3358	283 (281-284)	10 773	308 (307-309)	57	314 (301-328)	41	293 (283-304)	
Vermilion snapper (Rhomboplites aurorubens)	200	2046	219 (218-220)	653	240 (238-243)	41	268 (246-291)	n/o		
Wrasses (Labridae)										
Hogfish (Lachnolaimus maximus)	250	359	343 (335-352)	796	341 (336-345)	3	344 (102-633)	8	322 (281-364)	
Grunts (Haemulidae)										
Porkfish (Anisotremus virginicus)	200	130	231 (227-235)	249	234 (231-238)	4	239 (206-273)	11	229 (220-239)	
Margate (Haemulon album)	220	62	308 (287-330)	48	273 (256-290)	n/o		n/o		
French grunt (H. flavolineatum)	160	1144	183 (182-184)	222	200 (198-203)	13	177 (166-189)	100	175 (175-176)	
White grunt (H. plumieri)	170	11 070	212 (212-213)	5106	227 (226-228)	46	227 (215-239)	55	192 (184-200)	
Bluestriped grunt (H. sciurus)	190	1535	224 (223-225)	1150	231 (229-232)	16	238 (222-255)	64	235 (229-240)	

Table 2 Average size, \bar{L} , and 95% confidence interval (CI) estimates for 25 exploited reef fishes in Puerto Rico. Data sources are identified in Table 1. L_c is the minimum size of first capture (full selection) observed in the fishery-dependent data. The sample size *n* for each \bar{L} calculation is given. n/o = species not observed in a sampling survey.

Table 3 Life-history trait input parameters from literature syntheses of Ault *et al.* (1998, 2005*b*) and Claro *et al.* (2001), and resulting population mortality and sustainability benchmarks estimated from numerical models for exploited Puerto Rican reef fishes (see text for description of parameter estimation methods and symbols used).

	Input parameters								Mortality estimates		Sustainability benchmarks		
Species	a_{λ} (y)	$\frac{K}{(y^{-1})}$	L_{∞} (mm)	а ₀ (у)	W_{∞} (kg)	L _m (mm)	L_{λ} (mm)	Ē (mm)	$\frac{M}{(y^{-1})}$	$\frac{F}{(y^{-1})}$	SPR (%)	F/F_{msy}	B/B _{msy}
Groupers													
Graysby	15	0.130	415	-0.94	1.14	165	362	233	0.130	0.516	24.8	2.58	0.52
Coney	17	0.145	699	-1.08	1.49	185	446	246	0.176	1.252	3.7	7.10	0.11
Rock hind	12	0.167	499	-2.50	2.48	329	455	367	0.250	_	_	_	_
Red hind	17	0.200	471	-2.40	1.75	341	462	314	0.176	0.321	10.7	1.82	0.40
Goliath	37	0.054	2394	-3.62	244.9	979	2126	1326	0.081	_	_	_	_
Red	29	0.160	854	-0.19	9.61	434	846	481	0.103	0.226	13.8	2.19	0.40
Nassau	17	0.153	938	-0.10	11.9	483	869	401	0.176	0.638	3.31	3.62	0.11
Tiger	26	0.110	740	-1.88	6.38	460	705	450	0.115	0.093	32.1	0.81	1.27
Yellowfin	25	0.086	895	0.00	17.7	529	792	544	0.120	0.097	33.7	0.79	1.32
Snappers													
Mutton	29	0.129	939	-0.74	14.06	276	798	378	0.103	0.238	25.6	2.31	0.56
Schoolmaster	12	0.180	570	0.00	3.28	145	504	279	0.250	0.637	18.6	2.55	0.41
Blackfin	9	0.084	730	-2.90	2.41	230	459	284	0.333	0.311	33.8	0.94	0.78
Gray	28	0.136	722	-0.86	5.25	230	596	329	0.107	0.433	6.3	4.05	0.18
Dog	12	0.100	854	-2.00	10.19	229	643	345	0.250	0.193	37.2	0.77	1.28
Mahogany	10	0.097	618	-1.73	3.18	130	419	292	0.300	0.210	64.1	0.70	1.15
Lane	19	0.097	618	-1.73	3.25	206	534	245	0.158	0.323	13.3	2.05	0.43
Silk	9	0.092	781	-2.31	9.28	304	504	279	0.333	0.258	27.2	0.77	0.88
Yellowtail	14	0.170	484	-1.87	1.54	199	451	308	0.214	0.822	9.8	3.84	0.26
Vermilion	14	0.144	650	-0.24	3.40	273	566	240	0.214	1.500	2.0	7.01	0.08
Wrasses													
Hogfish	23	0.080	913	-1.78	14.10	249	786	341	0.130	0.371	9.68	2.85	0.30
Grunts													
Porkfish	7	0.440	397	-0.35	1.72	231	381	234	0.428	1.681	6.2	3.93	0.17
Margate	10	0.174	753	-0.45	8.57	428	630	273	0.300	1.275	2.0	4.25	0.02
French	12	0.179	294	0.00	0.57	177	260	200	0.250	0.110	66.5	0.44	1.58
White	18	0.186	512	-0.78	3.06	180	496	227	0.166	0.763	4.9	4.58	0.14
Bluestriped	8	0.300	413	0.00	1.36	205	375	231	0.375	0.955	15.0	2.55	0.40

benchmarks of stock status to evaluate sustainability in the following analytical process.

Sustainability analyses

Sustainability analyses involved comparison of various population metrics at current levels of fishing mortality against standard fishery management sustainability benchmarks. We configured the simulation model to assess several reference points to address several sustainability risks, including fishery yields, spawning potential ratio (SPR; Clark 1991) and precautionary control rules (for example Restrepo & Powers 1999). Since population biomass B(a, t) is the product of numbers-at-age times weight-at-age W(a, t), yield in weight Y_w from a species during an instant t was calculated as

$$Y_{w}(F, L_{c}, t) = F(t) \int_{L_{c}}^{L_{\lambda}} B(L|a, t) dL$$

= $F(t) \int_{L_{c}}^{L_{\lambda}} N(L|a, t) W(L|a, t) dL.$ (4)

We obtained an important measure of stock reproductive potential, spawning stock biomass (SSB) at a given level of fishing mortality, by integrating over individuals in the population between the size of sexual maturity (L_m ; 50% maturity, assumed knife-edged) and the maximum size (L_{λ})

$$SSB(t) = \int_{L_m}^{L_\lambda} B(L|a, t) \, dL.$$
(5)

Maximum spawning biomass is obtained under conditions of no fishing mortality. Spawning potential ratio (SPR) is a management benchmark that measures a stock's potential to produce yields on a sustainable basis, and is computed as the ratio of current SSB(t) relative to that of an unexploited stock.

$$SPR = \frac{SSB_{\text{exploited}}}{SSB_{\text{unexploited}}}.$$
(6)



Figure 2 Conceptual diagram showing limit and target control rules. Target control rules specify desirable levels of fishing for sustainable stocks (for example F(OY) that produces optimal yield OY). Limit control rules define sustainability benchmarks or a cut-off above which there is an unacceptable risk of serious or irreversible harm to the resource and requires strong management intervention. If the maximum fishing mortality threshold (MFMT, equivalent to the F(MSY) limit in our analysis) is exceeded, then management actions in the form of reductions in F (or rebuilding plans) must be implemented to reverse the situation and move the stock to the lower right quadrant (B/B_{msy} > 1 and F/F_{msy} < 1). A more precautionary control rule, as suggested by Restrepo and Powers (1999), is to set the threshold MFMT 'safely below' the MSY limit (for example F(OY) = MFMT = $0.75 \times F(MSY)$).

Estimated SPRs were compared to USA Federal standards which define 30% SPR as the threshold below which a stock is no longer sustainable at current exploitation levels (see Gabriel *et al.* 1989; Restrepo *et al.* 1998). Evaluation of control rules involved determination of F_{msy} (F generating maximum sustainable yield, MSY) and B_{msy} (population biomass at MSY) (Fig. 2). We defined F = M as a proxy for F_{msy} (Quinn & Deriso 1999; Restrepo & Powers 1999).

RESULTS

We estimated average size \overline{L} (and 95% CI) in the exploitable phase by data source for 25 reef fish species (nine groupers, 10 snappers, one wrasse, and five grunts) (Table 2, Fig. 3). Estimates of \overline{L} were generally similar among survey methods (fishery-dependent and fishery-independent) for the period 2000–2005. The exceptions occurred where sample sizes were relatively small or where sampling was restricted in geographic range. Many species showed a significant difference in \overline{L} between years (1985–1987 and 2000–2002) using the trip interview programme (TIP) data (t-test, p < 0.05). Most



Figure 3 Comparison of \overline{L} estimates between years 1985–1987 and 2000–2002 for NOAA Trip Interview Programme (TIP) commercial fishery data. The solid 45° line indicates no change between time periods.

species indicated increased mean length in recent years, suggesting that fishing mortality rates were probably higher in the 1980s. TIP data from 2000–2002 for the commercial fleet had the largest sample sizes and covered the greatest areal extent of the fishery; thus, these recent estimates of \overline{L} were those principally used in the assessment analyses.

For some species (i.e. rock hind, *Epinephelus adscensionis*, goliath grouper, red grouper, *Epinephelus morio*), it was difficult to obtain reliable mortality estimates due to relatively low sample sizes (Table 3). Estimates of F ranged from 0.093 (annual mortality rate, A = 18.8%) to 1.681 (A = 87.9%).

We used life history parameters and mortality rate estimates in the numerical population model to calculate sustainability benchmarks (Table 3). We estimated SPR for 25 species of Puerto Rican reef fishes (Fig. 4); many were below the 30% standard for sustainability. Values of the F/F_{msy} ratio, B/B_{msy} ratio, and SPR in recent years suggest that many (> 70%) of the species of the snapper–grouper complex in Puerto Rico are experiencing unsustainable rates of exploitation (F-ratio >1, B-ratio <1, SPR < 30%) (Fig. 5). Notable exceptions are the yellowfin grouper (*Mycteroperca venenosa*), several snapper species (dog, *Lutjanus jocu*, mahogany, *Lutjanus mahogoni* and blackfin, *Lutjanus buccanella*) and a small grunt (French, *Haemulon flavolineatum*).

The plot of SPR at MSY dependent on F_{msy} (Fig. 6) suggests that fishing the stocks at MSY results in a substantial reduction in spawning stock biomass, in some cases below the level considered appropriate for stock sustainability (i.e. 30% SPR). The MSY target appears to be especially problematic for fishes with relatively low M values (i.e. longer-lived species). In general, maximum size is inversely correlated with natural mortality (maximum age) and growth (Fig. 7). Fishes



Figure 4 Comparative spawning potential ratio (SPR) analysis for 25 exploited reef fish species from the Puerto Rican coral reef ecosystem for the period 2000–2002. Dark bars indicate overfished stocks, open bars indicate stocks that are above the 30% SPR standard, and shaded bars indicate that stocks are within $\pm 3\%$ of the SPR standard. Asterisks denote species with unreliable estimated rates of fishing mortality.



Figure 5 F/F_{msy} ratio versus B/B_{msy} ratio for the 25 species (groupers = dark circles, snappers = shaded circles and grunts = open circles) analysed for the years 2000–2002.

that are long-lived (low M) and relatively slow-growing (low K, Brody growth coefficient) would be expected to be most sensitive to exploitation rates and have the longest recovery



Figure 6 Estimated spawning potential ratio (SPR) at maximum sustainable yield (MSY) dependent on F_{msy} for 25 exploited species (groupers = dark circles, snappers = shaded circles and grunts = open circles) from Puerto Rico. The horizontal dashed line is the 30% SPR USA federal standard for sustainability.

times, a key consideration of management options to rebuild overfished stocks.

DISCUSSION

The use of empirical data and models to assess Caribbean reef fisheries is relatively novel, and our analysis represents a first attempt to apply these assessment methods across the exploited reef fish community in Puerto Rico. We applied the average size method for estimating total mortality because it has relatively simple data requirements and has been shown



Figure 7 Relationships between maximum weight (kg) and (*a*) natural mortality rate $M(y^{-1})$, and (*b*) Brody growth coefficient $K(y^{-1})$ for 25 species (groupers = dark circles, snappers = shaded circles and grunts = open circles) of Puerto Rican coral reef fish.

to have relatively robust properties for assessing exploitation impacts on coral reef fishes (see Ehrhardt & Ault 1992; Ault *et al.* 1998, 2005*a*, *b*). Unique owing to its zero-bias properties at equilibrium (Ehrhardt & Ault 1992; Quinn & Deriso 1999), the method is also relatively insensitive to trends in recruitment and exhibits desirable properties for detecting statistical differences between sustainable and non-sustainable rates (Ault *et al.* 2005*b*). Despite the increase in mean length since the mid-1980s for many species, the estimated current levels of exploitation still exceed sustainable limits for many species in the Puerto Rican snapper-grouper reef fish complex (Fig. 5).

The validity of these results depends upon two principal assumptions. The first is that the length composition data used in the assessment are representative of the population abundance-at-size of the exploited phase of the species analysed. Although sample sizes were low in some cases, the general agreement in estimates of average size among three different data sources suggests that this assumption was met. One potential area for future study is cross-validation of these findings with other stock assessment approaches (such as Methot 1990; Prager 1994; Quinn & Deriso 1999; Haddon 2001, Quinn 2003), but this will first require precise timeseries of catch-and-effort data for all fleets. Data collection systems for fleet-wide catch-effort information have been in place since about 2000; thus sufficient time-series should be available for cross-validation studies in the near future. The second assumption is that the population dynamics data

describing demographic rate processes for the various species are accurate. This assumption also applies to all age-structured assessment approaches. Part of the uncertainty in both lengthand age-based assessments may thus be reconciled with better understanding of population lifetime growth, expected lifespans and reproductive maturity schedules.

There has been a burgeoning literature on the use of relatively simple methods and data to evaluate coral reef fisheries status. These approaches range from using life history heuristics as a guide to the vulnerability of different species to exploitation (Jennings et al. 1998, 1999; Denney et al. 2002; Goodwin et al. 2006), to more holistic evaluations of ecosystem effects of fishing on coral reef fish assemblages using, for example, abundance-biomass comparisons or analysis of sizespectra (Warren-Rhodes et al. 2003; Graham et al. 2005; Yemane et al. 2005: Newton et al. 2007). A key advantage of these simple population and aggregate community-based indicators is that they promote understanding of the ecological effects of exploitation and environmental disturbances on reef fish communities. However, the principal limitation of these methods is that they do not produce the specific metrics that satisfy the legal requirements for determining sustainability of fisheries under USA jurisdiction.

From a management perspective, another line of future research will be to explore viable regulatory options for reducing fishing mortality rates and rebuilding spawning stocks to sustainable levels. Our results provide some insights to guide these investigations. A characteristic of coral reef fisheries is that nominal fishing effort simultaneously targets multiple species because the principal gears (for example traps, hook-and-line and spears) are relatively non-selective and the species co-occur in similar habitats. As a result, capture probability for most species on any trip at any given location is greater than zero. Nominal fishing effort thus affects the snapper-grouper complex as a whole, but impacts individual species differently depending on their behaviour and life history characteristics (Fig. 5; Ault et al. 1998, 2005a, b; Jennings et al. 1999; Coleman et al. 2000; Musick et al. 2000). Generally, large-bodied slow-growing late-maturing fishes are the most sensitive to exploitation (see Beverton & Holt 1957; Jennings et al. 1998; Goodwin et al. 2006). The life history response to exploitation also depends on the particular size-age at which fishing mortality is applied. For many of the species we analysed, the minimum length subject to full exploitation $(L_c, \text{Table 2})$ was well below the length at which 50% of the stock reached sexual maturity (L_m , Table 3), irrespective of maximum age or length. This may explain in part why our results indicated that most of the longer-lived groupers and snappers, as well as many of the shorter-lived species, may be experiencing non-sustainable rates of fishing mortality. Future investigation should explore management options that lead to increases in L_{c} . Currently, only one species (yellowtail snapper) has a minimum size regulation in Puerto Rico.

Spatial distributions of the resource and the way in which the fleets interact with the resource may also play a role in the observed levels of exploitation. Some deepwater species with a wide range of lifespans, namely blackfin snapper (*Lutjanus buccanella*), silk snapper (*Lutjanus vivanus*), yellowfin grouper (*Mycteroperca venenosa*), and tiger grouper (*Mycteroperca tigris*), appear to be close to or above sustainability thresholds, and this may be owing to the buffering of effort provided by that refugia. Deepwater areas are typically more costly to exploit due to the greater distances from port and the need for larger vessels and specialized gears. Examinations of management options to build sustainable fisheries should consider a spatial context for controlling fishing effort.

Future studies on appropriate remedial measures to ensure long-term sustainability of the fishery ecosystem need to account for the expected transition times to meet these goals. According to theory, it may take close to a generation for the full effects of a change in exploitation rate to be manifested in a population (Beverton & Holt 1957; Quinn & Deriso 1999). The MSFCMA requires that overfished stocks be rebuilt to sustainable levels in a short time, generally less than 10 years. Based on the range of life histories, population-dynamic strategies and expected transition times in the Puerto Rican reef fish community, potential recovery of spawning stock biomass to levels that are considered sustainable for many populations may take at least a decade to accomplish.

Another aspect of the life history response to exploitation concerns the limits of F and SSB that are considered acceptable according to USA standards. Our results showed that fishing a stock at the F_{msy} limit may lead to spawning biomass levels below the limit of 30% SPR for many species (Fig. 6). Perhaps some fundamental research needs to be undertaken to identify precautionary limits other than F_{msy} that produce optimal yields and prevent recruitment overfishing.

We conclude from our analyses that sustainable management of an exploited reef fish community may be better accomplished by setting precautionary target levels of fishing mortality less than F_{msy} that ensure sustainability of those species most sensitive to exploitation effects (Russ & Alcala 1996; Jennings *et al.* 1999; Denney *et al.* 2002; Goodwin *et al.* 2006). For example, if reference points were established for the most sensitive individual components (for example species) of the ecosystem, the probability of overfishing other populations in the reef fish community would be minimized, and thus *de facto* serve the same purpose as establishing community-or ecosystem-level reference points (ICES [International Council for Exploration of the Seas] 2001, Hall & Mainprize 2004; Cury & Christensen 2005; Garcia & Cochrane 2005).

ACKNOWLEDGEMENTS

We thank D.E. Harper of NOAA Fisheries Southeast Fisheries Science Center for assistance in obtaining fisherydependent databases. This research was supported by the NOAA Caribbean Reef Ecosystem Study (CRES) Grant NA17OP2919, NOAA NOS Biogeography Cooperative Program Grant NA17RJ1226, the NOAA Fisheries Coral Reef Conservation Program Grant No. NA17RJ1226 and the Lenfest Ocean Program. This study was in part made possible by fishery-independent data collected by NOAA's Biogeography Branch in cooperation with the University of Puerto Rico under the NOAA CRES Program. Finally, we thank three anonymous reviewers whose insightful comments greatly improved this manuscript.

References

- Acosta, A. & Appeldoorn, R.S. (1992) Estimation of growth, mortality and yield-per-recruit for *Lutjanis synagris* (Linnaeus) in Puerto Rico. *Bulletin of Marine Science* 50: 282–291.
- Alagaraja, K. (1984) Simple methods for estimation of parameters for assessing exploited fish stocks. *Indian Journal of Fisheries* 31: 177–208.
- Appeldoorn, R., Beets, J., Bohnsack, J., Bolden, S., Matos, D., Meyers, S., Rosario, A., Sadovy, Y. & Tobias, W. (1992) Shallow water reef fish assessment for the US Caribbean. NOAA Technical Memorandum NMFS-SEFSC 304, NOAA, USA: 70 pp.
- Appeldoorn, R.S., Friedlander, A., Sladek Nowlis, J., Ussegilo, P. & Mitchell-Chui, A. (2003) Habitat connectivity on the insular platform of Old Providence-Santa Catalina, Colombia: mechanism, limits and ecological consequences relevant to marine reserve design. *Gulf and Caribbean Research* 14: 61–77.
- Appeldoorn, R.S., Recksiek, C.W., Hill, R.L., Pagan, F.E. & Dennis, G.D. (1997) Marine protected areas and reef fish movements: the role of habitat in controlling ontogentic migration. *Proceedings of* the 8th International Coral Reef Symposium 2: 1917–1922.
- Ault, J.S. & Olson, D.B. (1996) A multicohort stock production model. Transactions of the American Fisheries Society 125: 343–363.
- Ault, J.S., Bohnsack, J.A. & Meester, G. (1998) A retrospective (1979–1996) multispecies assessment of coral reef fish stocks in the Florida Keys. *Fishery Bulletin*, US 96: 395–414.
- Ault, J.S., Bohnsack, J.A., Smith, S.G. & Luo, J. (2005a) Towards sustainable multispecies fisheries in the Florida USA coral reef ecosystem. *Bulletin of Marine Science* 76(2): 595–622.
- Ault, J.S., Smith, S.G. & Bohnsack, J.A. (2005b) Evaluation of average length as an indicator of exploitation status for the Florida coral reef fish community. *ICES Journal of Marine Science* 62: 417–423.
- Ault, J.S., McGarvey, R.N., Rothschild, B.J. & Chavarria, J. (1996) Stock assessment computer algorithms. In: *Stock Assessment: Quantitative Methods and Applications for Small Scale Fisheries*, ed. V.F. Gallucci, S. Saila, D. Gustafson & B.J. Rothschild, pp. 501–515. Chelsea, MI, USA: Lewis Publishers (Division of CRC Press).
- Beverton, R.J.H. & Holt, S.J. (1957) On the Dynamics of Exploited Fish Populations. Ministry of Agriculture, Fisheries and Food, Fishery Investigations Series II, Volume 19. Lowestoft, UK: Ministry of Agriculture: 533 pp.
- Clark, W.G. (1991) Groundfish exploitation rates based on life history parameters. *Canadian Journal of Fish and Aquatic Sciences* 48: 734–750.
- Claro, R., Lindeman, K.C. & Parenti, L.R. (2001) Ecology of the Marine Fishes of Cuba. Washington, DC, USA: Smithsonian Institution Press: 253 pp.
- Coleman, F.C., Koenig, C.C., Huntsman, G.R., Musick, J.A., Eklund, A.M., McGovern, J.C., Chapman, R.W., Sedberry, G.R. & Grimes, C.B. (2000) Long-lived reef fishes: the grouper– snapper complex. *Fisheries* 25(3): 14–21.

- Cury, P.M. & Christensen, V. (2005) Quantitative ecosystem indicators for fisheries management. *ICES Journal of Marine Science* 62(3): 307–310.
- Denney, N.H., Jennings, S. & Reynolds, J.D. (2002) Life-history correlates of maximum population growth rates in marine fishes. *Proceedings of the Royal Society of London B* 269: 2229–2237.
- Ehrhardt, N.M. & Ault, J.S. (1992) Analysis of two length-based mortality models applied to bounded catch length frequencies. *Transactions of the American Fisheries Society* **121**: 115–122.
- FAO (2003) FiSAT II: FAO–ICLARM Stock Assessment Tools. User's Guide, ed. F.C. Gayanilo, P. Sparre and D. Pauly. Rome, Italy: FAO.
- Fenichel, E.P., Tsao, J.I., Jones, M.L. & Hickling, G.J. (2008) Real options for precautionary fisheries management. *Fish and Fisheries* 9: 121–137.
- Gabriel, W.L., Sissenwine, M.P. & Overholtz, W.J. (1989) Analysis of spawning stock biomass per recruit: an example for Georges Bank haddock. *North American Journal of Fisheries Management* 9: 383–391.
- Garcia, S.M. & Cochrane, K.L. (2005) Ecosystem approach to fisheries: a review of implementation guidelines. *ICES Journal* of Marine Science 62(3): 311–318.
- Garcia-Sais, J., Appeldoorn, R., Bruckner, A., Caldow, C., Christensen, J.D., Lilyestrom, C., Monaco, M.E., Sabater, J., Williams, E. & Diaz, E. (2005) The state of coral reef ecosystems of the Commonwealth of Puerto Rico. In: *The State of Coral Reef Ecosystems of the United States and Pacific Freely Associated States:* 2005, ed. J.E. Waddell, NOAA Techni.cal Memorandum NOS NCCOS 11. Silver Spring, MD, USA: NOAA/NCCOS Center for Coastal Monitoring and Assessment's Biogeography Team: 522 pp.
- Goodwin, N.B., Grant, A., Perry, A.L., Dulvy, N.K. & Reynolds, J.D. (2006) Life history correlates of density-dependent recruitment in marine fishes. *Canadian Journal of Fisheries and Aquatic Science* **63**: 494–509.
- Graham, N.A.J., Dulvy, N.K., Jennings, S. & Polunin, N.V.C. (2005) Size-spectra as indicators of the effects of fishing on coral reef assemblages. *Coral Reefs* 24: 118–124.
- Haddon, M. (2001) *Modeling and Quantitative Methods in Fisheries*. Boca Raton, FL, USA: Chapman and Hall/CRC Press.
- Haedrich, R.L. & Barnes, S.M. (1997) Changes over time of the size structure in an exploited shelf fish community. *Fisheries Research* 31: 229–239.
- Hall, S.J. & Mainprize, B. (2004) Towards ecosystem-based fisheries management. *Fish and Fisheries* 5: 1–20.
- Hoenig, J.M. (1983) Empirical use of longevity data to estimate mortality rates. *Fishery Bulletin* 82(1): 898–903.
- ICES, (2001) Report of the working group on ecosystem effects of fishing. ICES CM/ACME/E:09, Copenhagen, Denmark.
- Jennings, S. (2005) Indicators to support an ecosystem approach to fisheries. *Fish and Fisheries* 6: 212–232.
- Jennings, S., Reynolds, J.D. & Mills, S.C. (1998) Life history correlates of responses to fisheries exploitation. *Proceedings of the Royal Society of London B* 265: 333–339.
- Jennings, S., Reynolds, J.D. & Polunin, N.V.C. (1999) Predicting the vulnerability of tropical reef fishes to exploitation with phylogenies and life histories. *Conservation Biology* 15(6): 1466–1475.
- Jennings, S., De Oliveira, J.A.A. & Warr, K.J. (2007) Measurement of body size and abundance in tests of macroecological and food web theory. *Journal of Animal Ecology* 76: 72–82.

- Kerr, S.R. & Dickie, L.M. (2001) The Biomass Spectrum: a Predator-Prey Theory of Aquatic Production. New York, USA: Columbia University Press. New York.
- Lilystrom, C. & Hoffmaster, E. (2002) Recreational fishery statistics of coral reef fisheries in Puerto Rico. In: Proceedings of Coral Reef Fisheries, Caribbean Regional Workshop: Collaboration on Successful Management, Enforcement, and Education Methods for Fisheries Managers of the US Caribbean, pp. 30–31. San Juan, Puerto Rico: Caribbean Fishery Management Council (URL www.caribbeanfmc.com).
- Matos-Caraballo, D. (2004) Puerto Rico/NMFS cooperative fisheries statistics program. Final Report submitted to the National Marine Fisheries Service, Puerto Rico Department of Natural and Environmental Resources, San Juan, Puerto Rico: 83 pp.
- Methot, R.D. (1990) Synthesis model: an adaptive framework for analysis of diverse stock assessment data. *International North Pacific Fisheries Commission Bulletin* **50**: 259–277.
- Monaco, M.E., Kendall, M.S., Higgins, J.L., Alexander, C.E. & Tartt, M.S. (2005) Biogeographic assessments of NOAA National Marine Sanctuaries: the integration of ecology and GIS to aid management boundary delineation and assessment. In: *Place Matters: Geospatial Tools for Marine Science, Conservation, and Management in the Pacific Northwest*, ed. D.S. Wright. & A.J. Scholz, pp. 2–12. Corvalis, OR, USA: Oregon State University Press.
- Musick, J.A., Harbin, M.M., Berkeley, S.A., Burgess, G.H., Eklund,
 A.M., Findley, L., Gilmore, R.G., Golden, J.T., Ha, D.S.,
 Huntsman, G.R., McGovern, J.C., Parker, S.J., Poss, S.G., Sala,
 E., Schmidt, T.W., Sedberry, G.R., Weeks, H. & Wright, S.G.
 (2000) Marine, estuarine, and diadromous fish stocks at risk of
 extinction in North America (exclusive of Pacific salmonids).
 Fisheries 25(11): 6–30.
- Newton, K., Cote, I.M., Pilling, G.M., Jennings, S. & Dulvy, N.K. (2007) Current and future sustainability of island coral reef fisheries. *Current Biology* 17: 655–658.
- NOAA (2006) Fisheries of the United States [www.document]. URL http://www.st.nmfs.noaa.gov/st1/fus/fus06/.
- Pauly, D. & Morgan, G.R., eds (1987) Length-Based Methods in Fisheries Research. ICLARM Conference Proceedings Volume 13. Manila, Philippines: International Center for Living Aquatic Resources Management: 468 pp.
- Pittman, S.J., Christensen, J.D., Caldow, C., Menza, C. & Monaco, M.E. (2007) Predictive mapping of fish species richness across shallow-water seascapes in the Caribbean. *Ecological Modelling* 204: 9–21.
- Prager, M.H. (1994) A suite of extensions to a non-equilibrium surplus-production model. *Fishery Bulletin*, US **92**: 374–389.
- Quinn, T.J. & Deriso, R.B. (1999) *Quantitative Fish Dynamics*. Oxford, UK: Oxford University Press: 542 pp.
- Quinn, T.J. (2003) Ruminations on the development and future of population dynamics models in fisheries. *Natural Resource Modeling* 16(4): 341–392.
- Restrepo, V.R. & Powers, J.E. (1999) Precautionary control rules in US fisheries management: specifications and performance. *ICES Journal of Marine Science* 56: 846–852.
- Restrepo, V.R., Thompson, G.G., Mace, P.M., Gabriel, W.L., Low, L.L., MacCall, A.D., Methot, R.D., Powers, J.E., Taylor, B.L., Wade, P.R. & Witzig, J.F. (1998) Technical guidance on the use of precautionary approaches in implementing national standard 1 of the Magnuson-Stevens Fishery Conservation and

Management Act. NOAA Technical Memorandum NMFS-F/SPO-031, NOAA, USA: 54 pp.

- Ricker, W.E. (1963) Big effects from small causes: two examples from fish population dynamics. *Journal of the Fisheries Research Board* of Canada 20: 257–264.
- Russ, G.R. (1991) Coral reef fisheries: effects and yields. In: *The Ecology of Fishes in Coral Reefs*, ed. P.F. Sale, pp. 601–635. San Diego, CA, USA: Academic Press: 754 pp.
- Russ, G.R. & Alcala, A.C. (1996) Marine reserves: rates and patterns of recovery and decline of large predatory fish. *Ecological Applications* 6(3): 947–961.
- Walters, C.J. & Martell, S.J.D. (2004) Fisheries Ecology and Management. Princeton, NJ, USA: Princeton University Press: 399 pp.
- Warren-Rhodes, K., Sadovy, Y. & Cesar, H. (2003) Marine ecosystem appropriation in the Indo-Pacific: a case study of the live reef fish food trade. *Ambio* 32(7): 481– 488.
- Yemane, D., Field, J.G. & Leslie, R.W. (2005) Exploring the effects of fishing on fish assemblages using abundance biomass comparison (ABC) curves. *ICES Journal of Marine Science* 62: 374–379.