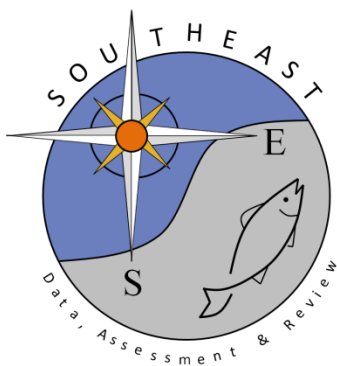


## **SEDAR 41 Indices of Abundance Report Cards**

SEDAR 41 Index Working Group

**SEDAR41-DW39**

Submitted: 27 August 2014



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# Evaluation of Abundance Indices:

## SERFS Chevron Traps Red Snapper (Working Paper #06)

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

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### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |

### Working Group Comments:

Survey did not consistently cover the center of distribution for Red Snapper in the South Atlantic (Georgia and northern Florida). Survey best covered the center from 2010 to 2013. Percent positives also were less than 5% prior to these years. Decision was made to split the index to 2010-2013 and 2009 and earlier. The percent positives in 1990-2009 were too low to develop an index, consistent with the decision made in SEDAR 24.

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

Initial model was a zero-inflated negative binomial model using polynomials to describe the effects of variables on catch rates. We investigated reducing the highest order that the polynomials could take and also considered including all lower order polynomials when a high order was selected by Bayesian Information Criterion. Since this approach has not been fully peer-reviewed, the decision was made to bin covariates based on preliminary generalized additive model fits. Inclusion of covariates in the sub-models was done by forward selection with Akaike's Information Criterion.

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|  |   |  |   |
|--|---|--|---|
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**MODEL RESULTS**

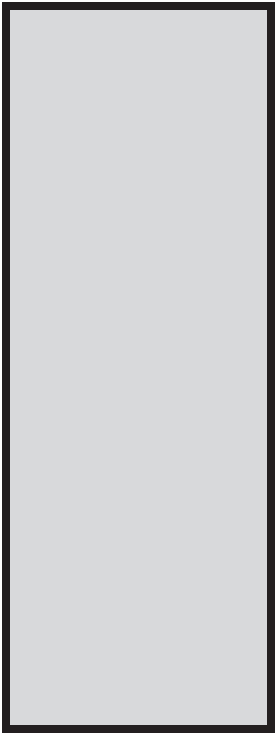
- A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report
- B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

1. Plot of resulting indices and estimates of variance
2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                         | <i>Date Received</i> | <i>Workshop Recommendation</i> | <i>Revision Deadline</i><br>*** | <i>Author and Rapporteur Signatures</i> |
|-------------------------|----------------------|--------------------------------|---------------------------------|---|
| <b>First Submission</b> | 8/11/2014            | Continue pursuing              |                                 |   |
| <b>Revision</b>         |                      |                                |                                 |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

#### ***Justification of Working Group Recommendation***

The SERFS chevron trap index is the only fishery-independent survey that can be used to develop a relative abundance index of Red Snapper that collects associated biological information (e.g. age/length comps) that can be used to inform selectivity of the gear. That being said, during SEDAR 24 the SERFS chevron trap index was not recommended for use due to the low percent positive rate of Red Snapper in the traps, the perceived inability of the trap to capture Red Snapper (i.e. low detectability, despite reports that chevron traps were capable of capturing Red Snapper in the Gulf of Mexico), and the limited sampling of the survey in the center of Red Snapper abundance off Georgia and northern Florida. While nothing could rectify the lack of positive occurrences in early years (1990-2009), the other two concerns were alleviated for the years 2010-2013 for SEDAR 41. During these years ample sampling occurred throughout the region and the percent positive occurrences were acceptable. Although the sampling distribution of the survey with regards to specific covariates (e.g. bottom temperature, depth, latitude, etc.) varied from year to year, the zero-inflation standardization approach effectively removes the effects of this variability from relative abundance trends. Finally, being a fishery-independent survey, standardized sampling techniques have been used and the survey has been immune to regulation changes. These observations generally make relative abundance trends suggested by fishery-independent surveys superior to parallel fishery-dependent abundance trends, especially as stricter management regulations are placed on the fishery.

# Evaluation of Abundance Indices:

## SERFS Video Index (SEDAR41-DW04)

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |

### Working Group Comments:



## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
| ✓ |  |  |   |
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| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |   |
|---|--|--|---|
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

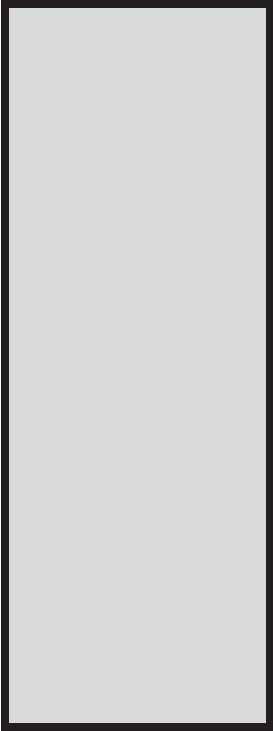
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |   |
|---|--|--|---|
| ✓ |  |  |   |
|   |  |  | ✓ |



|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 7/21/14              | Recommended                        |                                  |   |
| <b>Revision</b>             |                      |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

|  |
|--|
|  |
|--|

# Evaluation of Abundance Indices of red snapper: Headboat logbook 1976-1991, SEDAR41-DW12

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

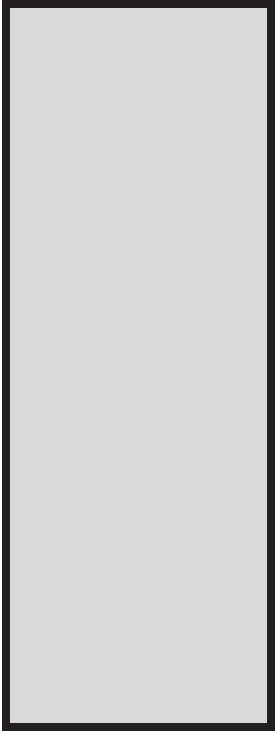
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |





|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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# Evaluation of Abundance Indices of red snapper: Headboat logbook 1992-2009, SEDAR41-DW12

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

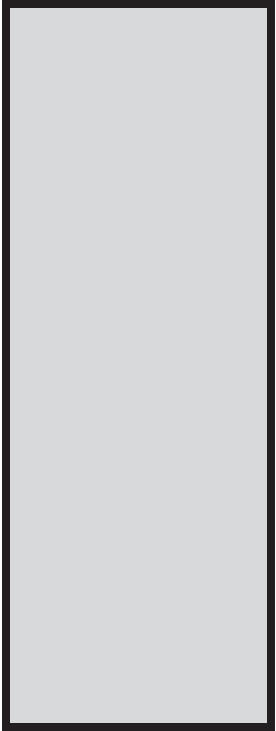
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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# Evaluation of Abundance Indices of Red snapper: Headboat at-sea observer 2005-2009, SEDAR41-DW14

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:



## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

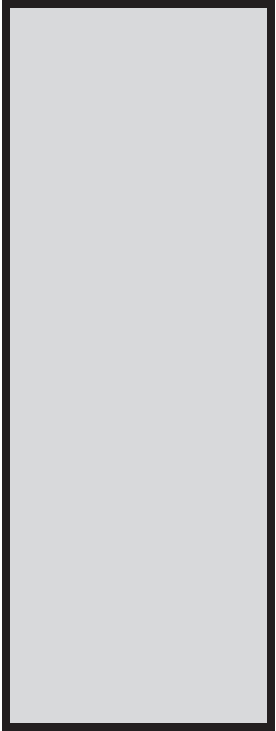
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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|--|

# Evaluation of Abundance Indices of Red snapper: Headboat at-sea observer 2010-2013, SEDAR41-DW14

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

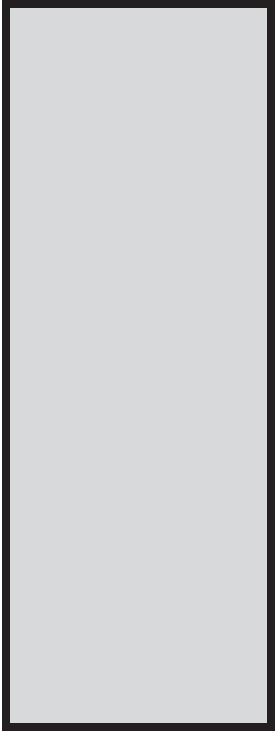
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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|--|



# Evaluation of Abundance Indices: Red Snapper Commercial Logbook - Handline Index Title (Working Paper SEDAR 41 DW 19)

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                | ✓      |            |          |
|                | ✓      |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

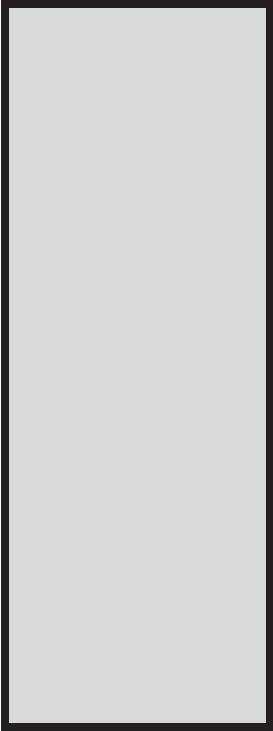
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 8/26/2014            | Recommended for use                |                                  |   |
| <b>Revision</b>             |                      |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

|  |
|--|
|  |
|--|

# Evaluation of Abundance Indices of Red Snapper: Charter Logbook (SCDNR) (SEDAR41-DW-32)

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  | Not Applicable | Absent | Incomplete | Complete |
|--|----------------|--------|------------|----------|
| A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling. | ✓              |        |            |          |
| B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)   | ✓              |        |            |          |
| C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)  | ✓              |        |            |          |
| D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                             | ✓              |        |            |          |
| E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).                             | ✓              |        |            |          |
| F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.                    | ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|   |   |  |  |   |
|---|---|--|--|---|
| A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.). |   |  |  | ✓ |
| B. Describe any changes to reporting requirements, variables reported, etc.   |   |  |  | ✓ |
| C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                        |   |  |  | ✓ |
| D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.               | ✓ |  |  |   |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |   |  |  |   |
|---|---|--|--|---|
| A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.             |   |  |  | ✓ |
| B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc). |   |  |  | ✓ |
| C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?  | ✓ |  |  |   |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**



D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

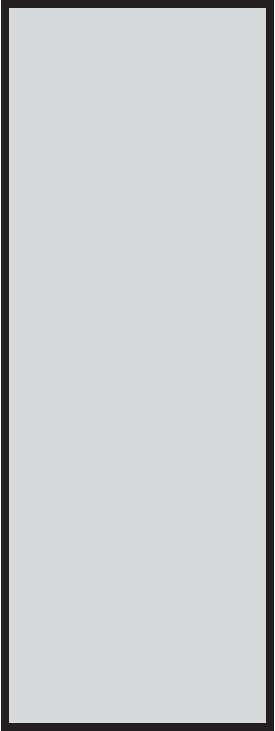
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |



|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 8/4/2014             | Do not use                         |                                  |   |
| <b>Revision</b>             |                      |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

Due to the limited geographic scope of the data set the working group decided not to use the data set for the stock assessment. However, the working group did use the data set to corroborate the South Carolina head-boat data being used.

# Evaluation of Abundance Indices:

## MRFSS/MRIP (Working Paper # NA)

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  | Not Applicable | Absent | Incomplete | Complete |
|--|----------------|--------|------------|----------|
| A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling. | ✓              |        |            |          |
| B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)   | ✓              |        |            |          |
| C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)  | ✓              |        |            |          |
| D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                             | ✓              |        |            |          |
| E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).                             | ✓              |        |            |          |
| F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.                    | ✓              |        |            |          |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |   |  |  |
|--|--|---|--|--|
|  |  | ✓ |  |  |
|  |  | ✓ |  |  |
|  |  | ✓ |  |  |
|  |  | ✓ |  |  |

### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |   |  |  |
|--|--|---|--|--|
|  |  | ✓ |  |  |
|  |  | ✓ |  |  |
|  |  | ✓ |  |  |

### Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                | ✓      |            |          |
|                | ✓      |            |          |
|                | ✓      |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |   |  |   |
|--|---|--|---|
|  | ✓ |  |   |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

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| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

MODEL RESULTS

- A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report
- B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |   |  |  |
|--|---|--|--|
|  | ✓ |  |  |
|  | ✓ |  |  |

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- Plot of resulting indices and estimates of variance
- Table of model statistics (e.g. AIC criteria)

|  |   |  |  |
|--|---|--|--|
|  | ✓ |  |  |
|  | ✓ |  |  |



|                         | <i>Date Received</i> | <i>Workshop Recommendation</i> | <i>Revision Deadline</i><br>*** | <i>Author and Rapporteur Signatures</i> |
|-------------------------|----------------------|--------------------------------|---------------------------------|---|
| <b>First Submission</b> | 1 Aug 2014           | Not recommended                |                                 |   |
| <b>Revision</b>         |                      |                                |                                 |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

- Nominal index only, not standardized
- Fishery dependent (i.e., potentially affected by regulations, targeting, hyperdepletion, hyperstability)
- Catchability may vary over time or with abundance
- Potential bias in trips intercepted
- High variability
- Effective effort is difficult to identify

# Evaluation of Abundance Indices:

## SERFS Chevron Traps Gray Triggerfish (Working Paper #05)

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

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|  |  |  |  |

### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |

### Working Group Comments:

Full time series covered the center of distribution of Gray Triggerfish and had sufficient percent positives for index development.



## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

Initial model was a zero-inflated negative binomial model using polynomials to describe the effects of variables on catch rates. We investigated reducing the highest order that the polynomials could take and also considered including all lower order polynomials when a high order was selected by Bayesian Information Criterion. Since this approach has not been fully peer-reviewed, the decision was made to bin covariates based on preliminary generalized additive model fits. Inclusion of covariates in the sub-models was done by forward selection with Akaike's Information Criterion.

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|  |   |  |   |
|--|---|--|---|
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**MODEL RESULTS**

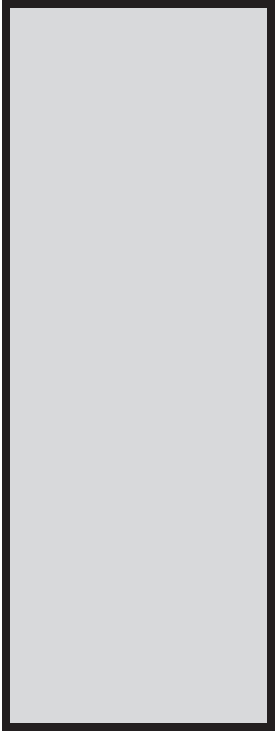
- A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report
- B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

1. Plot of resulting indices and estimates of variance
2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                         | <i>Date Received</i> | <i>Workshop Recommendation</i> | <i>Revision Deadline</i><br>*** | <i>Author and Rapporteur Signatures</i> |
|-------------------------|----------------------|--------------------------------|---------------------------------|---|
| <b>First Submission</b> | 8/11/2014            | Continue pursuing              |                                 |   |
| <b>Revision</b>         |                      |                                |                                 |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

The SERFS chevron trap index represents the longest time series of fishery-independent data available for Gray Triggerfish in the region, beginning in 1990. The survey exhibited ample geographical coverage throughout this time series and there was little concern that the survey missed significant areas of the range of Gray Triggerfish in the region. Being one of the most abundant species captured in the chevron trap survey, there is ample supporting biological data (e.g. age/length comps) that can be used to inform the selectivity of the gear. Although the sampling distribution of the survey with regards to specific covariates (e.g. bottom temperature, depth, latitude, etc.) varied from year to year, the zero-inflation standardization approach effectively removes the effects of this variability from relative abundance trends. Finally, being a fishery-independent survey, standardized sampling techniques have been used and the survey has been immune to regulation changes. These observations generally make relative abundance trends suggested by fishery-independent surveys superior to parallel fishery-dependent abundance trends, especially as stricter management regulations are placed on the fishery.

# Evaluation of Abundance Indices:

## SERFS Video Index (SEDAR41-DW03)

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |

### Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |   |
|---|--|--|---|
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
| ✓              |        |            |          |
| ✓              |        |            |          |
| ✓              |        |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |   |
|---|--|--|---|
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

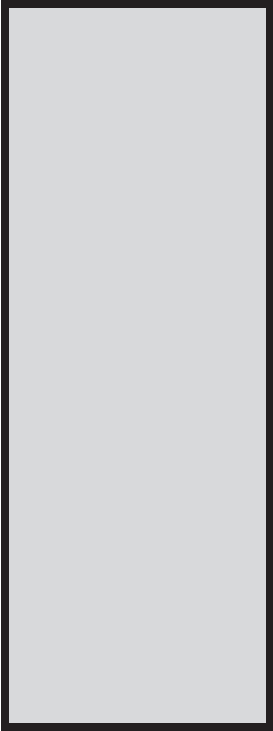
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |





|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 7/21/14              | Recommended                        |                                  |   |
| <b>Revision</b>             |                      |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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# Evaluation of Abundance Indices of GTF: Headboat logbook 1995-2009, SEDAR41-DW13

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  | Not Applicable | Absent | Incomplete | Complete |
|--|----------------|--------|------------|----------|
| A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling. | ✓              |        |            |          |
| B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)   | ✓              |        |            |          |
| C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)  | ✓              |        |            |          |
| D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                             | ✓              |        |            |          |
| E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).                             | ✓              |        |            |          |
| F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.                    | ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

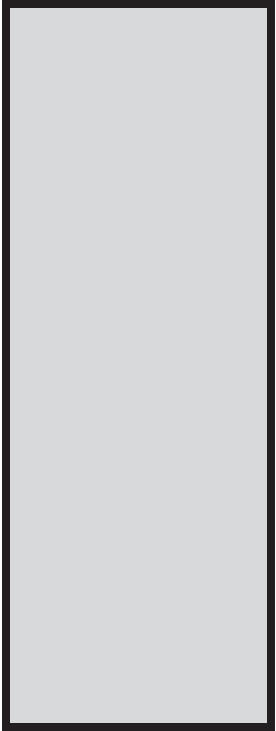
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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# Evaluation of Abundance Indices of Gray Triggerfish: General recreational (MRFSS) (SEDAR32-DW-06)

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|   |  |   |   |
|---|--|---|---|
|   |  |   | ✓ |
| ✓ |  |   |   |
|   |  | ✓ |   |
|   |  |   | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                | ✓      |            |          |
|                | ✓      |            |          |
|                | ✓      |            |          |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
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|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |

## Working Group Comments:



## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                | ✓      |            |          |
|                | ✓      |            |          |
|                | ✓      |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |   |  |   |
|---|---|--|---|
|   | ✓ |  |   |
|   |   |  | ✓ |
|   |   |  | ✓ |
|   |   |  | ✓ |
|   | ✓ |  |   |
| ✓ |   |  |   |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

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### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

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**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            |          |
|                |        |            |          |
|                |        |            |          |

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

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MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

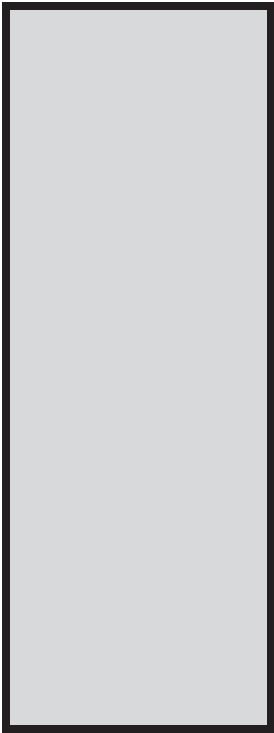
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |   |   |
|--|--|---|---|
|  |  | ✓ |   |
|  |  |   | ✓ |

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|  |  |  |  |



|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 2/11/13              |                                    |                                  |   |
| <b>Revision</b>             | 2/14/13              |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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# Evaluation of Abundance Indices: Gray Triggerfish Commercial Logbook - Handline Index Title (Working Paper SEDAR 41 DW 20)

## DESCRIPTION OF THE DATA SOURCE

### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  | Not Applicable | Absent | Incomplete | Complete |
|--|----------------|--------|------------|----------|
| A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling. | ✓              |        |            |          |
| B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)   | ✓              |        |            |          |
| C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)  | ✓              |        |            |          |
| D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                             | ✓              |        |            |          |
| E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).                             | ✓              |        |            |          |
| F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.                    | ✓              |        |            |          |

### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|   |  |  |  |   |
|---|--|--|--|---|
| A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.). |  |  |  | ✓ |
| B. Describe any changes to reporting requirements, variables reported, etc.   |  |  |  | ✓ |
| C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                        |  |  |  | ✓ |
| D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.               |  |  |  | ✓ |

## METHODS

### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|   |  |  |  |   |
|---|--|--|--|---|
| A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.             |  |  |  | ✓ |
| B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc). |  |  |  | ✓ |
| C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?  |  |  |  | ✓ |

## Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                | ✓      |            |          |
|                | ✓      |            |          |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

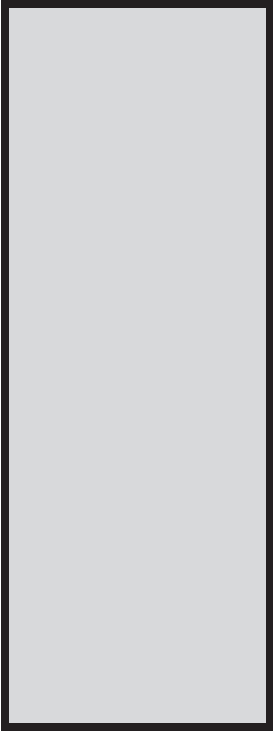
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i>Date Received</i> | <i>Workshop<br/>Recommendation</i> | <i>Revision Deadline<br/>***</i> | <i>Author and<br/>Rapporteur<br/>Signatures</i> |
|-----------------------------|----------------------|------------------------------------|----------------------------------|---|
| <b>First<br/>Submission</b> | 8/26/2014            | Recommended for use                |                                  |   |
| <b>Revision</b>             |                      |                                    |                                  |   |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

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|  |
|--|



# Evaluation of Abundance Indices of GTF:

## Headboat at-sea observer 2005-2009, SEDAR41-DW15

### DESCRIPTION OF THE DATA SOURCE

#### 1. Fishery Independent Indices

- A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.
- B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)
- C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)
- D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).
- F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  | Not Applicable | Absent | Incomplete | Complete |
|--|----------------|--------|------------|----------|
| A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling. | ✓              |        |            |          |
| B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)   | ✓              |        |            |          |
| C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)  | ✓              |        |            |          |
| D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).                             | ✓              |        |            |          |
| E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).                             | ✓              |        |            |          |
| F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.                    | ✓              |        |            |          |

#### 2. Fishery Dependent Indices

- A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).
- B. Describe any changes to reporting requirements, variables reported, etc.
- C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).
- D. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

### METHODS

#### 1. Data Reduction and Exclusions

- A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.
- B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).
- C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

### Working Group Comments:

## 2. Management Regulations (for FD Indices)

- A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).
- B. Describe the effects (if any) of management regulations on CPUE
- C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

## 3. Describe Analysis Dataset (after exclusions and other treatments)

- A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.
- B. Include tables and/or figures of number of positive observations by factors and interaction terms.
- C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.
- D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.
- E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates **OR** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).
- F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.
- G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |
|  |  |  | ✓ |

## 4. Model Standardization

- A. Describe model structure (e.g. delta-lognormal)
- B. Describe construction of GLM components (e.g. forward selection from null etc.)
- C. Describe inclusion criteria for factors and interactions terms.
- D. Were YEAR\*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?
- E. Provide a table summarizing the construction of the GLM components.
- F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)
- G. Report convergence statistics.

|  |   |  |   |
|--|---|--|---|
|  |   |  | ✓ |
|  |   |  | ✓ |
|  |   |  | ✓ |
|  | ✓ |  |   |
|  | ✓ |  |   |
|  |   |  | ✓ |
|  |   |  | ✓ |

## Working Group Comments:

## MODEL DIAGNOSTICS

*Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.*

### 1. Binomial Component

- A. Include plots of the chi-square residuals by factor.
- B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year\*area)
- C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|                |        |            | ✓        |
|                |        |            | ✓        |
|                |        |            | ✓        |

### 2. Lognormal/Gamma Component

- A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.
- F. Include plots of the residuals by factor

|   |  |  |   |
|---|--|--|---|
|   |  |  | ✓ |
|   |  |  | ✓ |
|   |  |  | ✓ |
| ✓ |  |  |   |
| ✓ |  |  |   |
|   |  |  | ✓ |

### 3. Poisson Component

- A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.
- D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.
- E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

### 4. Zero-inflated model

- A. Include ROC curve to quantify goodness of fit.
- B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).
- C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |
| ✓ |  |  |  |

**Working Group Comments:**

*The feasibility of this diagnostic is still under review.*

## MODEL DIAGNOSTICS (CONT.)

| Not Applicable | Absent | Incomplete | Complete |
|----------------|--------|------------|----------|
|----------------|--------|------------|----------|

**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.

E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |

**MODEL RESULTS**

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report

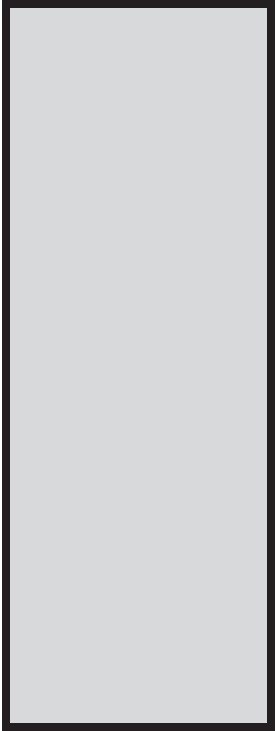
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).

|  |  |  |   |
|--|--|--|---|
|  |  |  | ✓ |
|  |  |  | ✓ |

**IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:**  
*(Note: this is always recommended but required when model diagnostics are poor.)*

- 1. Plot of resulting indices and estimates of variance
- 2. Table of model statistics (e.g. AIC criteria)

|   |  |  |  |
|---|--|--|--|
| ✓ |  |  |  |
| ✓ |  |  |  |



|                             | <i><b>Date Received</b></i> | <i><b>Workshop<br/>Recommendation</b></i> | <i><b>Revision Deadline<br/>***</b></i> | <i><b>Author and<br/>Rapporteur<br/>Signatures</b></i> |
|-----------------------------|-----------------------------|---|---|--|
| <b>First<br/>Submission</b> |                             | recommend for use                         |   |  |
| <b>Revision</b>             |                             |   |   |  |

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

***Justification of Working Group Recommendation***

|  |
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|  |
|--|