SEDAR 21 HMS Blacknose Shark

Guidelines for submitting written public comment

The intent of public comment is to allow interested parties the opportunity to address the draft reports of a SEDAR stock assessment before the report and assessment go to the Review Panel. Comments received will be reviewed by the appointed assessment panel and responded to as appropriate. The assessment panel reserves the right to make changes to the draft report in response to comments received. **These documents are a draft documents. Content and formatting may change between this draft and the version that will be released to the Review Panel on April 4th, 2011.**

The comment period will be open from 31 January 2011, to 14 February 2011. All comments must be in writing and submitted via US mail, fax, or by email to the appropriate address indicated below; comments sent by US mail must be postmarked by February 14, 2011. Comments will not be accepted by phone. Any comments received after **February 14, 2011** will not be forwarded to the panel. Please clearly indicate that you are commenting on the "SEDAR 21 Assessment reports" in your correspondence. Please indicate which assessment you are commenting on: blacknose Gulf of Mexico or blacknose Atlantic.

Comments for the SEDAR 21 HMS Blacknose stock assessments may be submitted to the following:
Email: Sedar21comments@safmc.net Fax: (843) 769-4520

Address:
SEDAR 21 AW Comments -
4055 Faber Place Dr., Suite 201
North Charleston, SC 29405

When preparing comments for submission please keep the following guidelines in mind:

1. **Relevancy**. Please keep your comments concise and relevant to the assessment documents presented for comment.
   a) Target specific issues,
   b) Include data and facts with references,
   c) Propose specific ideas or suggestions for solving any problems you identify,
   d) Please comment on the assessment decisions and inputs that lead to the results, not on the results of the assessment.
2. **No personal or slanderous remarks**. Please be respectful and avoid personal attacks.
3. Comments should be directed to 'SEDAR 21 Assessment Panel' not to individual panel members.
4. You may submit comments anonymously.
5. All comments are considered public documents in compliance with open meeting and public record laws. All public documents will be available to the general public.

SEDAR
Southeast Data, Assessment, and Review

SEDAR 21
Pre-Review Stock Assessment Report

HMS Gulf of Mexico Blacknose Shark

January 2011

SEDAR
4055 Faber Place Drive, Suite 201
North Charleston, SC 29405

This information is distributed solely for the purpose of peer review. It does not represent and should not be construed to represent any agency determination or policy.

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SEDAR

Southeast Data, Assessment, and Review

SEDAR 21

HMS Gulf of Mexico Blacknose Shark

SECTION I: Introduction

SEDAR
4055 Faber Place Drive, Suite 201
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1. SEDAR PROCESS DESCRIPTION

SouthEast Data, Assessment, and Review (**SEDAR**) is a cooperative Fishery Management Council process initiated in 2002 to improve the quality and reliability of fishery stock assessments in the South Atlantic, Gulf of Mexico, and US Caribbean. SEDAR seeks improvements in the scientific quality of stock assessments and the relevance of information available to address fishery management issues. SEDAR emphasizes constituent and stakeholder participation in assessment development, transparency in the assessment process, and a rigorous and independent scientific review of completed stock assessments.

SEDAR is managed by the Caribbean, Gulf of Mexico, and South Atlantic Regional Fishery Management Councils in coordination with NOAA Fisheries and the Atlantic and Gulf States Marine Fisheries Commissions. Oversight is provided by a Steering Committee composed of NOAA Fisheries representatives: Southeast Fisheries Science Center Director and the Southeast Regional Administrator; Regional Council representatives: Executive Directors and Chairs of the South Atlantic, Gulf of Mexico, and Caribbean Fishery Management Councils; and Interstate Commission representatives: Executive Directors of the Atlantic States and Gulf States Marine Fisheries Commissions.

SEDAR is organized around two workshops and a series of webinars. First is the Data Workshop, during which fisheries, monitoring, and life history data are reviewed and compiled. The second stage is the Assessment Process, which is conducted via a series of webinars, during which assessment models are developed and population parameters are estimated using the information provided from the Data Workshop. Third and final is the Review Workshop, during which independent experts review the input data, assessment methods, and assessment products. The completed assessment, including the reports of all 3 workshops and all supporting documentation, is then forwarded to the Council SSC for certification as ‘appropriate for management’ and development of specific management recommendations.

SEDAR workshops are public meetings organized by SEDAR staff and the lead Cooperator. Workshop participants are drawn from state and federal agencies, non-government organizations, Council members, Council advisors, and the fishing industry with a goal of including a broad range of disciplines and perspectives. All participants are expected to contribute to the process by preparing working papers, contributing, providing assessment analyses, and completing the workshop report.

SEDAR Review Workshop Panels consist of a chair, 3 reviewers appointed by the Center for Independent Experts (CIE), and three reviewers appointed from the SSC of the Council having jurisdiction over the stocks being assessed. The Review Workshop Chair is appointed by the Council from their SSC. Participating councils may appoint additional representatives of their SSC, Advisory, and other panels as observers.

2. MANAGEMENT OVERVIEW

2.1 *FISHERY MANAGEMENT PLAN AND AMENDMENTS*

Given the interrelated nature of the shark fisheries, the following section provides an overview of shark management primarily since 1993 through 2009 for sandbar, dusky, and blacknose sharks. The following summary focuses only on those management actions that likely affect these three species. The latter part of the document is organized according to individual species. The management measures implemented under fishery management plans and amendments are also summarized in Table 1.

The U.S. Atlantic shark fisheries developed rapidly in the late 1970s due to increased demand for their meat, fins, and cartilage worldwide. At the time, sharks were perceived to be underutilized as a fishery resource. The high commercial value of shark fins led to the controversial practice of "finning," or removing the valuable fins from sharks and discarding the carcasses. Growing demand for shark products encouraged expansion of the commercial fishery throughout the late 1970s and the 1980s. Tuna and swordfish vessels began to retain a greater proportion of their shark incidental catch and some directed fishery effort expanded as well.

Preliminary Fishery Management Plan (PMP) for Atlantic Billfish and Sharks

In January 1978, NMFS published the Preliminary Fishery Management Plan (PMP) for Atlantic Billfish and Sharks (43 FR 3818), which was supported by an Environmental Impact Statement (EIS) (42 FR 57716). This PMP was a Secretarial effort. The management measures contained in the plan were designed to:

1. Minimize conflict between domestic and foreign users of billfish and shark resources;
2. Encourage development of an international management regime; and
3. Maintain availability of billfishes and sharks to the expanding U.S. fisheries.

Primary shark management measures in the Atlantic Billfish and Shark PMP included:

- Mandatory data reporting requirements for foreign vessels;
- A hard cap on the catch of sharks by foreign vessels, which when achieved would prohibit further landings of sharks by foreign vessels;
- Permit requirements for foreign vessels to fish in the Fishery Conservation Zone (FCZ) of the United States;
- Radio checks by foreign vessels upon entering and leaving the FCZ;
- Boarding and inspection privileges for U.S. observers; and
- Prohibition on intentional discarding of fishing gears by foreign fishing vessels within the FCZ that may pose environmental or navigational hazards.

In the 1980s, the Regional Fishery Management Councils were responsible for the management of Atlantic highly migratory species (HMS). Thus, in 1985 and 1988, the five Councils finalized joint FMPs for swordfish and billfish, respectively. As catches accelerated through the 1980s, shark stocks started to show signs of decline. Peak commercial landings of large coastal and pelagic sharks were reported in 1989. In 1989, the five Atlantic Fishery Management Councils asked the Secretary of Commerce (Secretary) to develop a Shark Fishery Management Plan (FMP). The Councils were concerned about the late maturity and low fecundity of sharks, the increase in fishing mortality, and the possibility of the resource being overfished. The Councils requested that the FMP cap commercial fishing effort, establish a recreational bag limit, prohibit finning, and begin a data collection system.

On November 28, 1990, the President of the United States signed into law the Fishery Conservation Amendments of 1990 (Pub. L. 101-627). This law amended the Magnuson Fishery Conservation and Management Act (later renamed the Magnuson-Stevens Fishery Conservation and Management Act or Magnuson-Stevens Act) and gave the Secretary the authority (effective January 1, 1992) to manage HMS in the exclusive economic zone (EEZ) of the Atlantic Ocean, Gulf of Mexico, and Caribbean Sea under authority of the Magnuson-Stevens Act (16 U.S.C. §1811). This law also transferred from the Fishery Management Councils to the Secretary, effective November 28, 1990, the management authority for HMS in the Atlantic Ocean, Gulf of Mexico, and Caribbean Sea (16 U.S.C. §1854(f)(3)). At this time, the Secretary delegated authority to manage Atlantic HMS to NMFS.

1993 Fishery Management Plan for Sharks of the Atlantic Ocean (1993 FMP)

In 1993, the Secretary of Commerce, through NMFS, implemented the FMP for Sharks of the Atlantic Ocean. The management measures in the 1993 FMP included:

- Establishing a fishery management unit (FMU) consisting of 39 frequently caught species of Atlantic sharks, separated into three groups for assessment and regulatory purposes (Large Coastal Sharks (LCS), Small Coastal Sharks (SCS), and pelagic sharks)[1];
- Establishing calendar year commercial quotas for the LCS and pelagic sharks and dividing the annual quota into two equal half-year quotas that applied to the following two fishing periods – January 1 through June 30 and July 1 through December 31;
- Establishing a recreational trip limit of four sharks per vessel for LCS or pelagic shark species groups and a daily bag limit of five sharks per person for sharks in the SCS species group;
- Requiring that all sharks not taken as part of a commercial or recreational fishery be released uninjured;

[1] At that time, sandbar and dusky sharks were managed within the large coastal shark complex, and blacknose sharks were managed within the small coastal shark complex.

- Establishing a framework procedure for adjusting commercial quotas, recreational bag limits, species size limits, management unit, fishing year, species groups, estimates of maximum sustainable yield (MSY), and permitting and reporting requirements;
- Prohibiting finning by requiring that the ratio between wet fins/dressed carcass weight not exceed five percent;
- Prohibiting the sale by recreational fishermen of sharks or shark products caught in the Economic Exclusive Zone (EEZ);
- Requiring annual commercial permits for fishermen who harvest and sell shark products (meat products and fins);
- Establishing a permit eligibility requirement that the owner or operator (including charter vessel and headboat owners/operators who intend to sell their catch) must show proof that at least 50 percent of earned income has been derived from the sale of the fish or fish products or charter vessel and headboat operations or at least $20,000 from the sale of fish during one of three years preceding the permit request;
- Requiring trip reports by permitted fishermen and persons conducting shark tournaments and requiring fishermen to provide information to NMFS under the Trip Interview Program; and,
- Requiring NMFS observers on selected shark fishing vessels to document mortality of marine mammals and endangered species.

At that time, NMFS identified LCS as overfished and established the quota at 2,436 metric tons (mt) dressed weight (dw) based on a 1992 stock assessment. Under the rebuilding plan established in the 1993 FMP, the LCS quota was expected to increase in 1994 and 1995 up to the MSY estimated in the 1992 stock assessment (3,800 mt dw).

In 1994, under the rebuilding plan implemented in the 1993 FMP, the LCS quota was increased to 2,570 mt dw. Additionally, a new stock assessment was completed in March 1994. This stock assessment focused on LCS, suggested that recovery to the levels of the 1970s could take as long as 30 years, and concluded that "increases in the [Total Allowable Catch (TAC)] for sharks [are] considered risk-prone with respect to promoting stock recovery." A final rule that capped quotas for LCS at the 1994 levels was published on May 2, 1995 (60 FR 21468).

1999 Fishery Management Plan for Atlantic Tunas, Swordfish and Sharks (1999 FMP)

In June 1996, NMFS convened another stock assessment to examine the status of LCS stocks. The 1996 stock assessment found no clear evidence that LCS stocks were rebuilding and concluded that "[a]nalyses indicate that recovery is more likely to occur with reductions in effective fishing mortality rate of 50 [percent] or more." In addition, in 1996, amendments to the Magnuson-Stevens Act modified the definition of overfishing and established new provisions to halt overfishing and rebuild overfished stocks, minimize bycatch and bycatch mortality to the extent practicable, and identify and protect essential fish habitat. Accordingly, in 1997, NMFS began the process of creating a rebuilding plan for overfished HMS, including LCS, consistent with the new provisions. In addition, in 1995 and 1997, new quotas were established for LCS and SCS (see Section 2.0 below). In June 1998, NMFS held another LCS stock assessment. The 1998 stock assessment found that LCS were overfished and would not rebuild under 1997 harvest levels. Based in part on the results of the 1998 stock assessment, in April 1999, NMFS published the final 1999 FMP, which included numerous measures to rebuild or prevent overfishing of Atlantic sharks in commercial and recreational fisheries. The 1999 FMP amended and replaced the 1993 FMP. Management measures related to sharks that changed in the 1999 FMP included:

- Reducing commercial LCS and SCS quotas;
- Establishing ridgeback and non-ridgeback categories of LCS;
- Implementing a commercial minimum size for ridgeback LCS;
- Establishing blue shark, porbeagle shark, and other pelagic shark subgroups of the pelagic sharks and establishing a commercial quota for each subgroup;
- Reducing recreational retention limits for all sharks;
- Establishing a recreational minimum size for all sharks except Atlantic sharpnose;
- Expanding the list of prohibited shark species to 19 species, including dusky sharks[2];
- Added deepwater sharks to the fishery management unit;
- Established EFH for 39 species of sharks;
- Implementing limited access in commercial fisheries;
- Establishing a shark public display quota;
- Establishing new procedures for counting dead discards and state landings of sharks after Federal fishing season closures against Federal quotas; and
- Establishing season-specific over- and underharvest adjustment procedures.

The implementing regulations were published on May 28, 1999 (64 FR 29090). However, in 1999, a court enjoined implementation of the 1999 regulations, as they related to the ongoing litigation on the 1997 quotas. As such, many of the regulations in the 1999 FMP had a delayed implementation or were never implemented. These changes are explained below under Section 2.0.

2003 Amendment 1 to 1999 FMP for Atlantic Tunas, Swordfish, and Sharks (Amendment 1)

In 2002, additional LCS and SCS stock assessments were conducted. Based on these assessments, NMFS re-examined many of the shark management measures in the 1999 FMP for Atlantic Tunas, Swordfish, and Sharks. The changes in Amendment 1 affected all aspects of

[2] In addition to white, basking, sand tiger, bigeye sand tiger, whale sharks, which were already prohibited, NMFS prohibited Atlantic angel, bigeye sixgill, bigeye thresher, bignose, Caribbean reef, Caribbean sharpnose, dusky, Galapagos, longfin mako, narrowtooth, night, sevengill, sixgill, and smalltail sharks.

shark management. The final management measures (December 24, 2003, 68 FR 74746) selected in Amendment 1 included, among other things:

- Aggregating the large coastal shark complex;
- Using maximum sustainable yield as a basis for setting commercial quotas;
- Eliminating the commercial minimum size;
- Establishing regional commercial quotas and trimester commercial fishing seasons, adjusting the recreational bag and size limits, establishing gear restrictions to reduce bycatch or reduce bycatch mortality;
- Establishing a time/area closure off the coast of North Carolina;
- Removing the deepwater/other sharks from the management unit;
- Establishing a mechanism for changing the species on the prohibited species list;
- Updating essential fish habitat identifications for five species of sharks; and,
- Changing the administration for issuing permits for display purposes.

2006 Consolidated HMS FMP

NMFS issued two separate FMPs in April 1999 for the Atlantic HMS fisheries. The 1999 Fishery Management Plan for Atlantic Tunas, Swordfish, and Sharks combined, amended, and replaced previous management plans for swordfish and sharks, and was the first FMP for tunas. Amendment 1 to the Billfish Management Plan updated and amended the 1988 Billfish FMP. The 2006 Consolidated HMS FMP consolidated the management of all Atlantic HMS into one comprehensive FMP, adjusted the regulatory framework measures, continued the process for updating HMS EFH, and combined and simplified the objectives of the previous FMPs.

In 2005, NMFS released the draft Consolidated HMS FMP. In July 2006, the final Consolidated HMS FMP was completed and the implementing regulations were published on October 2, 2006 (71 FR 58058). Measures that were specific to the shark fisheries included:

- Mandatory workshops and certifications for all vessel owners and operators that have pelagic longline (PLL) or bottom longline (BLL) gear on their vessels and that had been issued or were required to be issued any of the HMS limited access permits (LAPs) to participate in HMS longline and gillnet fisheries. These workshops provide information and ensure proficiency with using required equipment to handle release and disentangle sea turtles, smalltooth sawfish, and other non-target species;
- Mandatory Atlantic shark identification workshops for all federally permitted shark dealers to train shark dealers to properly identify shark carcasses;
- Differentiation between PLL and BLL gear based upon the species composition of the catch onboard or landed;

- The requirement that the 2nd dorsal fin and the anal fin remain on all sharks through landing; and,
- Prohibition on the sale or purchase of any HMS that was offloaded from an individual vessel in excess of the retention limits specified in §§ 635.23 and 635.24.

The 2006 Consolidated HMS FMP also included a plan for preventing overfishing of finetooth sharks by expanding observer coverage, collecting more information on where finetooth sharks are being landed, and coordinating with other fisheries management entities that are contributing to finetooth shark fishing mortality.

2008 Amendment 2 to the 2006 Consolidated HMS FMP

In 2005/2006, new stock assessments were conducted on the LCS complex, sandbar, blacktip, porbeagle, and dusky sharks. Based on the results of those assessments, NMFS amended the 2006 Consolidated HMS FMP. On April 10, 2008, NMFS released the Final EIS for Amendment 2 to the Consolidated HMS FMP. Assessments for dusky (*Carcharhinus obscurus*) and sandbar (*C. plumbeus*) sharks indicated that these species were overfished with overfishing occurring and that porbeagle sharks (*Lamna nasus*) were overfished. NMFS implemented management measures consistent with recent stock assessments for sandbar, porbeagle, dusky, blacktip (*C. limbatus*) and the LCS complex. The implementing regulations were published on June 24, 2008 (73 FR 35778; corrected version published July 15, 2008; 73 FR 40658). Management measures implemented in Amendment 2 included:

- Initiating rebuilding plans for porbeagle, dusky, and sandbar sharks consistent with stock assessments;
- Implementing commercial quotas and retention limits consistent with stock assessment recommendations to prevent overfishing and rebuild overfished stocks;
- Modifying recreational measures to reduce fishing mortality of overfished/overfishing stocks;
- Modifying reporting requirements;
- Modifying timing of shark stock assessments;
- Clarifying timing of release for annual Stock Assessment and Fishery Evaluation (SAFE) reports;
- Updating dehooking requirements for smalltooth sawfish;
- Requiring that all Atlantic sharks be offloaded with fins naturally attached;
- Collecting shark life history information via the implementation of a sandbar shark research program; and,
- Implementing time/area closures recommended by the South Atlantic Fishery Management Council.

2010 Amendment 3 to the 2006 Consolidated HMS FMP (Amendment 3)

An SCS stock assessment was finalized during the summer of 2007, which assessed finetooth, Atlantic sharpnose, blacknose, and bonnethead sharks separately. Based on these assessments, NMFS determined that blacknose sharks were overfished with overfishing occurring; however, Atlantic sharpnose, bonnethead, and finetooth sharks were not overfished and overfishing was not occurring, and NMFS issued a Notice of Intent (NOI) announcing its intent to amend the 2006 Consolidated HMS FMP in order to rebuild blacknose sharks, among other things (May 7, 2008, 73 FR 25665).

On July 24, 2009 (74 FR 36706 and 74 FR 36892), the draft EIS and proposed rule were released, which considered a range of alternative management measures from several different topics including small coastal sharks (SCS) commercial quotas, commercial gear restrictions, pelagic shark effort controls, recreational measures for SCS and pelagic sharks, and smooth dogfish management measures. In order to rebuild blacknose sharks, NMFS proposed to establish a new blacknose shark specific quota of 14.9 mt dw and establish a new non-blacknose SCS quota of 56.9 mt dw. In addition, NMFS proposed to prohibit the landings of all sharks from South Carolina south using gillnet gear, and prohibit the landing of blacknose sharks in the recreational shark fishery. However, based on additional data and analyzes and public comment, in the final EIS (75 FR 13276, March 19, 2010), NMFS preferred to implement a blacknose shark specific quota of 19.9 mt dw and establish a new non-blacknose SCS quota of 221.6 mt dw while allowing sharks to be landed with gillnet gear and recreational anglers to be able to retain blacknose sharks, as long as they meet the minimum recreational size limit. The final rule for this action is anticipated in early summer of 2010. Therefore, while these regulations will not be in place during the time series of data considered for the 2010 blacknose assessment; however, changes in fishing practices in 2009 by SCS fishermen, particularly in the gillnet fishery, may have occurred even in the absence of regulation due to the proposed actions in the draft EIS for Amendment 3.

Table 1 FMP Amendments and regulations affecting sandbar, dusky, and blacknose sharks

Effective Date	FMP/Amendment	Description of Action
January 1978	Preliminary Fishery Management Plan (PMP) for Atlantic Billfish and Sharks	• Mandatory data reporting requirements for foreign vessels; and, • Established a hard cap on the catch of sharks by foreign vessels, which when achieved would prohibit further landings of sharks by foreign vessels
Most parts effective April 26, 1993, such as quotas, complexes, etc. Finning prohibition effective May 26, 1993. Need to have permit, report landings, and carry observers effective July 1, 1993.	FMP for Sharks of the Atlantic Ocean	• Established a fishery management unit (FMU) consisting of 39 frequently caught species of Atlantic sharks, separated into three groups for assessment and regulatory purposes (LCS, SCS, and pelagic sharks); • Established calendar year commercial quotas for the LCS (2,436 mt dw) and pelagic sharks (580 mt dw) and divided the annual quota into two equal half-year quotas that apply to the following two fishing periods – January 1 through June 30 and July 1 through December 31; • Establishing a recreational trip limit of 4 LCS & pelagic sharks/vessel and a daily bag limit of 5 SCS/person; • Prohibited finning by requiring that the ratio between wet fins/dressed carcass weight not exceed five percent; • Prohibited the sale by recreational fishermen of sharks or shark products caught in the Economic Exclusive Zone (EEZ); • Required annual commercial permits for fishermen who harvest and sell shark (meat products and fins); and, • Requiring trip reports by permitted fishermen and persons conducting shark tournaments and requiring fishermen to provide information to NMFS under the Trip Interview Program. Other management measures included: establishing a framework procedure for adjusting commercial quotas, recreational bag limits, species size limits, management unit, fishing year, species groups, estimates of maximum sustainable yield (MSY), and permitting and reporting requirements; establishing a permit eligibility requirement that the owner or operator (including charter vessel and headboat owners/operators who intend to sell their catch); and requiring NMFS observers on selected shark fishing vessels to document mortality of marine mammals and endangered species.
July 1, 1999 -Limited access permits issued immediately; application and appeals processed over the next year (measures in italics were delayed)	FMP for Atlantic Tunas, Swordfish and Sharks	• Implemented limited access in commercial fisheries; • Reduced commercial LCS and SCS quotas to 1,285 mt dw and 1,760 mt dw, respectively; • Reduced recreational retention limits for all sharks to 1 shark/vessel/trip except for Atlantic sharpnose (1 Atlantic sharpnose/person/trip); • Established a recreational minimum size for all sharks except Atlantic sharpnose (4.5 feet); • Established a shark public display quota (60 mt ww); • Expanded the list of prohibited shark species (in addition to sand tiger, bigeye sand tiger, basking, whale, and white sharks, prohibited Atlantic angel, bigeye sixgill, bigeye thresher, bignose, Caribbean reef, Caribbean sharpnose, **dusky**, galapagos, longfin mako, narrowtooth, night, sevengill, sixgill, smalltail sharks) (*effective July 1, 2000)*; • Established blue shark, porbeagle shark, and other pelagic shark subgroups of the pelagic sharks and establishing a commercial quota for each subgroup(blue shark=273 mt dw; porbeagle shark=92 mt dw; other pelagics=488 mt dw) *(effective January 1, 2001)*; • Established new procedures for counting dead discards and state landings

Effective Date	FMP/Amendment	Description of Action
		of sharks after Federal fishing season closures against Federal quotas; and established season-specific over- and underharvest adjustment procedures *(effective January 1, 2003)*; • Established ridgeback and non-ridgeback categories of LCS (annual quotas of 783 mt dw for non-ridgeback LCS & 931 mt dw for ridgeback LCS; *effective January 1, 2003; suspended after 2003 fishing year*); and, • Implemented a commercial minimum size for ridgeback LCS (*suspended*).
February 1, 2004, except LCS and SCS quotas, and recreational retention and size limits, which were delayed	Amendment 1 to the FMP for Atlantic Tunas, Swordfish and Sharks	• Removed the deepwater/other sharks from the management unit; • Aggregated the large coastal shark complex; • Eliminated the commercial minimum size; • Established gear restrictions to reduce bycatch or reduce bycatch mortality (allowed only handline and rod and reel in recreational shark fishery); • Used maximum sustainable yield as a basis for setting commercial quotas (LCS quota=1,017 mt dw; SCS quota = 454 mt dw) (*effective December 30, 2003*); • Adjusted the recreational bag and size limits (allowed 1 bonnethead/person/trip in addition to 1 Atlantic sharpnose/person/trip with no size limit for bonnethead or Atlantic sharpnose) (*effective December 30, 2003*); • Established regional commercial quotas and trimester commercial fishing seasons (*trimesters not implemented until January 1, 2005; 69 FR 6964*); and, • Established a time/area closure off the coast of North Carolina (*effective January 1, 2005*). Other management measures included: establishing a mechanism for changing the species on the prohibited species list; updating essential fish habitat identifications for five species of sharks; requiring the use of non-stainless steel corrodible hooks and the possession of line cutters, dipnets, and approved dehooking device on BLL vessels; requiring vessel monitoring systems (VMS) for fishermen operating near the time/area closures off North Carolina and on gillnet vessels operating during the right whale calving season and, changing the administration for issuing display permits.
November 1, 2006, except for workshops	Consolidated HMS FMP	• Differentiation between PLL and BLL gear based upon the species composition of the catch onboard or landed; • The requirement that the 2nd dorsal fin and the anal fin remain on all sharks through landing; • Mandatory workshops and certifications for all vessel owners and operators that have PLL or BLL gear on their vessels for fishermen with HMS LAPs (*effective January 1, 2007*); and • Mandatory Atlantic shark identification workshops for all Federally permitted shark dealers (*effective January 1, 2007*).
July 24, 2008	Amendment 2 to the 2006 Consolidated HMS FMP	• Initiating rebuilding plans for porbeagle, dusky, and sandbar sharks consistent with stock assessments; • Established a shark research fishery which collects shark life history information; • Implemented commercial quotas and retention limits consistent with stock assessment recommendations to prevent overfishing and rebuild overfished stocks (sandbar research annual quota = 87.9 mt dw; non-sandbar LCS annual research quota = 37.5 mt dw; GOM regional non-sandbar LCS annual quota = 390.5 mt dw; ATL regional non-sandbar LCS annual quota = 187.8 mt dw; retention limit = 33 non-sandbar

Effective Date	FMP/Amendment	Description of Action
		LCS/vessel/trip outside of shark research fishery with no sandbar shark retention; sandbar retention only allowed within shark research fishery. Trip limits within research fishery were as follows: 2008-2,750 lb dw/trip of LCS of which no more than 2,000 lb dw could be sandbar sharks; 2009-45 sandbar and 33 non-sandbar LCS/trip: 2010-33 sandbar/trip and 33 non-sandbar/trip; • Modified recreational measures to reduce fishing mortality of overfished/overfishing stocks (prohibiting the retention of silky and sandbar sharks for recreational anglers); • Required that all Atlantic sharks be offloaded with fins naturally attached; and, • Implemented BLL time/area closures recommended by the South Atlantic Fishery Management Council. • Other management measures included: modifying reporting requirements (dealer reports must be received by NMFS within 10 days of the reporting period), and modifying timing of shark stock assessments.
Expected 2010	Amendment 3 to the 2006 Consolidated HMS FMP	• Preferred actions include establishing a non-blacknose SCS quota of 221.6 mt and a blacknose-specific quota of 19.9 mt; and, • Proposed a prohibition of landing sharks in gillnets from South Carolina south in July 2009.

Emergency and Other Major Rules

Rules in Relation to 1993 FMP

A number of difficulties arose in the initial year of implementation of the 1993 FMP that resulted in a short season and low ex-vessel prices. First, the January to June semi-annual LCS quota was exceeded shortly after implementation of the FMP, and that portion of the commercial fishery was closed on May 10, 1993. The LCS fishery reopened on July 1, 1993, with an adjusted quota of 875 mt dw (see Table 3 below). Derby-style fishing, coupled with what some participants observed to be an unusual abundance or availability of sharks, led to an intense and short fishing season for LCS, with the fishery closing within one month. Although fin prices remained strong throughout the brief season, the oversupply of shark carcasses led to reports of record low prices. The closure was significantly earlier than expected, and a number of commercial fishermen and dealers indicated that they were adversely affected. The intense season also complicated the task of monitoring the LCS quota and closing the season with the required advance notice.

To address these problems, a commercial trip limit of 4,000 lb for permitted vessels for LCS was implemented on December 28, 1993 (58 FR 68556), and a control date for the Atlantic shark fishery was established on February 22, 1994 (59 FR 8457). A final rule to implement additional measures authorized by the 1993 FMP published on October 18, 1994 (59 FR 52453), which:

- Clarified operation of vessels with a Federal commercial permit;

- Established the fishing year;
- Consolidated the regulations for drift gillnets;
- Required dealers to obtain a permit to purchase sharks;
- Required dealer reports;
- Established recreational bag limits;
- Established quotas for commercial landings; and
- Provided for commercial fishery closures when quotas were reached.

A final rule that capped quotas for LCS (2,570 mt dw) and pelagic sharks (580 mt dw) at the 1994 levels was published on May 2, 1995 (60 FR 21468).

In response to a 1996 LCS stock assessment, in 1997, NMFS reduced the LCS commercial quota by 50 percent to 1,285 mt dw and the recreational retention limit to two LCS, SCS, and pelagic sharks combined per trip with an additional allowance of two Atlantic sharpnose sharks per person per trip (62 FR 16648, April 2, 1997). In this same rule, NMFS established an annual commercial quota for SCS of 1,760 mt dw and prohibited possession of five LCS: sand tiger, bigeye sand tiger, whale, basking, and white sharks. On May 2, 1997, the Southern Offshore Fishing Association (SOFA) and other commercial fishermen and dealers sued the Secretary of Commerce (Secretary) on the April 1997 regulations.

In May 1998, NMFS completed its consideration of the economic effects of the 1997 LCS quotas on fishermen and submitted the analysis to the court. NMFS concluded that the 1997 LCS quotas may have had a significant economic impact on a substantial number of small entities and that there were no other available alternatives that would both mitigate those economic impacts and ensure the viability of the LCS stocks. Based on these findings, the court allowed NMFS to maintain those quotas while the case was settled in combination with litigation mentioned below regarding the 1999 FMP.

Rules in Relation to the 1999 FMP

The implementing regulations for the 1999 FMP were published on May 28, 1999 (64 FR 29090). At the end of June 1999, NMFS was sued several times by several different entities regarding the commercial and recreational management measures in the 1999 FMP. Due to the overlap of one of those lawsuits with the 1997 litigation, on June 30, 1999, NMFS received a court order enjoining it from enforcing the 1999 regulations with respect to Atlantic shark commercial catch quotas and fish-counting methods (including the counting of dead discards and state commercial landings after Federal closures), which were different from the quotas and fish counting methods prescribed by the 1997 Atlantic shark regulations. A year later, on June 12, 2000, the court issued an order clarifying that NMFS could proceed with implementation and enforcement of the 1999 prohibited species provisions (64 FR 29090, May 28, 1999).

On September 25, 2000, the United States District Court for the District of Columbia ruled against the plaintiffs regarding the commercial pelagic shark management measures, stating that the regulations were consistent with the Magnuson-Stevens Act and the Regulatory Flexibility Act. On September 20, 2001, the same court ruled against different plaintiffs regarding the recreational shark retention limits in the 1999 FMP, again stating that the regulations were consistent with the Magnuson-Stevens Act.

On November 21, 2000, SOFA *et al*. and NMFS reached a settlement agreement for the May 1997 and June 1999 lawsuits. On December 7, 2000, the United States District Court for the Middle District of Florida entered an order approving the settlement agreement and lifting the injunction. The settlement agreement required, among other things, an independent (*i.e*., non-NMFS) review of the 1998 LCS stock assessment. The settlement agreement did not address any regulations affecting the pelagic shark, prohibited species, or recreational shark fisheries. Once the injunction was lifted, on January 1, 2001, the pelagic shark quotas adopted in the 1999 FMP were implemented (66 FR 55). Additionally, on March 6, 2001, NMFS published an emergency rule implementing the settlement agreement (66 FR 13441). This emergency rule expired on September 4, 2001, and established the LCS (1,285 mt dw) and SCS commercial quotas (1,760 mt dw) at 1997 levels.

In late 2001, the Agency received the results of the independent peer review of the 1998 LCS stock assessment. These peer reviews found that the 1998 LCS stock assessment was not the best available science for LCS. Taking into consideration the settlement agreement, the results of the peer reviews of the 1998 LCS stock assessment, current catch rates, and the best available scientific information (not including the 1998 stock assessment projections), NMFS implemented another emergency rule for the 2002 fishing year that suspended certain measures under the 1999 regulations pending completion of new LCS and SCS stock assessments and a peer review of the new LCS stock assessment (66 FR 67118, December 28, 2001; extended 67 FR 37354, May 29, 2002). Specifically, NMFS maintained the 1997 LCS commercial quota (1,285 mt dw), maintained the 1997 SCS commercial quota (1,760 mt dw), suspended the commercial ridgeback LCS minimum size, suspended counting dead discards and state landings after a Federal closure against the quota, and replaced season-specific quota accounting methods with subsequent-season quota accounting methods. That emergency rule expired on December 30, 2002.

On May 28, 2002 (67 FR 36858), NMFS announced the availability of a modeling document that explored the suggestions of the CIE and NRC peer reviews on LCS. Then NMFS held a 2002 LCS stock assessment workshop in June 2002. On October 17, 2002, NMFS announced the availability of the 2002 LCS stock assessment and the workshop meeting report (67 FR 64098). The results of this stock assessment indicated that the LCS complex was still overfished and overfishing was occurring. Additionally, the 2002 LCS stock assessment found that sandbar sharks were no longer overfished but that overfishing was still occurring and that blacktip sharks were rebuilt and overfishing was not occurring. In addition, on May 8, 2002, NMFS announced the availability of a SCS stock assessment (67 FR 30879). The Mote Marine Laboratory and the University of Florida provided NMFS with another SCS assessment in

August 2002. Both of these stock assessments indicated that finetooth sharks were experiencing overfishing while the three other species in the SCS complex (Atlantic sharpnose, bonnethead, and blacknose) were not overfished and overfishing was not occurring.

Based on the results of both the 2002 SCS and LCS stock assessments, NMFS implemented an emergency rule to ensure that the commercial management measures in place for the 2003 fishing year were based on the best available science (67 FR 78990, December 27, 2002; extended 68 FR 31987, May 29, 2003). Specifically, the emergency rule implemented the LCS ridgeback/non-ridgeback split established in the 1999 FMP (the ridgeback quota was set at 783 mt dw and the non-ridgeback quota was set at 931 mt dw), suspended the commercial ridgeback LCS minimum size, and allowed both the season-specific quota adjustments and the counting of all mortality measures to go into place, and reduced the SCS annual commercial quota to 325 mt dw. Additionally, NMFS announced its intent to conduct an EIS and amend the 1999 FMP (67 FR 69180, November 15, 2002).

The emergency rule was an interim measure to maintain the status of LCS pending the re-evaluation of management measures in the context of the rebuilding plan through the amendment to the 1999 FMP. The emergency rule for the 2003 fishing year implemented for the first and only time the classification system (ridgeback/non-ridgeback LCS) finalized in the 1999 FMP. Table 5 indicates which LCS were considered ridgeback and which non-ridgeback. NMFS also implemented for the first time a provision to count state landings after a Federal closure and to count dead discards against the quota. To calculate the commercial quotas for these groups, NMFS took the average landings for individual species from 1999 through 2001 and either increased them or decreased them by certain percentages, as suggested by scenarios presented in the stock assessment. Because the stock assessment scenarios suggested that an increase in catch for blacktip sharks would not cause overfishing and that maintaining the sandbar sharks would not increase overfishing (the two primary species in the LCS fishery), this method resulted in an increase in the overall quota for the length of the emergency rule. During the comment period on the emergency rule and scoping for this amendment, NMFS received comments regarding, among other things, the quota levels under the rule, concern over secondary species and discards, the ability of fishermen to target certain species, and impacts of the different season length for ridgeback and non-ridgeback LCS. NMFS responded to these comments when extending the emergency rule and further considered these comments when examining the alternatives presented in the Amendment to the 1999 FMP.

NMFS received the results of the peer review of the 2002 LCS stock assessment in December 2002. These reviews were generally positive.

Rules in Relation to 2003 Amendment 1

Based on the 2002 LCS stock assessment, NMFS re-examined many of the shark management measures in the 1999 FMP for Atlantic Tunas, Swordfish, and Sharks. The changes in Amendment 1 affected all aspects of shark management. Shortly after the final rule for Amendment 1 was published, NMFS conducted a rulemaking that adjusted the percent quota for each region, changed the seasonal split for the North Atlantic based on historical landing patterns, finalized a method of changing the split between regions and/or seasons as necessary to account for changes in the fishery over time, and established a method to adjust from semi-annual to trimester seasons (November 30, 2004, 69 FR 6954).

Rules to Reduce Bycatch and Bycatch Mortality in the Atlantic PLL Fishery

Pelagic longline is not a primary gear used to target LCS or SCS; however, sandbar and dusky sharks, in particular, are often caught on PLL gear, which targets swordfish and tuna. Therefore, regulations affecting the PLL fishery could also result in changes in dusky and/or sandbar catches. In the 1999 FMP, NMFS committed to implement a closed area to PLL gear that would effectively protect small swordfish. NMFS began to work towards this goal shortly after the publication of the 1999 FMP. After the publication of the 1999 FMP, NMFS was sued by several entities who felt, among other things, that the Agency had not done enough to reduce bycatch in HMS fisheries. As a result, NMFS expanded the goal of the rule to reduce all bycatch and bycatch mortality, to the extent practicable, in the HMS PLL fishery. The following objectives were developed to guide agency action for this goal:

- Maximize the reduction in finfish bycatch;
- Minimize the reduction in the target catch of swordfish and other species;
- Consider impacts on the incidental catch of other species to minimize or reduce incidental catch levels; and
- Optimize survival of bycatch and incidental catch species.

NMFS published the final rule implementing the first regulatory amendment to the 1999 FMP on August 1, 2000 (65 FR 47214), which closed three large areas (DeSoto Canyon, Florida East Coast, and Charleston Bump) and prohibited the use of live bait in the Gulf of Mexico. The DeSoto Canyon closure was effective on November 1, 2000. The other closures were effective March 1, 2001.

During the course of this rulemaking, the PLL fleet exceeded the Incidental Take Statement (ITS) for sea turtles established during the Endangered Species Act (ESA) Section 7 Consultation for the 1999 FMP. That, combined with new information on sea turtles and the uncertainty regarding what the closures would mean for sea turtles, resulted in a new Biological Opinion (BiOp) (June 30, 2000) that concluded that the operation of the PLL fishery as proposed was likely to jeopardize the continued existence of ESA-listed leatherback and loggerhead sea turtles. As a result, NMFS implemented certain measures to avoid jeopardy by reducing sea turtle bycatch in the PLL fishery.

NMFS decided that further analyses of observer data and additional population modeling of loggerhead sea turtles were needed to determine more precisely the impact of the PLL fishery on turtles. Because of this, NMFS reinitiated consultation on the HMS fisheries on September 7, 2000. In the interim, NMFS implemented emergency regulations, based on historical data on sea turtle interactions, to reduce the short-term effects of the PLL fishery on sea turtles. An emergency rule that closed a portion of the Northeast Distant Statistical Area (NED) and required dipnets and line clippers to be carried and used on PLL vessels to aid in the release of any captured sea turtle published on October 13, 2000 (65 FR 60889).

NMFS issued a BiOp on June 8, 2001 (revised on June 14, 2001), that again concluded that the operation of the Atlantic PLL fishery as proposed was likely to jeopardize the continued existence of loggerhead and leatherback sea turtles. Accordingly, the BiOp provided a reasonable and prudent alternative (RPA) to avoid jeopardy. This BiOp concluded “no jeopardy” for other HMS fisheries, but required additional management measures to reduce sea turtle takes in these fisheries. The RPA included the following elements: closing the NED area effective July 15, 2001, and conducting a research experiment in this area to reduce sea turtle bycatch and bycatch mortality in the PLL fishery; requiring gangions to be placed no closer than twice the average gangion length from the suspending floatlines effective August 1, 2001; requiring gangion lengths to be 110 percent of the length of the floatline in sets of 100 meters or less in depth effective August 1, 2001; and, requiring the use of corrodible hooks effective August 1, 2001. Also, the BiOp included a term and condition for the ITS that recommended that NMFS issue a regulation requiring that all vessels permitted for HMS fisheries, commercial and recreational, post the sea turtle guidelines for safe handling and release following longline interactions inside the wheelhouse by September 15, 2001. The requirement that all vessels permitted for HMS fisheries post sea turtle handling and release guidelines was modified to specify only BLL and PLL vessels by an August 31, 2001 memorandum from the Office of Protected Resources.

On July 13, 2001, NMFS published an emergency rule (66 FR 36711) to implement several of the BiOp recommendations. NMFS published an amendment to the emergency rule to incorporate the change in requirements for the handling and release guidelines that was published in the Federal Register on September 24, 2001 (66 FR 48812). On July 9, 2002, NMFS published the final rule (67 FR 45393) implementing measures required under the June 14, 2001 BiOp on Atlantic HMS to reduce the incidental catch and post-release mortality of sea turtles and other protected species in HMS Fisheries, with the exception of the gangion placement measure. The rule implemented the NED closure, required the length of any gangion to be 10 percent longer than the length of any floatline if the total length of any gangion plus the total length of any floatline is less than 100 meters, and prohibited vessels from having hooks on board other than corrodible, non-stainless steel hooks. In the HMS shark gillnet fishery, both the observer and vessel operator are responsible for sighting whales, the vessel operator must contact NMFS regarding any listed whale takes as defined under MMPA, and shark gillnet fishermen must conduct net checks every 0.5 to 2 hours to look for and remove any sea turtles or marine mammals caught in their gear. The final rule also required all HMS BLL and PLL vessels to post sea turtle handling and release guidelines in the wheelhouse. NMFS did not implement the gangion placement requirement because it appeared to result in an unchanged number of interactions with loggerhead sea turtles and an apparent increase in interactions with leatherback sea turtles.

In 2001, 2002, and 2003, NMFS in conjunction with the fishing industry conducted an experiment in the NED to see if certain gear restrictions or requirements could reduce sea turtle captures and mortality. The results of this experiment indicated that certain gear types could reduce sea turtle interactions and mortality and that certain methods of handling and releasing turtles could further reduce mortality. For example, using 16/0 non-offset or 18/0 offset hooks of at least 10 degrees could reduce leatherback interactions by approximately 50 percent; however loggerhead sea turtle interactions were expected to stay the same. Using 18/0 hooks flat or offset up to 10 degrees could reduce leatherback and loggerhead sea turtle interactions by approximately 50 and 65 percent, respectively.

On November 28, 2003, based on the conclusion of the experiment in the NED, which examined ways to reduce bycatch and bycatch mortality of loggerhead and leatherback sea turtles in the PLL fishery, and based on preliminary data that indicated that the Atlantic PLL fishery may have exceeded the ITS in the June 14, 2001 BiOp, NMFS published a NOI to prepare a Supplemental Environmental Impact Statement (SEIS) to assess the potential effects on the human environment of proposed alternatives and actions under a proposed rule to reduce sea turtle bycatch (68 FR 66783).

In January 2004, NMFS reinitiated consultation after receiving data that indicated the Atlantic PLL fishery exceeded the incidental take statement for leatherback sea turtles in 2001 – 2002 and for loggerhead sea turtles in 2002. In the Spring of 2004, NMFS released a proposed rule that would require fishermen to use certain hook and bait types and take other measures to reduce sea turtle takes and mortality. The resulting June 1, 2004 BiOp considered these measures and concluded that the PLL fishery was not likely to jeopardize the continued existence of loggerhead sea turtles, but was still likely to jeopardize the continued existence of leatherback sea turtles. NMFS published a final rule implementing many gear and bait restrictions and requiring certain handling and release tools and methods on July 6, 2004 (69 FR 40734).

Shark Rules After 2006 Consolidated HMS FMP

On February 16, 2006, NMFS published a temporary rule (71 FR 8223) to prohibit, through March 31, 2006, any vessel from fishing with any gillnet gear in the Atlantic Ocean waters between 32°00’ N. Lat. (near Savannah, GA) and 27°51’ N. Lat. (near Sebastian Inlet, FL) and extending from the shore eastward out to 80°00’ W. long under the authority of the Atlantic Large Whale Take Reduction Plan (ALWTRP) (50 CFR 229.32 (g)) and ESA. NMFS took this action based on its determination that a right whale mortality was the result of an entanglement by gillnet gear within the Southeast U.S. Restricted Area in January of 2006.

NMFS implemented the final rule on June 25, 2007 (72 FR 34632), that prohibits gillnet fishing, including shark gillnet fishing, from November 15 to April 15, between the NC/SC border and 29° 00' N. The action was taken to prevent the significant risk to the wellbeing of endangered right whales from entanglement in gillnet gear in the core right whale calving area during calving season. Limited exemptions to the fishing prohibitions are provided for gillnet fishing for sharks and for Spanish mackerel south of 29°00' N. lat. Shark gillnet vessels fishing between 29° 00' N and 26° 46.5' N have certain requirements as outlined 50 CFR § 229.32 from December 1 through March 31 of each year. These include vessel operators contacting the Southeast Fisheries Science Center (SEFSC) Panama City Laboratory at least 48 hours prior to departure of a fishing trip in order to arrange for an observer.

In addition, a 2007 rule (October 5, 2007, 72 FR 57104) amended restrictions in the Southeast U.S. Monitoring Area from December 1 through March 31. In that area, no person may fish with or possess gillnet gear for sharks with webbing of 5" or greater stretched mesh unless the operator of the vessel is in compliance with the VMS requirements found in 50 CFR 635.69. The Southeast U.S. Monitoring Area is from 27°51' N. (near Sebastian Inlet, FL) south to 26°46.5' N. (near West Palm Beach, FL), extending from the shoreline or exemption line eastward to 80°00' W. In addition, NMFS may select any shark gillnet vessel regulated under the ALWTRP to carry an observer. When selected, the vessels are required to take observers on a mandatory basis in compliance with the requirements for at-sea observer coverage found in 50 CFR 229.7. Any vessel that fails to carry an observer once selected is prohibited from fishing pursuant to 50 CFR § 635. There are additional gear marking requirements that can be found at 50 CFR § 229.32.

In 2007, NMFS expanded the equipment required for the safe handling, release, and disentanglement of sea turtles caught in the Atlantic shark BLL fishery (72 FR 5633, February 7, 2007). As a result, equipment required for BLL vessels is now consistent with the requirements for the PLL fishery. Furthermore, this action implemented several year-round BLL closures to protect EFH to maintain consistency with the Caribbean Fishery Management Council.

Table 2. Chronological list of most of the Federal Register publications relating to Atlantic sharks.

Federal Register Cite	Date	Rule or Notice
Pre 1993		
48 FR 3371	1/25/1983	Preliminary management plan with optimum yield and total allowable level of foreign fishing for sharks
56 FR 20410	5/3/1991	NOA of draft FMP; 8 hearings
57 FR 1250	1/13/1992	NOA of Secretarial FMP
57 FR 24222	6/8/1992	Proposed rule to implement FMP
57 FR 29859	7/7/1992	Correction to 57 FR 24222
1993		
58 FR 21931	4/26/1993	Final rule and interim final rule implementing FMP
58 FR 27336	5/7/1993	Correction to 58 FR 21931
58 FR 27482	5/10/1993	LCS commercial fishery closure announcement
58 FR 40075	7/27/1993	Adjusts 1993 second semi-annual quotas
58 FR 40076	7/27/1993	LCS commercial fishery closure announcement
58 FR 46153	9/1/1993	Notice of 13 public scoping meetings
58 FR 59008	11/5/1993	Extension of comment period for 58 FR 46153
58 FR 68556	12/28/1993	Interim final rule implementing trip limits
1994		
59 FR 3321	1/21/1994	Extension of comment period for 58 FR 68556
59 FR 8457	2/22/1994	Notice of control date for entry
59 FR 25350	5/16/1994	LCS commercial fishery closure announcement
59 FR 33450	6/29/1994	Adjusts second semi-annual 1994 quota
59 FR 38943	8/1/1994	LCS commercial fishery closure announcement
59 FR 44644	8/30/1994	Reopens LCS fishery with new closure date
59 FR 48847	9/23/1994	Notice of public scoping meetings
59 FR 51388	10/11/1994	Rescission of LCS closure
59 FR 52277	10/17/1994	Notice of additional scoping meetings
59 FR 52453	10/18/1994	Final rule implementing interim final rule in 1993 FMP
59 FR 55066	11/3/1994	LCS commercial fishery closure announcement
1995		
60 FR 2071	1/6/1995	Proposed rule to adjust quotas
60 FR 21468	5/2/1995	Final rule indefinitely establishes LCS quota at 1994 level
60 FR 27042	5/22/1995	LCS commercial fishery closure announcement
60 FR 30068	6/7/1995	Announcement of Shark Operations Team meeting
60 FR 37023	7/19/1995	Adjusts second semi-annual 1995 quota
60 FR 38785	7/28/1995	ANPR - Options for Permit Moratoria
60 FR 44824	8/29/1995	Extension of ANPR comment period
60 FR 49235	9/22/1995	LCS commercial fishery closure announcement
60 FR 61243	11/29/1995	Announces Limited Access Workshop
1996		
61 FR 21978	5/13/1996	LCS commercial fishery closure announcement
61 FR 37721	7/19/1996	Announcement of Shark Operations Team meeting.

Federal Register Cite	**Date**	**Rule or Notice**
61 FR 39099	7/26/1996	Adjusts second semi-annual 1996 quota
61 FR 43185	8/21/1996	LCS commercial fishery closure announcement
61 FR 67295	12/20/1996	Proposed rule to reduce Quotas/Bag Limits
61 FR 68202	12/27/1996	Proposed rule to establish limited entry (Draft Amendment 1 to 1993 FMP)
1997		
62 FR 724	1/6/1997	NOA of Draft Amendment 1 to 1993 FMP
62 FR 1705	1/13/1997	Notice of 11 public hearings for Amendment 1
62 FR 1872	1/14/1997	Extension of comment period and notice of public hearings for proposed rule on quotas
62 FR 4239	1/29/1997	Extension of comment period for proposed rule on quotas
62 FR 8679	2/26/1997	Extension of comment period for Amendment 1 to 1993 FMP
62 FR 16647	4/7/1997	Final rule reducing quotas/bag limits
62 FR 16656	4/7/1997	LCS commercial fishery closure announcement
62 FR 26475	5/14/1997	Announcement of Shark Operations Team meeting
62 FR 26428	5/14/1997	Adjusts second semi-annual 1997 LCS quota
62 FR 27586	5/20/1997	Notice of Intent to prepare an supplemental environmental impact statement
62 FR 27703	5/21/1997	Technical Amendment regarding bag limits
62 FR 38942	7/21/1997	LCS commercial fishery closure announcement
1998		
63 FR 14837	3/27/1998	LCS commercial fishery closure announcement
63 FR 19239	4/17/1998	NOA of draft consideration of economic effects of 1997 quotas
63 FR 27708	5/20/1998	NOA of final consideration of economic effects of 1997 quotas
63 FR 29355	5/29/1998	Adjusts second semi-annual 1998 LCS quota
63 FR 41736	8/5/1998	LCS commercial fishery closure announcement
63 FR 57093	10/26/1998	NOA of draft 1999 FMP
1999		
64 FR 3154	1/20/1999	Proposed rule for draft 1999 FMP
64 FR 14154	3/24/1999	LCS commercial fishery closure announcement
64 FR 29090	5/28/1999	Final rule for 1999 FMP
64 FR 30248	6/7/1999	Fishing season notification
64 FR 37700	7/13/1999	Technical amendment to 1999 FMP final rule
64 FR 37883	7/14/1999	Fishing season change notification
64 FR 47713	9/1/1999	LCS fishery reopening
64 FR 52772	9/30/1999	Notice of Availability of outline for National Plan of Action for sharks
64 FR 53949	10/5/1999	LCS closure postponement
64 FR 66114	11/24/1999	Fishing season notification
2000		
65 FR 16186	3/27/2000	Revised timeline for National Plan of Action for sharks
65 FR 35855	6/6/2000	Fishing season notification and 2nd semi-annual LCS quota adjustment
65 FR 47214	8/1/2000	Final rule closing Desoto Canyon, Florida East Coast, and Charleston Bump and requiring live bait for PLL gear in Gulf of Mexico

Federal Register Cite	**Date**	**Rule or Notice**
65 FR 47986	8/4/2000	Notice of Availability of National Plan of Action for sharks
65 FR 38440	6/21/2000	Implementation of prohibited species provisions and closure change
65 FR 60889	10/13/2000	Final rule closed NED and required dipnets and line clippers for PLL vessels
65 FR 75867	12/5/2000	Fishing season notification
2001		
66 FR 55	1/2/2001	Implementation of 1999 FMP pelagic shark quotas
66 FR 10484	2/15/2001	NOA of Final National Plan of Action for the Conservation and Management of Sharks
66 FR 13441	3/6/2001	Emergency rule to implement settlement agreement
66 FR 33918	6/26/2001	Fishing season notification and 2nd semi-annual LCS quota adjustment
66 FR 34401	6/28/2001	Proposed rule to implement national finning ban
66 FR 36711	7/13/2001	Emergency rule implementing 2001 BiOp requirements
66 FR 46401	9/5/2001	LCS fishing season extension
66 FR 48812	9/24/2001	Amendment to emergency rule (66 FR 13441) to incorporate change in requirement for handling and release guidelines
66 FR 67118	12/28/2001	Emergency rule to implement measures based on results of peer review and fishing season notification
2002		
67 FR 6194	2/11/2002	Final rule implementing national shark finning ban
67 FR 8211	2/22/2002	Correction to fishing season notification 66 FR 67118
67 FR 30879	5/8/2002	Notice of availability of SCS stock assessment
67 FR 36858	5/28/2002	Notice of availability of LCS sensitivity document and announcement of stock evaluation workshop in June
67 FR 37354	5/29/2002	Extension of emergency rule and fishing season announcement
67 FR 45393	7/9/2002	Final rule to implement measures under 2001 BiOp (gangion placement measure not implemented), including HMS shark gillnet measures
67 FR 64098	10/17/2002	Notice of availability of LCS stock assessment and final meeting report
67 FR 69180	11/15/2002	Notice of intent to conduct an environmental impact assessment and amend the 1999 FMP
67 FR 72629	12/6/2002	Proposed rule regarding EFPs
67 FR 78990	12/27/2002	Emergency rule to implement measures based on stock assessments and fishing season notification
2003		
68 FR 1024	1/8/2003	Announcement of 4 public hearings on emergency rule
68 FR 1430	1/10/2003	Extension of comment period for proposed rule on EFPs
68 FR 3853	1/27/2003	Announcement of 7 scoping meetings and notice of availability of Issues and Options paper
68 FR 31983	5/29/2003	Emergency rule extension and fishing season notification
68 FR 45196	8/1/2003	Proposed rule and NOA for draft Amendment 1 to 1999 FMP
68 FR 47904	8/12/2003	Public hearing announcement for draft Amendment 1 to 1999 FMP
68 FR 51560	8/27/2003	Announcement of HMS AP meeting on draft Amendment 1 to 1999 FMP
68 FR 54885	9/19/2003	Rescheduling of public hearings and extending comment period for draft Amendment 1 to 1999 FMP

Federal Register Cite	Date	Rule or Notice
68 FR 64621	11/14/2003	NOA of availability of Amendment 1
68 FR 66783	11/28/2003	NOI for SEIS
68 FR 74746	12/24/2003	Final Rule for Amendment 1
2004		
69 FR 6621	02/11/04	Proposed rule for PLL fishery
69 FR 10936	3/9/2004	SCS fishery closure
69 FR 19979	4/15/2004	VMS type approval notice
69 FR 26540	5/13/2004	N. Atlantic Quota Split Proposed Rule
69 FR 28106	5/18/2004	VMS effective date proposed rule
69 FR 30837	6/1/2004	Fishing season notice
69 FR 33321	6/15/2004	N. Atlantic Quota Split Final Rule
69 FR 40734	07/06/04	Final rule for PLL fishery
69 FR 44513	07/26/04	Notice of sea turtle release/protocol workshops
69 FR 47797	8/6/2004	Technical amendment correcting changes to BLL gear requirements
69 FR 49858	08/12/04	Advanced notice of proposed rulemaking; reducing sea turtle interactions with fishing gear
69 FR 51010	8/17/2004	VMS effective date final rule
69 FR 56024	9/17/2004	Regional quota split proposed rule
69 FR 6954	11/30/2004	Regional quota split final rule and season announcement
69 FR 71735	12/10/2004	Correction notice for 69 FR 6954
2005		
70 FR 11922	3/10/2005	2nd and 3rd season proposed rule
70 FR 21673	4/27/2005	2nd and 3rd season final rule
70 FR 24494	5/10/2005	North Carolina Petition for Rulemaking
70 FR 29285	5/20/2005	Notice of handling and release workshops for BLL fishermen
70 FR 48804	8/19/2005	Proposed rule Draft Consolidated HMS FMP
70 FR 48704	8/19/2005	NOA of Draft EIS for Draft Consolidated HMS FMP
70 FR 52380	9/2/2005	Correction to 70 FR 48704
70 FR 53146	9/7/2005	Cancellation of hearings due to Hurricane Katrina
70 FR 54537	9/15/2005	Notice of LCS data workshop
70 FR 55814	9/23/2005	Cancellation of Key West due to Hurricane Rita
70 FR 58190	10/5/2005	Correction to 70 FR 54537
70 FR 58177	10/5/2005	Extension of comment period for Draft Consolidated HMS FMP
70 FR 58366	10/6/2005	1st season proposed rule
70 FR 72080	12/1/2005	1st season final rule, fishing season notification
70 FR 73980	12/14/2005	Final Agency decision on petition for rulemaking to amend mid-Atlantic closed area
70 FR 76031	12/22/2005	Notice for Large Coastal Shark 2005/2006 Stock Assessment Workshop
70 FR 76441	12/27/2005	Rescheduling and addition of public hearings for Consolidated HMS FMP
2006		
71 FR 8223	2/16/2006	Temporary rule prohibiting gillnet gear in areas around the Southeast U.S. Restricted Area
71 FR 8557	2/17/2006	Proposed Rule for third and second trimester seasons
71 FR 12185	3/9/2006	Notice for Large Costal Shark Review Workshop

Federal Register Cite	Date	Rule or Notice
71 FR 15680	3/29/2006	Proposed rule for gear operation and deployment for BLL and gillnet fishery and complementary closure
71 FR 16243	3/31/2006	Final rule for second and third trimester seasons
71 FR 26351	5/4/2006	Scientific research permit for pelagic shark research
71 FR 30123	5/25/2006	Notice of availability of stock assessment of dusky sharks
71 FR 41774	7/24/2006	Notice of availability of final stock assessment for Large Costal Sharks
71 FR 58058	10/2/2006	Final Rule for the HMS Consolidated Fishery Management Plan
71 FR 58058	10/2/2006	1st season proposed rule
71 FR 62095	10/23/2006	Notice of shark dealer identification workshops and protected species safe handling and release workshops
71FR 64213	11/1/2006	Extension of comment period regarding the 2007 first trimester season proposed rule
71 FR 65086	11/7/2006	Notice of Intent to prepare Amendment 2 to the 2006 Consolidated HMS FMP and status determination for sandbar, blacktip, dusky, the LCS complex, and porbeagle sharks based on the latest stock assessments
71 FR 65087	11/7/2006	Notice of Intent to prepare Amendment 1 to the 2006 Consolidated HMS FMP for Essential Fish Habitat for Some Atlantic Highly Migratory Species
71 FR 66154	11/13/2006	Extension of comment period regarding the 2007 first trimester season proposed rule
71 FR 68561	11/27/2006	Notice of shark dealer identification workshops and protected species safe handling and release workshops
71 FR 75122	12/14/2006	Final Rule and Temporary Rule for the 2007 first trimester season and south Atlantic quota modification
71 FR 75714	12/18/2006	Notice of shark dealer identification workshops and protected species safe handling and release workshops
2007		
72 FR 123	1/3/2007	Notice of public hearings for scoping for Amendment 2 to the 2006 Consolidated HMS FMP
72 FR 5633	2/7/2007	Final rule for gear operation and deployment for BLL and gillnet fishery and complementary closures
72 FR 6966	2/14/2007	Notice of closure of the Small Coastal Shark fishery for the Gulf of Mexico
72 FR 7417	2/15/2007	Revised list of equipment models for careful release of sea turtles in the PLL and BLL fisheries
72 FR 8695	2/27/2007	Notice of new VMS type approval for HMS fisheries and other programs
72 FR 10480	3/8/2007	Proposed rule for second and third trimester seasons
72 FR 11335	3/13/2007	Schedule of public protected resources dehooking workshops and Atlantic shark identification workshops
72 FR 19701	4/19/2007	Notice of Small Costal Shark stock assessment workshop
72 FR 20765	4/26/2007	Final rule for second and third trimester season
72 FR 32836	6/14/2007	Schedule of public protected resources dehooking workshops and Atlantic shark identification workshops
72 FR 34632	6/25/2007	Final rule prohibiting gillnet gear from November 15-April 15 between NC/SC border and 29°00’N.
72 FR 39606	7/18/2007	Notice of Small Costal Shark 2007 peer review workshop
72 FR 41392	7/27/2007	Proposed rule for Amendment 2 to the Consolidated Atlantic Highly

Federal Register Cite	Date	Rule or Notice
		Migratory Species Fishery Management Plan
72 FR 52552	9/14/2007	Schedules for Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
72 FR 55729	10/1/2007	Proposed rule for 2008 first trimester quotas
72 FR 56330	10/3/2007	Amendment 2 to the Consolidated FMP – extension of comment period
72 FR 57104	10/5/2007	Final rule amending restriction in the Southeast U.S. Monitoring Area
72 FR 63888	11/13/2007	Notice of Small Coastal Shark Stock Assessment - notice of availability
72 FR 67580	11/29/2007	Final rule for 2008 first trimester quotas
2008		
73 FR 11621	3/4/2008	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
73 FR 19795	4/11/2008	Proposed rule for renewal of Atlantic tunas longline limited access permits; and, Atlantic shark dealer workshop attendance requirements
73 FR 24922	5/6/2008	Proposed rule for Atlantic tuna fisheries; gear authorization and turtle control devices
73 FR 25665	5/7/2008	Stock Status Determinations; Notice of Intent (NOI) to prepare an Environmental Impact Statement (EIS) for Amendment 3 to the 2006 Consolidated HMS FMP
73 FR 32309	6/6/2008	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
73 FR 35778	6/24/2008	Final rule for Amendment 2 to the 2006 Consolidated HMS FMP and fishing season notification
73 FR 35834	6/24/2008	Shark research fishery; Notice of intent; request for applications
73 FR 37932	7/2/2008	Notice of availability; notice of public scoping meetings; Extension of comment period for Amendment 3 to the 2006 Consolidated HMS FMP
73 FR 38144	7/3/2008	Final rule for renewal of Atlantic tunas longline limited access permits; and, Atlantic shark dealer workshop attendance requirements
73 FR 40658	7/15/2008	Final rule for Amendment 2 to the 2006 Consolidated HMS FMP and fishing season notification; correction/republication
73 FR 47851	8/15/2008	Effectiveness of collection-of-information requirements to implement fins-on check box on Southeast dealer form
73 FR 51448	9/3/2008	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
73 FR 53408	9/16/2008	Notice of public meeting, public hearing, and scoping meetings regarding the AP meeting and various other hearings/meetings
73 FR 53851	9/17/2008	Atlantic Shark Management Measures; Changing the time and location of a scoping meeting
73 FR 54721	9/23/2008	Final rule for Atlantic tuna fisheries; gear authorization and turtle control devices
73 FR 63668	10/27/2008	Proposed rule for 2009 shark fishing season
73 FR 64307	10/29/2008	Extension of scoping comment period for Amendment 3 to the 2006 Consolidated HMS FMP
2009		
74 FR 8913	2/27/2009	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops

Federal Register Cite	Date	Rule or Notice
74 FR26803	6/4/2009	Inseason action to close the commercial Gulf of Mexico non-sandbar large coastal shark fishery
74 FR 27506	6/10/2009	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
74 FR 30479	6/26/2009	Inseason action to close the commercial non–sandbar large coastal shark fisheries in the shark research fishery and Atlantic region
74 FR 36892	7/24/2009	Proposed rule for Amendment 3 to the 2006 Consolidated HMS FMP
74 FR 39914	8/10/2009	Extension of Comment Period for Amendment 3 to the 2006 Consolidated HMS FMP
74 FR 46572	9/10/2009	Notice of Atlantic shark identification workshops and protected species safe handling, release, and identification workshops
74 FR 51241	10/6/2009	Inseason action to close the commercial sandbar shark research fishery
74 FR 55526	10/28/2009	Proposed rule for 2010 shark fishing season
74 FR 56177	10/30/2009	Notice of intent for 2010 shark research fishery; request for applications

Table 3. List of Large Coastal Shark Seasons, 1993-2010

Year	Open dates	Adjusted Quota (mt dw)
1993	Jan. 1 - May 15	1,218
	July 1 - July 31	875
1994	Jan. 1 - May 17	1,285
	July 1 - Aug 10 Sept. 1 - Nov. 4	1,318
1995	Jan. 1 - May 31	1,285
	July 1 - Sept. 30	968
1996	Jan. 1 - May 17	1,285
	July 1 - Aug. 31	1,168
1997	Jan. 1 - April 7	642
	July 1 - July 21	326
1998	Jan. 1 - Mar. 31	642
	July 1 - Aug. 4	600
1999	Jan. 1 - Mar. 31	642
	July 1 - July 28 Sept. 1 - Oct. 15	585
2000	Jan. 1 - Mar. 31	642
	July 1 - Aug. 15	542
2001	Jan. 1 - Mar. 24	642
	July 1 - Sept. 4	697
2002	Jan. 1 - April 15	735.5
	July 1 - Sept. 15	655.5
2003	Jan. 1 - April 15 (Ridgeback LCS) Jan. 1 - May 15 (Non-ridgeback LCS)	391.5 (Ridgeback LCS) 465.5 (Non-ridgeback LCS)
	July 1 - Sept. 15 (All LCS)	424 (Ridgeback LCS) 498 (Non-ridgeback LCS)
2004	GOM: Jan. 1 - Feb. 29 S. Atl: Jan 1 - Feb. 15 N. Atl: Jan 1 - April 15	190.3 244.7 18.1
	GOM: July 1 - Aug. 15 S. Atl: July 1 - Sept. 30 N. Atl: July 1 - July 15	287.4 369.5 39.6
2005	GOM: Jan 1 - Feb 28 S. Atl: Jan. 1 - Feb 15 N. Atl: Jan. 1 - April 30	156.3 133.3 6.3
	GOM: July 6 - July 23 S. Atl: July 6 - Aug 31 N. Atl: July 21 - Aug 31	147.8 182 65.2
	GOM: Sept. 1 - Oct. 31 S. Atl: Sept 1 - Nov. 15 N. Atl: Sept 1 - Sept. 15	167.7 187.5 4.9
2006	GOM: Jan 1 - April 15 S. Atl: Jan 1 - Mar. 15 N. Atl: Jan 1 - April 30	222.8 141.3 5.3

Year	**Open dates**	**Adjusted Quota (mt dw)**
	GOM: July 6 – July 31 S. Atl: July 6 – Aug. 16 N. Atl: July 6 – Aug. 6	180 151.7 66.3
	GOM: Sept.1 – Nov. 7 S. Atl: Sept.1 – Oct. 3 N. Atl: Closed	225.6 50.3 Closed
2007	GOM: January 1 – January 15 S. Atl: Closed N. Atl: January 1 – April 30	62.3 Closed (-112.9) 7.9
	GOM: September 1 – September 22 S. Atl: July 15 – August 15 N. Atl: July 6 – July 31	83.1 163.1 69.0
	GOM: merged with 2nd season S. Atl: merged with 2nd season N. Atl: CLOSED	
2008 All SHKs except LCS opened Jan 1; LCS opened July 24; Porbeagle closed Nov. 18	GOM: CLOSED to July 23 S. Atl: CLOSED to July 23 N. Atl: CLOSED to July 23	Closed (51) Closed (16.3) Closed (10.7)
	NSB GOM: July 24 - Dec. 31 NSB Atlantic: July 24 - Dec. 31 NSB Research: July 24 - Dec. 31 SB Research: July 24 - Dec. 31	390.5 187.5 37.5 87.9
2009	NSB GOM: Jan 23 - June 6 NSB Atl: Jan 23 - July 1 NSB Research: Jan 23 - July 1 SB: Jan 23 – Oct 14	390.5 187.8 37.5 87.9
2010	NSB GOM: Feb 4 – March 17 NSB Atl: July 15 – TBD NSB Research: Jan 5 – TBD SB: Jan 5 - TBD	390.5 169.7 37.5 87.9

Note: SB=sandbar shark; NSB=non-sandbar LCS

Table 4 List of Small Coastal Shark Seasons, 1993-2010

Year	Open Dates	Adjusted Quota (mt dw)
1993	No season	No Quota
1994	No season	No Quota
1995	No season	No Quota
1996	No season	No Quota
1997	Jan. 1 – June 30	880
	July 1 - Dec 31	880
1998	Jan. 1 – June 30	880
	July 1 - Dec 31	880
1999	Jan. 1 – June 30	880
	July 1 - Dec 31	880
2000	Jan. 1 – June 30	880
	July 1 - Dec 31	880
2001	Jan. 1 – June 30	880
	July 1 - Dec 31	880
2002	Jan. 1 – June 30	880
	July 1 - Dec 31	880
2003	Jan. 1 – June 30	163
	July 1 - Dec 31	163
2004	GOM: Jan. 1 – March 18 S. Atl: Jan 1 - June 30 N. Atl: Jan 1 - June 30	11.2 233.2 36.5
	GOM: July 1 – Dec. 31 S. Atl: July 1 – Dec. 31 N. Atl: July 1 – Dec. 31	10.2 210.2 33.2
2005	GOM: Jan 1 – April 30 S. Atl: Jan. 1 - April 30 N. Atl: Jan. 1 - April 30	13.9 213.5 18.6
	GOM: May 1 – Aug. 31 S. Atl: May 1 – Aug. 31 N. Atl: May 1 – Aug. 31	31 281 23
	GOM: Sept. 1 – Dec. 31 S. Atl: Sept. 1 – Dec. 31 N. Atl: Sept. 1 – Dec. 31	32 201.1 16
2006	GOM: Jan 1 – April 30 S. Atl: Jan 1 – April 30 N. Atl: Jan 1 – April 30	14.8 284.6 18.7
	GOM: May 1 – Aug. 31 S. Atl: May 1 – Aug. 31 N. Atl: May 1 – Aug. 31	38.9 333.5 35.9
	GOM: Sept. 1 – Dec. 31 S. Atl: Sept. 1 – Dec. 31 N. Atl: Sept. 1 – Dec. 31	30.8 263.7 28.2

Year	**Open Dates**	**Adjusted Quota (mt dw)**
2007	GOM: Jan. 1 – Feb. 23 S. Atl: Jan 1 – April 30 N. Atl: Jan 1 – April 30	15.1 308.4 18.8
	GOM: May 1 – Aug. 31 S. Atl: May 1 – Aug. 31 N. Atl: May 1 – Aug. 31	72.6 291.6 36.2
	GOM: September 1 – Dec. 31 S. Atl: September 1 – Dec. 31 N. Atl: September 1 – Dec. 31	80.4 297.5 29.4
2008	GOM: Jan 1 – April 30, 2008 S. Atl: Jan 1 – April 30, 2008 N. Atl: Jan 1 – April 30, 2008	73.2 354.9 19.3
	GOM: May 1 – July 24, 2008 S. Atl: May 1 – July 24, 2008 N. Atl: May 1 – July 24, 2008	72.6 74.1 12.0
	July 24 – Dec. 31, 2008	454
2009	January 23, 2009	454
2010	Open upon effective date of final rule for Amendment 3	TBD

Table 5 List of species that are LCS, SCS and prohibited species

Common name	Species name	Notes
LCS		
Ridgeback Species		
Sandbar	*Carcharhinus plumbeus*	
Silky	*Carcharhinus falciformis*	
Tiger	*Galeocerdo cuvier*	
Non-Ridgeback Species		
Blacktip	*Carcharhinus limbatus*	
Spinner	*Carcharhinus brevipinna*	
Bull	*Carcharhinus leucas*	
Lemon	*Negaprion brevirostris*	
Nurse	*Ginglymostoma cirratum*	
Scalloped hammerhead	*Sphyrna lewini*	
Great hammerhead	*Sphyrna mokarran*	
Smooth hammerhead	*Sphyrna zygaena*	
SCS		
Atlantic sharpnose	*Rhizoprionodon terraenovae*	
Blacknose	*Carcharhinus acronotus*	
Bonnethead	*Sphyrna tiburo*	
Finetooth	*Carcharhinus isodon*	
Pelagic Sharks		
Blue	*Prionace glauca*	
Oceanic whitetip	*Carcharhinus longimanus*	
Porbeagle	*Lamna nasus*	
Shortfin mako	*Isurus oxyrinchus*	
Common thresher	*Alopias vulpinus*	
Prohibited Species		
Sand tiger	*Odontaspis taurus*	Part of LCS complex until 1997
Bigeye sand tiger	*Odontaspis noronhai*	Part of LCS complex until 1997
Whale	*Rhincodon typus*	Part of LCS complex until 1997
Basking	*Cetorhinus maximus*	Part of LCS complex until 1997
White	*Carcharodon carcharias*	Part of LCS complex until 1997
Dusky	*Carcharhinus obscurus*	Part of LCS complex until 1999
Bignose	*Carcharhinus altimus*	Part of LCS complex until 1999
Galapagos	*Carcharhinus galapagensis*	Part of LCS complex until 1999
Night	*Carcharhinus signatus*	Part of LCS complex until 1999
Caribbean reef	*Carcharhinus perezi*	Part of LCS complex until 1999
Narrowtooth	*Carcharhinus brachyurus*	Part of LCS complex until 1999
Atlantic angel	*Squatina dumerili*	Part of SCS complex until 1999
Caribbean sharpnose	*Rhizoprionodon porosus*	Part of SCS complex until 1999
Smalltail	*Carcharhinus porosus*	Part of SCS complex until 1999
Bigeye sixgill	*Hexanchus nakamurai*	Part of Pelagics complex until 1999
Bigeye thresher	*Alopias superciliosus*	Part of Pelagics complex until 1999
Longfin mako	*Isurus paucus*	Part of Pelagics complex until 1999

Common name	Species name	Notes
Sevengill	*Heptranchias perlo*	Part of Pelagics complex until 1999
Sixgill	*Hexanchus griseus*	Part of Pelagics complex until 1999

<table>
<tr><th>Requirement for Specific Fishery</th><th>Retention Limits</th><th>Quotas</th><th>Other Requirements</th></tr>
<tr><td>Inside the Commercial Shark Research Fishery</td><td>Sandbar: Trip limit is specific to each vessel and owner(s) combination and is listed on the Shark Research Permit.
Non-sandbar LCS: Trip limit is specific to each vessel and owner (s) combination and is listed on the Shark Research Permit.
SCS & Pelagic Sharks:
Directed Permits:
No trip limit for pelagic sharks & SCS
Incidental Permits:
16 pelagic sharks/SCS combined</td><td>Sandbar:
Quota from 2008-2012: 87.9 mt dw
Quota starting in 2013: 116.6 mt dw
Non-sandbar LCS:
Quota from 2008-2012: 37.5 mt dw
Quota starting in 2013: 50 mt dw
SCS:454 mt dw/year
Pelagic Sharks:
Pelagic sharks (not blue and porbeagle): 273 mt dw/year
Blue sharks: 488 mt dw
Porbeagle sharks: 1.7 mt dw/year</td><td>- Need Shark Research Fishery Permit
-100 percent observer coverage when participating in research fishery
- Adjusted quotas (established through Dec. 31, 2012) may be further adjusted based on future overharvests, if any.</td></tr>
<tr><td>Outside the Commercial Shark Research Fishery</td><td>Non-sandbar LCS Until Dec. 31, 2012:
Directed Permit: 33 non-sandbar LCS/vessel/trip
Incidental Permit: 3 non-sandbar LCS/vessel/trip
Non-sandbar LCS As of Jan. 1, 2013:
Directed Permit: 36 non-sandbar LCS/vessel/trip
Incidental Permit: 3 non-sandbar LCS/vessel/trip
SCS & Pelagic Sharks:
Directed Permits:
No trip limit for pelagic sharks & SCS
Incidental Permits:
16 pelagic sharks/SCS combined</td><td>Non-sandbar LCS:
Quota from 2008-2012:
Gulf of Mexico Region: 390.5 mt dw/year;
Atlantic Region: 187.8 mt dw/year
Quota starting in 2013:
Gulf of Mexico Region: 439.5 mt dw/year;
Atlantic Region: 188.3 mt dw/year
SCS: 454 mt dw/year
Pelagic Sharks:
Pelagic sharks (not blue and porbeagle): 273 mt dw/year
Blue sharks: 488 mt dw
Porbeagle sharks: 1.7 mt dw/year</td><td>-Vessels subject to observer coverage, if selected
- Adjusted quotas (established through Dec. 31, 2012) may be further adjusted based on future overharvests, if any.</td></tr>
<tr><td rowspan="5">All Commercial Shark Fisheries</td><td colspan="3">Gears Allowed: Gillnet; Bottom/Pelagic Longline; Rod and Reel; Handline; Bandit Gear</td></tr>
<tr><td colspan="3">Authorized Species: Non-sandbar LCS (silky, blacktip, spinner, bull, lemon, nurse, great hammerhead, scalloped hammerhead, smooth hammerhead, and tiger sharks), pelagic sharks (porbeagle, common thresher, shortfin mako, oceanic whitetip, and blue sharks), and SCS (bonnethead, finetooth, blacknose, and Atlantic sharpnose sharks)</td></tr>
<tr><td colspan="3">Landings condition: All sharks (sandbar, non-sandbar LCS, SCS, and pelagic sharks) must have fins naturally attached through offloading; fins can be cut slightly for storage but must remain attached to the carcass via at least a small amount of uncut skin; shark carcasses must remain in whole or log form through offloading. Sharks can have the heads removed but the tails must remain naturally attached.</td></tr>
<tr><td colspan="3">Permits Required: Commercial Directed or Incidental Shark Permit</td></tr>
<tr><td colspan="3">Reporting Requirements: All commercial fishermen must submit commercial logbooks; all dealers must report bi-weekly</td></tr>
<tr><td rowspan="6">All Recreational Shark Fisheries</td><td colspan="3">Gears Allowed: Rod and Reel; Handline</td></tr>
<tr><td colspan="3">Authorized Species: Non-ridgeback LCS (blacktip, spinner, bull, lemon, nurse, great hammerhead, scalloped hammerhead, smooth hammerhead); tiger sharks; pelagic sharks (porbeagle, common thresher, shortfin mako, oceanic whitetip, and blue sharks); and SCS (bonnethead, finetooth, blacknose, and Atlantic sharpnose sharks)</td></tr>
<tr><td colspan="3">Landing condition: Sharks must be landed with head, fins, and tail naturally attached</td></tr>
<tr><td colspan="3">Retention limits: 1 shark > 54” FL vessel/trip, plus 1 Atlantic sharpnose and 1 bonnethead per person/trip (no minimum size)</td></tr>
<tr><td colspan="3">Permits Required: HMS Angling; HMS Charter/Headboat; and, General Category Permit Holders (fishing in a shark tournament)</td></tr>
<tr><td colspan="3">Reporting Requirements: Participate in MRIP and LPS if contacted</td></tr>
</table>

Table 6 Summary of current shark regulations

Definitions of Acronyms in Table 1: Fork Length (FL); Highly Migratory Species (HMS); Large Coastal Sharks (LCS); Large Pelagic Survey (LPS); Marine Recreational Information Program (MRIP); Small Coastal Sharks (SCS).

Control Date Notices

February 22, 1994 (59 FR 8457)

Management Program Specifications

Table 7 General management information for the sandbar shark

Species	Sandbar shark (*Carcharhinus plumbeus*)
Management Unit	Atlantic Ocean, Gulf of Mexico, and Caribbean Sea
Management Unit Definition	All federal waters within U.S. EEZ of the western north Atlantic Ocean, including the Gulf of Mexico and the Caribbean Sea.
Management Entity	NMFS, Highly Migratory Species Management Division
Management Contacts **SERO / Council**	Karyl Brewster-Geisz N/A
Current stock exploitation status	Overfishing
Current stock biomass status	Overfished

Table 8 General management information for the dusky shark

Species	Dusky shark (*Carcharhinus obscurus*)
Management Unit	Atlantic Ocean, Gulf of Mexico, and Caribbean Sea
Management Unit Definition	All federal waters within U.S. EEZ of the western north Atlantic Ocean, including the Gulf of Mexico and the Caribbean Sea.
Management Entity	NMFS, Highly Migratory Species Management Division
Management Contacts **SERO / Council**	Karyl Brewster-Geisz N/A
Current stock exploitation status	Overfishing
Current stock biomass status	Overfished

Table 9 General management information for the blacknose shark

Species	Blacknose shark (*Carcharhinus acronotus*)
Management Unit	Atlantic Ocean, Gulf of Mexico, and Caribbean Sea
Management Unit Definition	All federal waters within U.S. EEZ of the western north Atlantic Ocean, including the Gulf of Mexico and the Caribbean Sea.
Management Entity	NMFS, Highly Migratory Species Management Division
Management Contacts **SERO / Council**	Karyl Brewster-Geisz N/A
Current stock exploitation status	Overfishing
Current stock biomass status	Overfished

Table 10 Specific management criteria for sandbar shark

Criteria	Sandbar - Current		Sandbar - Proposed	
	Definition	Value	Definition	Value
MSST	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	4.75-5.35E+05	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	SEDAR 21
MFMT	F_{MSY}	0.015	F_{MSY}	SEDAR 21
MSY	Yield at F_{MSY}	4.03E+05(kg)	Yield at F_{MSY}	SEDAR 21
F_{MSY}	MFMT	0.015	MFMT	SEDAR 21
OY	Yield at F_{OY}	Not Specified	Yield at F_{OY}	SEDAR 21
F_{OY}	0.75F_{MSY}	0.011	0.75F_{MSY}	SEDAR 21
$F_{current}$	Current Fishing Mortality rate	0.06	$F_{current}$	SEDAR 21
M	n/a	Varied (see SEDAR 11)	n/a	SEDAR 21
OFL	n/a	n/a	MFMT*$B_{current}$	SEDAR 21
ABC*	n/a	n/a	P*; probability level TBD	SEDAR 21
SSF_{2004}	Current Spawning Stock fecundity	4.28E+0.5	$SSF_{current}$	SEDAR 21
SSF_{MSY}	Spawning Stock fecundity at MSY	5.94E+05	SSF_{MSY}	SEDAR 21
B_{2004}	Current biomass	3.06E+07	$B_{current}$	SEDAR 21
B_{MSY}	Biomass at MSY	Not Specified	B_{MSY}	SEDAR 21

*Acceptable Biological Catch

Table 11 Specific management criteria for dusky shark.

Criteria	Dusky - Current		Dusky - Proposed	
	Definition	Value	Definition	Value
MSST	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	Not Specified	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	SEDAR 21
MFMT	F_{MSY}	0.00005-0.0115	F_{MSY}	SEDAR 21
MSY	Yield at F_{MSY}	152 (kg)	Yield at F_{MSY}	SEDAR 21
F_{MSY}	MFMT	0.00005-0.0115	MFMT	SEDAR 21
OY	Yield at F_{OY}	Not Specified	Yield at F_{OY}	SEDAR 21
F_{OY}	0.75F_{MSY}	0.000038-0.0086	0.75F_{MSY}	SEDAR 21
F_{2003}		0.0194 (BSP model)	$F_{current}$	SEDAR 21
M	n/a	Varied (see Cortés et al., 2006)	n/a	SEDAR 21
OFL	n/a	n/a	MFMT*$B_{current}$	SEDAR 21
ABC	n/a	n/a	P*; probability level TBD	SEDAR 21
B_{2003}	Current Biomass	687,290 lb dw (BSP model)	$B_{current}$	SEDAR 21
B_{MSY}	Biomass at MSY	4,409,144 (BSP model)	B_{MSY}	SEDAR 21

Table 12 Specific management criteria for blacknose shark.

Criteria	Blacknose - Current		Blacknose - Proposed	
	Definition	Value	Definition	Value
MSST	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	4.3 E+05	MSST = [(1-M)*B_{MSY} when M<0.5; 0.5* B_{MSY} when M≥0.5	SEDAR 21
MFMT	F_{MSY}	0.07	F_{MSY}	SEDAR 21
MSY	Yield at F_{MSY}	89,415 (number of sharks)	Yield at F_{MSY}	SEDAR 21
F_{MSY}	MFMT	0.07	MFMT	SEDAR 21
OY	Yield at F_{OY}	Not Specified	Yield at F_{OY}	SEDAR 21
F_{OY}	0.75F_{MSY}	0.053	0.75F_{MSY}	SEDAR 21
F_{2005}		0.24	$F_{current}$	SEDAR 21
M	n/a	Varied (see SEDAR 13)	n/a	SEDAR 21
OFL	n/a	n/a	MFMT*$B_{current}$	SEDAR 21
ABC	n/a	n/a	P*; probability level TBD	SEDAR 21
N_{MSY}	Number of sharks at MSY	570,753 (number of sharks)	N_{MSY}	SEDAR 21
N_{2005}	Current number of sharks	349,308 (number of sharks)	$N_{current}$	SEDAR 21
SSF_{MSY}	Spawning Stock fecundity at MSY	349,060 (number of sharks)	SSF_{MSY}	SEDAR 21
SSF_{2005}	Current Spawning Stock fecundity	168,140 (number of sharks)	$SSF_{current}$	SEDAR 21

Stock Rebuilding Information

Sandbar Sharks

The following rebuilding information is requested:

- Include information regarding significance of catch-per-unit effort (CPUE) trend series for sandbar sharks. The HMS Management Division finds these series helpful for management;
- Estimate the acceptable biological catch (ABC) according to the control rule guidelines established by the SEFSC in both weight and numbers of sharks. A table showing different values of ABC at various P* levels is acceptable;
- Determine the probability of rebuilding sandbar sharks by 2070, which is the current rebuilding timeframe for sandbars under Amendment 2 to the 2006 Consolidated HMS FMP. Such projections should consider current harvest (including commercial landings, discards, and recreational landings) as well as the current total allowable catch (TAC) of 220 mt ww (158 mt dw);
- If the current TAC would not allow rebuilding by 2070, calculate the TAC corresponding to 50 and 70 percent probability of rebuilding by 2070 in both weight and number of sharks and the corresponding F value;
- If rebuilding could occur before 2070, please provide the appropriate TAC (in both weight and number of sharks) to ensure a 50 and 70 percent probability of rebuilding and the new timeframe. Please also estimate the corresponding F value;
- Provide the average weight of sandbar sharks caught in the commercial (by gear type) and recreational fisheries in 2008 and 2009; and,
- It is requested that the analysts provide estimates of the following items in both weight and numbers of sharks:
    - MSY;
    - Reduction in harvest needed to reach MSY (if harvest needs to be different from current management regime);
    - Commercial landings through 2009;
    - Dead discard estimates through 2009; and
    - Recreational harvest through 2009.

Dusky Sharks

The following rebuilding information is requested:

- Include information regarding significance of CPUE trend series for dusky sharks. The HMS Management Division finds these series helpful for management;
- Estimate the ABC according to the control rule guidelines established by the SEFSC in both weight and numbers of sharks. A table showing different values of ABC at various P* levels is acceptable;; although dusky sharks have been prohibited in the commercial and recreational fisheries since 2000, it would be helpful to have this estimate to determine if levels of discards are sustainable;

- Determine the probability of rebuilding within at least 100 years, which is the current rebuilding timeframe for dusky sharks under Amendment 2 to the 2006 Consolidated HMS FMP. Such projections should consider current harvest (including commercial landings, discards, and recreational landings). In addition, the HMS Management Division requests that the analysts investigate how decreased or increased landings/discards would affect rebuilding for this species;
- If rebuilding will not occur within at least 100 years, calculate the new rebuilding timeframe and an associated TAC (in both weight and number of sharks) and F value that would allow a 50 and 70 percent probability of rebuilding. Again, although dusky sharks have been prohibited since 2000, this information would be helpful for determining whether or not current discard levels are sustainable;
- Provide the average weight of dusky sharks caught in the commercial (by gear type) and recreational fisheries in 2008 and 2009; and,
- It is requested that the analysts provide estimates of the following items in both weight and numbers of sharks:
    - MSY;
    - Reduction in landings and discards needed to reach MSY (if harvest needs to be different from current management regime);
    - Commercial landings through 2009;
    - Dead discard estimates through 2009; and
    - Recreational harvest through 2009.

Blacknose Sharks

The following rebuilding information is requested:

- Include information regarding significance of CPUE trend series for blacknose sharks. The HMS Management Division finds these series helpful for management;
- Estimate the ABC according to the control rule established by the SEFSC in both weight and numbers of sharks;
- Determine the probability of rebuilding blacknose sharks by 2027, which is the current rebuilding timeframe for sandbars under Amendment 3 to the 2006 Consolidated HMS FMP. Such projections should consider current harvest (including commercial landings, discards, and recreational landings) as well as the current total allowable catch (TAC) of 19,200 blacknose sharks;
- If the current TAC would not allow rebuilding by 2027, calculate the TAC corresponding to 50 and 70 percent probability of rebuilding by 2027 in both weight and number of sharks and the corresponding F value;
- If rebuilding could occur before 2027, please provide the appropriate TAC (in both weight and number of sharks) to ensure a 50 and 70 percent probability of rebuilding and the new timeframe. Please also estimate the corresponding F value;
- Provide the average weight of blacknose sharks caught in the commercial (by gear type) and recreational fisheries in 2008 and 2009; and,
- It is requested that the analysts provide estimates of the following items in both weight and numbers of sharks:
    - MSY;

- Reduction in harvest needed to reach MSY (if harvest needs to be different from current management regime);
- Commercial landings through 2009;
- Dead discard estimates through 2009; and
- Recreational harvest through 2009.

Table 13 Stock Projection Information for Sandbar Sharks

Requested Information	Value
First year under current rebuilding program	2008
End year under current rebuilding program	2070
First Year of Management based on this assessment	2013
Projection Criteria during interim years should be based on (e.g., exploitation or harvest)	F=0; Fixed Exploitation; Modified Exploitation; Fixed Harvest*; F=220 mt ww (current TAC)
Projection criteria values for interim years should be determined from (e.g., terminal year, avg of X years)	Average landings of previous 2 years (2008, 2009)

Table 14 Stock Projection Information for Dusky Sharks

Requested Information	Value
First year under current rebuilding program	2008
End year under current rebuilding program	>2108
First Year of Management based on this assessment	2013
Projection Criteria during interim years should be based on (e.g., exploitation or harvest)	F=0; Fixed Exploitation; Modified Exploitation; Fixed Harvest*
Projection criteria values for interim years should be determined from (e.g., terminal year, avg of X years)	Average landings of previous 2 years (2008, 2009)

Table 15 Stock Projection Information for Blacknose Sharks

Requested Information	Value
First year under current rebuilding program	2010
End year under current rebuilding program	2027
First Year of Management based on this assessment	2013
Projection Criteria during interim years should be based on (e.g., exploitation or harvest)	F=0; Fixed Exploitation; Modified Exploitation; Fixed Harvest*; F=19,200 blacknose sharks (current TAC)
Projection criteria values for interim years should be determined from (e.g., terminal year, avg of X years)	Average landings of previous 2 years (2008, 2009)

*Fixed Exploitation would be $F=F_{MSY}$ (or $F<F_{MSY}$) that would rebuild overfished stock to B_{MSY} in the allowable timeframe. Modified Exploitation would be allow for adjustment in $F<=F_{MSY}$, which would allow for the largest landings that would rebuild the stock to B_{MSY} in the allowable timeframe. Fixed harvest would be maximum fixed harvest with $F<=F_{MSY}$ that would allow the stock to rebuild to B_{MSY} in the allowable timeframe.

First year of Management: Earliest year in which management changes resulting from this assessment are expected to become effective

Interim years: Those years between the terminal assessment year and the first year that any management could realistically become effective.

Projection Criteria: The parameter which should be used to determine population removals, typically either an exploitation rate or an average landings value or a pre-specified landings target.

Quota Calculations

Sandbar Sharks

Table 16 Quota calculation details for sandbar sharks.

Current Quota Value	Commercial Quota = 87.9 mt dw (2008-2012)
Next Scheduled Quota Change	2013; commercial quota = 116.6 mt dw
Annual or averaged quota ?	Annual quota
If averaged, number of years to average	-
Does the quota include bycatch/discard ?	No, but the quota is a subset of overall TAC of 158.3 mt dw; the rest of the TAC is partitioned between dead discards and recreational landings

How is the quota calculated - conditioned upon exploitation or average landings?

The quota was determined based on the TAC calculated during SEDAR 11 (158.3 mt dw). Based on that TAC, the HMS Management Division subtracted average annual recreational landings from 2003-2005 (27 mt dw) and discards from 2003-2005 (14.7 mt dw), resulting in a commercial quota of 116.6 mt dw. However, large overharvests during 2007 resulted in the HMS Management Division reducing the commercial quota to 87.9 mt dw during 2008-2012 to account for the overharvests. The quota is scheduled to increase to 116.6 mt dw in 2013.

Does the quota include bycatch/discard estimates? If so, what is the source of the bycatch/discard values? What are the bycatch/discard allowances?

The commercial quota does not include bycatch/discards estimates.

Are there additional details of which the analysts should be aware to properly determine quotas for this stock?

The quota is adjusted each year through a season rule. Overharvests are deducted from the following year. No overharvests have been experienced for sandbar sharks since implementation of Amendment 2 in 2008. Table 3 shows the history of shark quotas adjusted for under and overharvest. Underharvests are no longer applied to stocks that have been determined to be overfished, have overfishing occurring, or an unknown stock status.

Dusky Sharks

Table 17 Quota calculation details for dusky sharks.

Current Quota Value	0
Next Scheduled Quota Change	N/A
Annual or averaged quota?	N/A
If averaged, number of years to average	-
Does the quota include bycatch/discard ?	N/A

How is the quota calculated - conditioned upon exploitation or average landings?

Dusky sharks have been prohibited from commercial and recreational harvest since 2000. The commercial quota set for this species is 0 mt dw; however, they are caught and discarded in the shark fisheries, and also show up in the commercial logbooks and in recreational landings.

Does the quota include bycatch/discard estimates? If so, what is the source of the bycatch/discard values? What are the bycatch/discard allowances?

As mentioned above, there is no commercial quota.

Are there additional details of which the analysts should be aware to properly determine quotas for this stock?

The HMS Management Division requests the analysts to estimate discards of dusky sharks in both the shark fisheries and other fisheries and how discards may have changed since the implementation of Amendment 2 (July 2008).

Blacknose Sharks

Table 18 Quota calculation details for blacknose sharks.

Current Quota Value	Commercial Quota = (SCS complex) 454 mt dw
Next Scheduled Quota Change	Summer 2010; preferred commercial quota = 19.9 mt dw (blacknose specific)
Annual or averaged quota?	Annual quota

If averaged, number of years to average	-
Does the quota include bycatch/discard ?	Current quota does not include discards

How is the quota calculated - conditioned upon exploitation or average landings?

The quota was determined in 2003 for the SCS complex under Amendment 1 to the 1999 FMP. The quota was based upon 75 percent of the average MSY for the complex, multiplied by the percent contribution of the commercial catch to total catch of the SCS complex.

Does the quota include bycatch/discard estimates? If so, what is the source of the bycatch/discard values? What are the bycatch/discard allowances?

The commercial quota does not include bycatch/discards estimates.

Are there additional details of which the analysts should be aware to properly determine quotas for this stock?

The HMS Management Division requests that the analysts keep in mind that Amendment 3 will be implemented for the SCS fishery during the summer of 2010, and blacknose sharks will be subject to a new quota of 19.9 mt dw, which is a 64 percent reduction in blacknose shark landings relative to average landings from 2004-2008.

Management and Regulatory Timeline

The following tables provide a timeline of Federal management actions by fishery. It should be noted that federally permitted fishermen must follow federal regulations unless state regulations are more restrictive.

Table 19 Annual commercial sandbar shark regulatory summary (managed in the LCS complex until 2008 when separate quota and sandbar shark research fishery established under Amendment 2 except in 2003 where it was managed as a ridgeback).

		Fishing Year			**Possession Limit**
Year	*Base Quota (LCS complex)*	*N. Atlantic*	*S. Atlantic*	*Gulf*	*All regions*
1993	2,436 mt dw	One region; calendar year with two fishing periods			No trip limit
1994	2,346 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip
1995	2,570 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip
1996	2,570 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip
1997	1,285 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip
1998	1,285 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip
1999	1,285 mt dw	One region; calendar year with two fishing periods (but fishing season open and closed twice during 2nd season-see Table 3)			4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders*
2000	1,285 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2001	1,285 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2002	1,285 mt dw	One region; calendar year with two fishing periods			4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2003	783 mt dw	One region; calendar year with two fishing periods but ridgeback and non-ridgeback split-see Table 3)			4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2004	1,107 mt dw	Regions† with two fishing seasons	Regions† with two fishing seasons	Regions† with two fishing seasons	4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2005	1,107 mt dw	Trimesters/Regions†	Trimesters/Regions†	Trimesters/Regions†	4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2006	1,107 mt dw	Trimesters/Regions†	Trimesters/Regions†	Trimesters/Regions†	4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2007	1,107 mt dw	Trimesters/Regions†	Trimesters/Regions†	Trimesters/Regions†	4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders
2008**	87.9 mt dw	One region; calendar year			2,750 lb dw of LCS/trip of which no more than 2,000 lb dw could be sandbar inside research fishery; trip limit= 0 outside research fishery
2009**	87.9 mt dw	One region; calendar year			45 sandbar/trip inside research fishery; trip limit= 0 outside research fishery

*Limited Access Permits (LAPs) were implemented for the shark and swordfish fisheries under 1999 FMP; †Regions = Gulf of Mexico, South Atlantic, and North Atlantic.

**Sandbar specific quota; Sharks required to be offloaded with all fins naturally attached under Amendment 2.

Table 20 Annual commercial dusky shark regulatory summary (managed in LCS complex until 2000 when placed on the prohibited species complex).

Year	*Base Quota (LCS complex)*	*Fishing Year*	*Possession Limit*
1993	2,436 mt dw	One region; calendar year with two fishing periods	No trip limit
1994	2,346 mt dw	One region; calendar year with two fishing periods	4,000 lb dw LCS combined/trip
1995	2,570 mt dw	One region; calendar year with two fishing periods	4,000 lb dw LCS combined/trip
1996	2,570 mt dw	One region; calendar year with two fishing periods	4,000 lb dw LCS combined/trip
1997	1,285 mt dw	One region; calendar year with two fishing periods	4,000 lb dw LCS combined/trip
1998	1,285 mt dw	One region; calendar year with two fishing periods	4,000 lb dw LCS combined/trip
1999	1,285 mt dw	One region; calendar year with two fishing periods (but fishing season open and closed twice during 2nd season-see Table 3)	4,000 lb dw LCS combined/trip; 5 LCS for incidental permit holders*
2000	0-prohibited	None	0-prohibited
2001	0-prohibited	None	0-prohibited
2002	0-prohibited	None	0-prohibited
2003	0-prohibited	None	0-prohibited
2004	0-prohibited	None	0-prohibited
2005	0-prohibited	None	0-prohibited
2006	0-prohibited	None	0-prohibited
2007	0-prohibited	None	0-prohibited
2008	0-prohibited	None	0-prohibited
2009	0-prohibited	None	0-prohibited

*Limited Access Permits (LAPs) were implemented for the shark and swordfish fisheries under 1999 FMP

Table 21 Annual commercial blacknose shark regulatory summary (managed within the SCS complex).
Note: Regions = Gulf of Mexico, South Atlantic, and North Atlantic

		Fishing Year			**Possession Limit**
Year	*Base Quota (SCS complex)*	*N. Atlantic*	*S. Atlantic*	*Gulf*	*All regions*
1993	No quota	One region; calendar year with two fishing periods			No trip limit
1994	No quota	One region; calendar year with two fishing periods			No trip limit
1995	No quota	One region; calendar year with two fishing periods			No trip limit
1996	No quota	One region; calendar year with two fishing periods			No trip limit
1997	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit
1998	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit
1999	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders*
2000	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2001	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2002	1,760 mt dw	One region; calendar year with two fishing periods			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2003	326 mt dw	One region; calendar year with two fishing periods but ridgeback and non-ridgeback split-see Table 3)			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2004	454 mt dw	Regions with two fishing seasons	Regions with two fishing seasons	Regions with two fishing seasons (fishery closed on March 18, 2004 – see Table 4)	No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2005	454 mt dw	Trimesters/Regions	Trimesters/Regions	Trimesters/Regions	No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2006	454 mt dw	Trimesters/Regions	Trimesters/Regions	Trimesters/Regions	No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2007	454 mt dw	Trimesters/Regions	Trimesters/Regions	Trimesters/Regions (fishery closed on Feb. 23, 2007 – see Table 4)	No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders
2008**	454 mt dw	One region; calendar year			No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders

2009**†	454 mt dw	One region; calendar year	No trip limit for SCS/pelagics for directed permit holders; 16 SCS & pelagic sharks combined/trip for incidental permit holders

*Limited Access Permits (LAPs) were implemented for the shark and swordfish fisheries under 1999 FMP

**Sharks required to be offloaded with all fins naturally attached under Amendment 2.

†DEIS for Amendment 3 proposed a blacknose-specific quota of 14.9 mt dw and a non-blacknose SCS quota of 56.9 mt dw and prohibition of landing sharks with gillnet gear from South Carolina south.

Table 22. Annual recreational sandbar shark regulatory summary (managed in the LCS complex until 2008 recreational retention prohibited under Amendment 2).

Year	Fishing Year	Size Limit	Bag Limit
1993	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1994	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1995	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1996	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1997	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1998	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1999	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
2000	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2001	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2002	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2003	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2004	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2005	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2006	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2007	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2008*	Prohibited	N/A	0
2009*	Prohibited	N/A	0

*Retention prohibited in recreational fishery under Amendment 2.

Table 23. Annual recreational dusky shark regulatory summary (managed within the LCS complex until 2000 when prohibited in commercial and recreational fisheries).

Year	Fishing Year	Size Limit	Bag Limit
1993	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1994	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1995	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1996	Calendar Year	No size limit	4 LCS or pelagic sharks/vessel
1997	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1998	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1999	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
2000	Prohibited	N/A	0
2001	Prohibited	N/A	0
2002	Prohibited	N/A	0
2003	Prohibited	N/A	0
2004	Prohibited	N/A	0
2005	Prohibited	N/A	0
2006	Prohibited	N/A	0
2007	Prohibited	N/A	0
2008	Prohibited	N/A	0
2009	Prohibited	N/A	0

Table 24. Annual recreational blacknose shark regulatory summary (managed within the SCS complex).

Year	Fishing Year	Size Limit	Bag Limit
1993	Calendar Year	No size limit	5 SCS sharks/person
1994	Calendar Year	No size limit	5 SCS sharks/person
1995	Calendar Year	No size limit	5 SCS sharks/person
1996	Calendar Year	No size limit	5 SCS sharks/person
1997	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1998	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
1999	Calendar Year	No size limit	2 LCS/SCS/pelagic sharks combined/vessel
2000	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2001	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2002	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2003	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2004	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2005	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2006	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2007	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2008	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip
2009	Calendar Year	Minimum size =4.5 ft	1 LCS/SCS/pelagic shark combined/vessel/trip

Table 7. State Regulatory History

Alabama *(not confirmed by state):*

Pre-1995: No shark regulations

1996: First shark regulations implemented: state shark fishery closes with the federal shark fishery

1998: By 1998: only short lines in state waters; time/area and size restrictions on the recreational use of gillnets

2004: By Feb 2004: Recreational daily bag limit - 2 sharpnose/person/day; all other species - 1fish/person/day; Recreational minimum size all sharks (except sharpnose) - 54" FL

2006: By May 2006: Recreational & Commercial non-sharpnose min size – 54” FL or 30” dressed; Prohibition: Atlantic angel, bigeye thresher, dusky, longfin make, sand tiger, basking, whale, white, and nurse sharks

2007: No new shark regulations

2008: No new shark regulations

2009: Recreational & commercial sharpnose bag limit dropped to 1 sharpnose per person per day; no shark fishing on weekends, Memorial Day, Independence Day, or Labor Day

Connecticut *(confirmed by state):*

Pre-1995 - 2008: No shark regulations

2009: July: No possession or landing of large coastal shark species by any commercial fishing gear or for commercial purposes.

2010: Feb: Commercial possession of prohibited Small Coastal Sharks: Atlantic sharpnose, finetooth, blacknose, bonnethead until a 2010 quota is set by NMFS; Sandbar shark take prohibited in the commercial and recreational fisheries per ASMFC FMP except under Scientific Collection Permit

Delaware *(confirmed by state):*

Pre-1995: No shark regulations

1998: Commercial shark fishermen must hold a federal shark permit even when fishing in state waters, therefore, state regulations match federal regulations; sharks must be landed with meat and fins intact, but head can be removed; any shark not kept must be released in a manner that maximizes survival; taking of basking, white, whale, sand tiger, and bigeye sand tiger prohibited; seasonal gillnet restrictions. Recreational regulations: no more than two sharks per vessel except that 2 sharpnose can also be landed; prohibition on finning and filleting or taking of the 5 prohibited species

2000: Creel limit on regulated sharks of 1 shark per vessel per day; creel limit for sharpnose is 2 sharks per day; minimum size on regulated sharks is 54 inches FL; fins must be naturally attached; 14 prohibited species added (Atlantic angel shark, bigeye sixgill shark, bigeye thresher, bignose shark, Caribbean reef shark, Caribbean sharpnose shark, dusky shark, Galapagos shark, longfin mako, narrowtooth shark, night shark, sevengill shark, sixgill shark, smalltail shark)

2009: ASMFC Plan

<u>Florida</u> *(confirmed by state):*

Pre-1995: 1992: first shark-specific regulations: must hold federal shark permit; commercial and recreational possession limit of 1 shark per person per day or 2 sharks per vessel per day, whichever is less (virtually no commercial shark fishery in state waters); prohibition on landing fins without corresponding carcass; released sharks should be released in a manner that maximizes survival; recreationally caught sharks cannot be transferred at sea; recreationally caught sharks cannot be sold; prohibition on harvest, landing and sale of basking and whale sharks; state shark fishery closes with federal shark fishery; 1994: prior to landing, fins cannot be removed from a shark harvested in state waters; fishermen returning from federal waters with sharks or shark parts harvested in federal waters, cannot fish in state waters; 1995: ban on the use of entanglement nets larger than 500 square feet

1998: By 1998: ban on longlines; 1998: Added sand tiger, bigeye sandtiger, and white sharks to prohibited species list; prohibition on filleting sharks at sea.

2006: March: Same prohibited species as federal regulations, except Caribbean sharpnose is not included

2010: Jan: Commercial/recreational min size – 54” except no min. size on blacknose, blacktip, bonnethead, smooth dogfish, finetooth, Atlantic sharpnose; Allowable gear – hook and line only; prohibition on the removal of shark heads and tails in state waters; prohibition on harvest of sandbar, silky, and Caribbean sharpnose sharks in state waters; March: prohibition on all harvest of lemon sharks in state waters.

<u>Georgia</u> *(confirmed by state):*

Pre-1995: 1950s: ban on gillnets and longlines; All finfish spp. must be landed with head and fins intact

1998: First shark regulation: prohibition on taking sand tiger sharks; Small Shark Composite (Atl. Sharpnose, bonnethead, spiny dogfish) 30"TL min. size; Creel: 2/person/day; All other sharks 2/person/day or 2 /boat/day, whichever is less. 54"TL min. size, only one shark over 84" TL

2000: Sharks may not be landed in Georgia if harvested using gillnets

2009: Recreational: 1 shark from the Small Shark Composite (bonnethead, sharpnose, and spiny dogfish, min size 30" FL; All other sharks - 1 shark/person or boat, whichever is less, min size 54" FL, Prohibited Species: sand tiger sharks, sandbar, silky, bigeye sandtiger, whale, basking, white, dusky, bignose, Galapagos, night, reef, narrowtooth, Caribbean sharpnose, smalltail, Atlantic angel, longfin mako, bigeye thresher, sharpnose sevengill, bluntnose sixgill, and bigeye sixgill.

Louisiana *(not confirmed by state):*

Pre-1995:

1997: Ban on entanglement nets

1998: No new shark regulations

2004: By Feb 2004: Minimum size - 54" except sharpnose; Possession limit - 1 fish/vessel/trip; Trip limit 4,000 lbs dw LCS; Reference to federal regulations; State waters closed to rec/commercial April 1 through June 30

2006: By May 2006: Recreational: min size – 54" FL, except Atlantic sharpnose and bonnethead; bag limit - 1 sharpnose/person/day; all other sharks – 1 fish/person/day; Commercial: 4,000 lb LCS trip limit, no min size; Com & Rec Harvest Prohibited: 4/1-6/30; Prohibition: same as federal regulations

2008: By Oct 2008: Commercial: 33 per vessel per trip limit, no min size

Maine *(not confirmed by state):*

Pre-1995: No shark regulations

1998: By 1998: large state water closures to gillnets resulting in virtually no gillnet fishery; 1998: no shark regulations

2009: Maximum 5 % fin-to-carcass ratio

Maryland *(not confirmed by state):*

1996: 4000 lb shark limit per person per day; fins must accompany carcass and not exceed 5% fin-to-carcass ratio, state shark fishery closes with federal shark fishery

1998: Size limit of 58 inches FL or a carcass less than 31 inches; recreational bag limit of one shark per person per day; by 1998: maximum gillnet mesh size of 6 inches; no longlining in tidal waters.

2004: By Feb 2004: minimum FL reduced to 54 inches, carcass length the same (31 inches); recreational catch limit of 1 shark per person per day; reference to federal regs 50 CFR 635.

2009: ASMFC Plan

Massachusetts *(not confirmed by state):*

Pre-1995 - 2006: No shark regulations

2006: By May 2006: Prohibition on harvest, catch, take, possession, transportation, selling or offer to sell any basking, dusky, sand tiger, or white sharks.

Mississippi *(not confirmed by state):*

1997: Prohibit taking and possession of sand tiger, bigeye sand tiger, whale, basking, and white sharks; Recreational: bag limit of 4 small coastal sharks (Atlantic sharpnose, Caribbean sharpnose, finetooth, blacknose, smalltail, bonnethead and Atlantic angel shark) per person per day; limit of 3 large coastal and pelagic sharks, in aggregate per vessel per day, same prohibited species as commercial fishers; minimum size of 25 inches total length for small coastal sharks and 37 inches total length for large coastal sharks

2008: By Oct 2008: Recreational bag limit - LCS/Pelagics 1/person up to 3/vessel; SCS 4/person; Commercial & Prohibited Species - Reference to federal regulations

New Hampshire *(not confirmed by state):*

Pre-1995-2008: No shark regulations

2009: No commercial take of porbeagle

New Jersey *(not confirmed by state):*

Pre-1995: No shark regulations

1998: No shark-specific regulations; by 1998: no longline fishing; restrictions on the use of gillnets

2004: By Feb 2004: commercial/recreational possession limit of 2 sharks per vessel; prohibition on finning; dorsal fin to pre-caudal pit must be at least 23 inches in length; total length must be 48 inches in length

2006: By May 2006: no sale during federal closures; Finning prohibited; Prohibited Species: basking, bigeye sand tiger, sand tiger, whale and white sharks

New York *(not confirmed by state):*

1998: By 1998: prohibition on finning sharks; no other shark regulations

2004: By Feb 2004: reference to federal regs 50 CFR part 635; prohibited sharks listed

North Carolina *(confirmed by state):*

Pre-1995: 1990: prohibition on finning 1990 – 7500 lbs per trip, dogfish exempt; unlawful to land fins without carcass; fins no more than 10%; unlawful to land dried fins; required record keeping; Recreational - bag limit is 2 per day

1992: Reduced fins to no more than 7%

1997: No sharks, except Atlantic sharpnose and pelagic sharks, can be taken by commercial gear in state waters; fins must be landed with the carcass; maximum 5% fin-to-carcass ratio; fishers cannot posses or land dried shark fins

2000: One shark per vessel per day with commercial gear (except Atlantic sharpnose and dogfish) while federal waters are open for species group; 84 inch maximum size limit except for tiger, thresher, bigeye thresher, shortfin mako and hammerhead species; must be landed with head, tail and fins intact; Recreational – bag limit is 1 per person per day with a minimum size of 54” (none on Atlantic sharpnose) and a maximum of 84” (except for tiger, thresher, bigeye thresher, shortfin mako and hammerhead species); Prohibited species – basking, white, sand tiger and whale sharks

2003: April: Prohibited ridgebacks (sandbar, silky, and tiger sharks) from Large Coastal Group

2006: Open seasons and species groups same as federal; 4000 lb trip limit for LCS; retain fins with carcass through point of landing; longline shall only be used to harvest LCS during open season, shall not exceed 500 yds or have more than 50 hooks (state waters reopened to commercial fishing); Recreational: LCS (54” FL min size) - no more than 1 shark/vessel/day or 1 shark/person/day, SCS (no min size) – no more than 1 finetooth or blacknose shark/vessel/day and no more than 1 Atlantic sharpnose and 1 bonnethead/person/day, pelagics (no min size) -1 shark/vessel/day; Same prohibited shark species as federal regulations

2008: July: Adopted federal regulations of 33 Large Coastal sharks per trip and fins must be naturally attached to carcass

2009: Fins must be naturally attached to shark carcass

Puerto Rico *(confirmed by state):*

Pre-1995-2004: No shark regulations

2004: Year-round closed season on nurse sharks Shark "finning" is prohibited. PR regulations indicate the need for compliance by local fishers with federal shark regulations.

Rhode Island *(not confirmed by state):*

No shark regulations

South Carolina *(not confirmed by state):*

1998: By 1998: federal regs adopted by reference; use of gillnets prohibited in the shark fishery

2004: By Feb 2004: retention limit of 2 Atlantic sharpnose per person per day and 1 bonnethead per person per day; no min size for recreationally caught bonnethead sharks; reference to federal commercial regulations and closures

2006: By May 2006: non-Atlantic sharpnose/bonnethead sharks – 1 shark/boat/trip, min size – 54" FL

Texas *(confirmed by state):*

Pre-1995: Sept. 1989: Bag limit set at five sharks per day for both rec and commercial anglers; Sept 1992: Bag limit increased to ten sharks per day. Trotlines were added as allowable gear for sharks.

1997: Commercial bag limit of 5 sharks; possession limit of 10 sharks; no min or max size. Recreational bag, possession, and lack of size restrictions same as commercial

1998: Commercial fishing for sharks can only be done with rod and reel; no entanglement nets

2004: Sept: Commercial/Recreational retention limit 1 fish/person/day; Commercial/Recreational possession limit is twice the daily bag limit (i.e., 1 fish/person/day); Commercial/Recreational minimum size 24 in TL

2009: Sept: Min size 24" TL for Atlantic sharpnose, blacktip, and bonnethead sharks and 64" TL for all other lawful sharks. Prohibited species: same as federal regulations

Virginia *(not confirmed by state):*

Pre-1995: 1991: no longlines in state waters; recreational bag limit of 1 shark per person per day; established a commercial trip limit of___; 1993: mandatory reporting of all shark landings

1997: 7500 lb commercial trip limit; minimum size of 58 inches FL or 31 inches carcass length (but can keep up to 200 lbs dw of sharks per day less than 31 inches carcass length); prohibition on finning; recreational: possession limit of 1 shark per person per day

1998: By 1998: no longlining in state waters

2006: By May 2006: Recreational: bag limit – 1 LCS, SCS, or pelagic shark/vessel/day with a min size of 54” FL or 30” CL; 1 Atlantic sharpnose and bonnethead/person/day with no min size; Commercial: possession limit - 4000 lb dw/day, min size - 58" FL or 31" CL west of the COLREGS line and no min size limit east of the COLREGS line; Prohibitions: fillet at sea, finning, longlining, same prohibited shark species as federal regulations

2009: ASMFC Plan

3. ASSESSMENT HISTORY AND REVIEW

The blacknose shark was first assessed individually in 2002 (Cortés 2002) and later in 2006. Prior to that, it was part of the Small Coastal Shark complex, which was first assessed in 1991 and not again until 2002. In 2002, results of Bayesian surplus production and lagged recruitment, survival and growth models determined that the stock was not overfished and overfishing was not occurring. However, the 2002 report noted that results for blacknose and finetooth sharks were more uncertain than those for the other two species of small coastal sharks assessed (Atlantic sharpnose and bonnethead) due to shorter catch and CPUE series, lack of bycatch estimates and no catches reported in some years, and that findings should be viewed with caution.

The first assessment of blacknose sharks under the SEDAR framework took place in 2007 (SEDAR 13, NMFS 2007). Although three models were initially presented, it was decided that an age-structured production model would be used as the base model given that catch and age-specific biological and selectivity information had become available. The 2007 assessment concluded that the stock was overfished (SSF_{2005}/SSF_{MSY}=0.43-0.60; range of base and sensitivity model runs) with overfishing occurring (F_{2005}/F_{MSY}=2.12-5.68; range of base and sensitivity model runs). The main changes between the 2002 and 2006 assessments included differences in the CPUE series used, inclusion of bycatch estimates from the shrimp trawl fishery as well as fleet-specific catch streams, the use of age-specific biological and selectivity information, and the use of different assessment methods.

References

Cortés, E. 2002. Stock assessment of small coastal sharks in the U.S. Atlantic and Gulf of Mexico. Sustainable Fisheries Division Contribution SFD-01/02-152. 133 pp.

NMFS (National Marine Fisheries Service). 2007. Southeast Data, Assessment and Review (SEDAR) 13. Small Coastal Shark complex, Atlantic sharpnose, blacknose, bonnethead, and finetooth shark stock assessment report. NOAA/NMFS Highly Migratory Species Division, Silver Spring, MD.

4. ASSESSMENT SUMMARY

The Summary Report provides a broad but concise view of the salient aspects of the stock assessment. It recapitulates: (a) the information available to and prepared by the Data Workshop; (b) the application of those data, development and execution of one or more assessment models, and identification of the most reliable model configuration as the base run by the Assessment Process (AP); and (c) the findings and advice determined during the Review Workshop.

TO BE COMPLETED FOLLOWING THE REVIEW WORKSHOP

Stock Status and Determination Criteria

Table 1. Summary of stock status determination criteria.

Criteria	Recommended Values from SEDAR 21	
	Definition	Value
M (Instantaneous natural mortality; per year)	Average of Lorenzen M (if used)	
F_{2009} (per year)	Apical Fishing mortality in 2009	

$F_{current}$ (per year)	Geometric mean of the directed fishing mortality rates in 2007 - 2009	
F_{MSY} (per year)	F_{MSY}	
B_{MSY} (metric tons)	Biomass at MSY	
SSB_{2009} (metric tons)	Spawning stock biomass in 2009	
SSB_{MSY} (metric tons)	SSB_{MSY}	
MSST (metric tons)	(1-M)*SSB_{MSY}	
MFMT (per year)	F_{MSY}	
MSY (1000 pounds)	Yield at MSY	
OY (1000 pounds)	Yield at F_{OY}	OY (65% $F_{MSY)}$= OY (75% $F_{MSY)}$= OY (85% F_{MSY}=
F_{OY} (per year)	F_{OY} = 65%,75%, 85% F_{MSY}	65% F_{MSY}= 75% F_{MSY}= 85% F_{MSY}=
Biomass Status	SSB_{2009}/MSST	
Exploitation Status	$F_{current}/F_{MSY}$	

***All weights are whole weight

Stock Identification and Management Unit

Species Distribution:

Stock Life History - summary of life history characteristics of the stock under assessment

Assessment Methods

Assessment Data

Release Mortality

Catch Trends

Fishing Mortality Trends

Stock Abundance and Biomass Trends - summary of abundance, biomass, and recruitment over time

Projections - results of model runs conducted to estimate stock conditions under various potential future levels of fishing mortality

Scientific Uncertainty

Significant Assessment Modifications

Sources of Information

Tables

- Table 1: Summary of stock status and determination criteria (above)
- Table 2: Summary of life history parameters by age
- Table 3: Catch and discards by fishery sector
- Table 4: Fishing mortality estimates
- Table 5: Stock abundance and biomass
- Table 6: Spawning stock biomass and Recruitment

Figures

- Figure 1: Landings by fishery sector
- Figure 2: Discards by fishery sector
- Figure 3: Fishing Mortality
- Figure 4: Stock Biomass
- Figure 5: Abundance Indices
- Figure 6: Stock-Recruitment
- Figure 7: Yield per Recruit
- Figure 8: Stock Status and Control Rule
- Figure 9: Projections

Table 2: Summary of Life History Parameters:

Table 3: Catch and discards by fishery sector

Table 4: Fishing mortality estimates

Table 5: Stock abundance and biomass

Table 6: Spawning stock biomass and recruitment

Figure 1: Landings by fishery sector

Figure 2: Discards by fishery sector

Figure 3: Fishing Mortality

Figure 4: Stock Biomass

Figure 5: Abundance Indices

Figure 6: Stock-Recruitment

Figure 7: Yield per Recruit

Figure 8: Stock Status and Control Rule

Figure 9: Projections

5. SEDAR ABBREVIATIONS

ABC	Allowable Biological Catch
ACCSP	Atlantic Coastal Cooperative Statistics Program
ADMB	AD Model Builder software program
ALS	Accumulated Landings System; SEFSC fisheries data collection program
ASMFC	Atlantic States Marine Fisheries Commission
B	stock biomass level
BMSY	value of B capable of producing MSY on a continuing basis
CFMC	Caribbean Fishery Management Council
CIE	Center for Independent Experts
CPUE	catch per unit of effort
F	fishing mortality (instantaneous)
F_{MAX}	fishing mortality that maximizes the average weight yield per fish recruited to the fishery
F_{MSY}	fishing mortality to produce MSY under equilibrium conditions
F_{OY}	fishing mortality rate to produce Optimum Yield under equilibrium
$F_{XX\%\ SPR}$	fishing mortality rate that will result in retaining XX% of the maximum spawning production under equilibrium conditions
F_0	a fishing mortality close to, but slightly less than, Fmax
FL FWCC	Florida Fish and Wildlife Conservation Commission
FWRI	(State of) Florida Fisheries and Wildlife Research Institute
GA DNR	Georgia Department of Natural Resources
GLM	general linear model
GMFMC	Gulf of Mexico Fishery Management Council
GSMFC	Gulf States Marine Fisheries Commission
GULF FIN	GSMFC Fisheries Information Network
M	natural mortality (instantaneous)
MARMAP	Marine Resources Monitoring, Assessment, and Prediction
MFMT	maximum fishing mortality threshold, a value of F above which overfishing is deemed to be occurring
MRFSS	Marine Recreational Fisheries Statistics Survey; combines a telephone survey of households to estimate number of trips with creel surveys to estimate catch and effort per trip
MRIP	Marine Recreational Information Program
MSST	minimum stock size threshold, a value of B below which the stock is deemed to be overfished

MSY	maximum sustainable yield
NC DMF	North Carolina Division of Marine Fisheries
NMFS	National Marine Fisheries Service
NOAA	National Oceanographic and Atmospheric Administration
OY	optimum yield
SAFMC	South Atlantic Fishery Management Council
SAS	Statistical Analysis Software, SAS Corporation
SC DNR	South Carolina Department of Natural Resources
SEDAR	Southeast Data, Assessment and Review
SEFSC	Fisheries Southeast Fisheries Science Center, National Marine Fisheries Service
SERO	Fisheries Southeast Regional Office, National Marine Fisheries Service
SPR	spawning potential ratio, stock biomass relative to an unfished state of the stock
SSB	Spawning Stock Biomass
SSC	Science and Statistics Committee
TIP	Trip Incident Program; biological data collection program of the SEFSC and Southeast States.
Z	total mortality, the sum of M and F

SEDAR

Southeast Data, Assessment, and Review

SEDAR 21

Highly Migratory Species

Blacknose Shark

SECTION II: Data Workshop Report

SEDAR
4055 Faber Place Drive, Suite 201
North Charleston, SC 29405

Table of Contents

1. INTRODUCTION

1.1. *WORKSHOP TIME AND PLACE*

The SEDAR 21 Data Workshop was held June 21-25, 2010 in Charleston, South Carolina.

1.2. *TERMS OF REFERNCE*

1. Characterize stock structure and develop a unit stock definition. Provide maps of species and stock distribution.

2. Review, discuss and tabulate available life history information (e.g., age, growth, natural mortality, reproductive characteristics); provide appropriate models to describe growth, maturation, and fecundity by age, sex, or length as applicable. Evaluate the adequacy of available life-history information for conducting stock assessments and recommend life history information for use in population modeling.

3. Provide measures of population abundance that are appropriate for stock assessment. Consider and discuss all available and relevant fishery dependent and independent indices. Document all programs evaluated, addressing program objectives, methods, coverage, sampling intensity, and other relevant characteristics. Provide maps of survey coverage. Develop CPUE and index values by appropriate strata (e.g., age, size, area, and fishery); characterize uncertainty. Evaluate the degree to which available indices adequately represent fishery and population conditions. Consider implications of changes in gear, management, fishing effort, etc. in relationship to the different indices. Recommend which indices are considered statistically adequate and biologically plausible for use in assessment modeling.

4. Characterize commercial and recreational catch by gear. Include both landings and discards, in pounds and number by gear type as feasible. Provide estimates of dead discard proportions by fishery and other strata as appropriate or feasible. Evaluate and discuss the adequacy of available data for accurately characterizing fishery removals by species, area, gear type, and fishery sector. Consider implications of changes in gear, management, fishing effort, etc. in reconstructing historic catches. Provide length and age distributions if feasible. To provide context and spatial scale of species distribution, fishery effort, and data coverage, provide maps of fishery effort and harvest, as available.

5. Provide recommendations for future research in areas such as sampling, fishery monitoring, and stock assessment. Include specific guidance on sampling intensity (number of samples including age and length structures) and appropriate strata and coverage.

6. Develop a spreadsheet of assessment model input data that reflects the decisions and recommendations of the Data Workshop. Review and approve the contents of the input spreadsheet.

7. Prepare the Data Workshop report providing complete documentation of workshop actions and decisions (Section II. of the SEDAR assessment report). Provide a list of tasks that were not completed during the meeting week, who is responsible for completing each task, and when each task will be completed.

1.3. *LIST OF PARTICIPANTS*

Workshop Panel

Alan Bianchi .... NCDMF
Andrew Piercy .... UF
Beth Babcock .... RSMAS
Bill Gazey .... LGL Ecological Research Associates, Inc.
Bryan Frazier .... SCDNR
Cami McCandless .... NMFS Narragansett
Carolyn Belcher .... GADNR
Chris Hayes .... ACCSP
Chris Vonderweidt .... ASFMC
Christian Jones .... NMFS Pascagoula
David Stiller .... Alabama (Industry Representative)
Enric Cortés .... NMFS Panama City
Frank Hester .... Southeast Fishery Association - East Coast Section
George Burgess .... UF
Heather Balchowsky .... NMFS Miami
Ivy Baremore .... NMFS Panama City
Jason Romine .... Western Fisheries Research Center
John K. Carlson .... NMFS Panama City
Jose Castro .... NMFS/Mote Marine Laboratory
Katie Andrews .... NMFS Panama City
Ken Keene .... NMFS - Miami
Kevin McCarthy .... NMFS Miami
Kristene Parsons .... VIMS
Lori Hale .... NMFS Panama City
Marcus Drymon .... Univ. of AL
Michelle Passerotti .... NMFS Panama City
Rusty Hudson .... DSF, Inc.
Trey Driggers .... NMFS Pascagoula
Walter Ingram .... NMFS Pascagoula

CIE Reviewer

Robin Cook .... FRS Marine Laboratory

HMS Representation

Karyl Brewster-Geisz.. NMFS – Silver Spring

Observers

Dewey Hemilwright...North Carolina
Glen Hopkins ....North Carolina
Charlie Locke...North Carolina
Joe Klostermann..Fort Pierce, Florida
Benny Galloway... LGL

Staff

Julie A. Neer .... SEDAR
Rachael Lindsay.. SEDAR
Tyree Davis ....NMFS Miami

1.4. *LIST OF DATA WORKSHOP WORKING PAPERS AND REFERNCE DOCUMENTS*

Document #	Title	Authors	Working Group
Documents Prepared for the Data Workshop			
SEDAR21-DW-01	Standardized catch rates of sandbar and blacknose shark from a fishery independent survey in northwest Florida, 1996-2009.	John Carlson and Dana Bethea	Indices
SEDAR21-DW-02	Standardized catch rates of sandbar, dusky and blacknose sharks from the Commercial Shark Fishery Longline Observer Program, 1994-2009	John Carlson, Loraine Hale, Alexia Morgan and George Burgess	Indices
SEDAR21-DW-03	Standardized Catch Rates of Blacknose Shark from the Southeast Shark Drift Gillnet Fishery: 1993-2009	John Carlson and Michelle Passerotti	Indices
SEDAR21-DW-04	Standardized Catch Rates of Blacknose Shark from the Southeast Sink Gillnet Fishery: 2005-2009	John Carlson and Michelle Passerotti	Indices
SEDAR21-DW-05	The effect of turtle excluder devices (TEDS) on the bycatch of small coastal sharks in the Gulf of Mexico Peneid shrimp fishery	S.W. Raborn, K.I. Andrews, B.J. Gallaway, J.G. Cole, and W.J. Gazey	Catch Statistics
SEDAR21-DW-06	Reproduction of the sandbar shark	Baremore, I.E. and	Life History

	Carcharhinus plumbeus in the U.S. Atlantic Ocean and Gulf of Mexico	L.F. Hale	
SEDAR21-DW-07	Description of data sources used to quantify shark catches in commercial and recreational fisheries in the U.S. Atlantic Ocean and Gulf of Mexico	Baremore, I.E., Balchowski, H., Matter, V, Cortes, E.	Catch Statistics
SEDAR21-DW-08	Standardized catch rates for dusky and sandbar sharks from the US pelagic longline logbook and observer programs using generalized linear mixed models.	Enric Cortés	Indices
SEDAR21-DW-09	Updated catches	Enric Cortés	Catch Statistics
SEDAR21-DW-10	Large and Small Coastal Sharks Collected Under the Exempted Fishing Program Managed by the Highly Migratory Species Management Division	Jackie Wilson	Catch Statistics
SEDAR21-DW-11	Abundance series from the MRFSS data set	Beth Babcock	Indices
SEDAR21-DW-12	Catches of Sandbar Shark from the Southeast US Gillnet Fishery: 1999-2009	Michelle S. Passerotti and John K. Carlson	Catch Statistics
SEDAR21-DW-13	Errata Sheet for 'CATCH AND BYCATCH IN THE SHARK GILLNET FISHERY: 2005-2006', NOAA Technical Memorandum NMFS-SEFSC-552	Michelle S. Passerotti and John K. Carlson	Catch Statistics
SEDAR21-DW-14	Data Update to Illegal Shark Fishing off the coast of Texas by Mexican Lanchas	Karyl Brewster-Geisz, Steve Durkee, and Patrick Barelli	Catch Statistics
SEDAR21-DW-15	An update of blacknose shark bycatch estimates taken by the Gulf of Mexico penaeid shrimp fishery from 1972 to 2009	W.J. Gazey and K. Andrews	Catch Statistics
SEDAR21-DW-16	A Negative Binomial Loglinear Model with Application for the Estimation of Bycatch of Blacknose Shark in the Gulf of Mexico Penaeid Shrimp Fishery	W.J. Gazey, K. Andrews, and B.J. Gallaway	Catch Statistics

SEDAR21-DW-17	Life history parameters for the sandbar shark in the Northwest Atlantic and Eastern Gulf of Mexico	Romine and Musick	Life History
SEDAR21-DW-18	Standardized catch rates of sandbar sharks and dusky sharks in the VIMS Longline Survey: 1975-2009	Romine, Parsons, Grubbs, Musick, and Sutton	Indices
SEDAR21-DW-19	Updating the blacknose bycatch estimates in the Gulf of Mexico using the Nichols method	Katie Andrews	Catch Statistics
SEDAR21-DW-20	Tag and recapture data for blacknose, *Carcharhinus acronotus*, sandbar, *C. plumbeus*, and dusky shark, *C. obscurus*, as kept in the NOAA Fisheries Southeast Fisheries Science Center Elasmobranch Tagging Management System, 1999-2009	D. Bethea and Carlson, J.K.	Life History
SEDAR21-DW-21	Age and growth of the sandbar shark, *Carcharhinus plumbeus*, in the Gulf of Mexico and southern Atlantic Ocean.	L. Hale and I. Baremore	Life History
SEDAR21-DW-22	Catch and bycatch in the bottom longline observer program from 2005 to 2009	Hale, L.F., S.J.B. Gulak, and J.K. Carlson	Catch Statistics
SEDAR21-DW-23	Identification and evaluation of shark bycatch in Georgia's commercial shrimp trawl fishery with implications for management	C. N. Belcher and C. A. Jennings	Catch Statistics
SEDAR21-DW-24	Increases in maximum observed age of blacknose sharks, *Carcharhinus acronotus*, based on three long term recaptures from the Western North Atlantic	Bryan S. Frazier, William Driggers, and Christian Jones	Life History
SEDAR21-DW-25	Catch rates and size distribution of blacknose shark *Carcharhinus acronotus* in the northern Gulf of Mexico, 2006-2009	J. M. Drymon, S.P. Powers, J. Dindo and G.W. Ingram	Indices
SEDAR21-DW-26	Reproductive cycle of sandbar sharks in the northwestern Atlantic Ocean and Gulf of Mexico	Andrew Piercy	Life History

SEDAR21-DW-27	Standardized catch rates for juvenile sandbar sharks caught during NMFS COASTSPAN longline surveys in Delaware Bay	Camilla T. McCandless	Indices
SEDAR21-DW-28	Standardized catch rates for sandbar and dusky sharks caught during the NEFSC coastal shark bottom longline survey	Camilla T. McCandless and Lisa J. Natanson	Indices
SEDAR21-DW-29	Standardized catch rates for sandbar and blacknose sharks caught during the Georgia COASTSPAN and GADNR red drum longline surveys	Camilla T. McCandless and Carolyn N. Belcher	Indices
SEDAR21-DW-30	Standardized catch rates for sandbar and blacknose sharks caught during the South Carolina COASTSPAN and SCDNR red drum surveys	Camilla T. McCandless and Bryan Frazier	Indices
SEDAR21-DW-31	Standardized catch rates of sandbar and dusky sharks from historical exploratory longline surveys conducted by the NMFS Sandy Hook, NJ and Narragansett, RI Labs	Camilla T. McCandless and John J. Hoey	Indices
SEDAR21-DW-32	Standardized catch rates of dusky and sandbar sharks observed in the gillnet fishery by the Northeast Fisheries Observer Program	NOT RECEIVED	Indices
SEDAR21-DW-33	Standardized catch rates for blacknose, dusky and sandbar sharks caught during a UNC longline survey conducted between 1972 and 2009 in Onslow Bay, NC	Frank J. Schwartz, Camilla T. McCandless, and John J. Hoey	Indices
SEDAR21-DW-34	Sandbar and blacknose shark occurrence in standardized longline, drumline, and gill net surveys in southwest Florida coastal waters of the Gulf of Mexico	Robert Hueter, John Morris, and John Tyminski	Indices

SEDAR21-DW-35	Atlantic Commercial Landings of blacknose, dusky, sandbar, unclassified, small coastal, and requiem sharks provided by the Atlantic Coastal Cooperative Statistics Program (ACCSP)	Christopher Hayes	Catch Statistics
SEDAR21-DW-36	Life history and population structure of blacknose sharks, *Carcharhinus acronotus*, in the western North Atlantic Ocean	William B. Driggers III, John K. Carlson, Bryan Frazier, G. Walter Ingram Jr., Joseph M. Quattro, James A. Sulikowski and Glenn F. Ulrich	Life History
SEDAR21-DW-37	Movements and environmental preferences of dusky sharks, *Carcharhinus obscurus*, in the northern Gulf of Mexico	Eric Hoffmayer, James Franks, William Driggers, and Mark Grace	Life History
SEDAR21-DW-38	Preliminary Mark/Recapture Data for the Sandbar Shark (*Carcharhinus plumbeus*), Dusky Shark (*C. obscurus*), and Blacknose Shark (*C. acronotus*) in the Western North Atlantic	Nancy E. Kohler and Patricia A. Turner	Life History
SEDAR21-DW-39	Catch rates, distribution and size composition of blacknose, sandbar and dusky sharks collected during NOAA Fisheries Bottom Longline Surveys from the U.S. Gulf of Mexico and U.S. Atlantic Ocean	Walter Ingram	Indices
SEDAR21-DW-40	Standardized catch rates of the blacknose shark (*Carcharhinus acronotus*) from the United States south Atlantic gillnet fishery, 1998-2009	Kristin Erickson and Kevin McCarthy	Indices
SEDAR21-DW-41	Index of Abundance of Sandbar Shark (*Carcharinus plumbeus*) in the Southeast Region, 1992-2007, From United States Commercial Fisheries Longline Vessels	Heather Balchowsky and Kevin McCarthy	Indices
SEDAR21-DW-42	Examination of commercial bottom longline data for the construction of	Kevin McCarthy	Indices

	indices of abundance of dusky shark in the Gulf of Mexico and US South Atlantic		
SEDAR21-DW-43	Indices of abundance for blacknose shark from the SEAMAP trawl survey	Walter Ingram	Indices
SEDAR21-DW-44	Standardized catch rates of sandbar sharks (*Carcharhinus plumbeus*) and dusky sharks (*Carcharhinus obscurus)* from the large pelagic rod and reel survey 1986-2009	John F. Walter and Craig Brown	Indices
SEDAR21-DW-45	A note on the number of pups for two blacknose sharks (*Carcharhinus acronotus*) from the Gulf of Mexico	David Stiller	Life History
SEDAR21-DW-46	Mote LL index	Walter Ingram	Indices

Reference Documents		
SEDAR21-RD01	SEDAR 11 (LCS) Final Stock Assessment Report	SEDAR 11 Panels
SEDAR21-RD02	SEDAR 13 (SCS) Final Stock Assessment Report	SEDAR 13 Panels
SEDAR21-RD03	Stock assessment of dusky shark in the U.S. Atlantic and Gulf of Mexico	E. Cortés, E. Brooks, P. Apostolaki, and C.A. Brown
SEDAR21-RD04	Report to Directed Shark Fisheries, Inc. on the 2006 SEDAR 11 Assessment for Sandbar Shark	Frank Hester and Mark Maunder
SEDAR21-RD05	Use of a Fishery-Independent Trawl Survey to Evaluate Distribution Patterns of Subadult Sharks in Georgia	Carolyn Belcher and Cecil Jennings
SEDAR21-RD06	Demographic analyses of the dusky shark, *Carcharhinus obscurus*, in the Northwest Atlantic incorporating hooking mortality estimates and revised reproductive parameters	Jason G. Romine & John A. Musick & George H. Burgess
SEDAR21-RD07	Observations on the reproductive cycles of some viviparous North American sharks	José I. Castro

SEDAR21-RD08	Sustainability of elasmobranchs caught as bycatch in a tropical prawn (shrimp) trawl fishery	Ilona C. Stobutzki, Margaret J. Miller, Don S. Heales, David T. Brewer
SEDAR21-RD09	Age and growth estimates for the dusky shark, *Carcharhinus obscurus,* in the western North Atlantic Ocean	Lisa J. Natanson, John G. Casey and Nancy E. Kohler
SEDAR21-RD10	Reproductive cycle of the blacknose shark *Carcharhinus acronotus* in the Gulf of Mexico	J. A. Sulikowski, W. B. Driggers III, T. S. Ford, R. K. Boonstra and J. K. Carlson
SEDAR21-RD11	A preliminary estimate of age and growth of the dusky shark Carcharhinus obscurus from the south-west Indian Ocean, with comparison to the western north Atlantic population	L.J. Natanson and N.E. Kohler
SEDAR21-RD12	Bycatch and discard mortality in commercially caught blue sharks *Prionace glauca* assessed using archival satellite pop-up tags	Steven E. Campana, Warren Joyce, Michael J. Manning
SEDAR21-RD13	Short-term survival and movements of Atlantic sharpnose sharks captured by hook-and-line in the north-east Gulf of Mexico	C. W. D. Gurshin and S. T. Szedlmayer
SEDAR21-RD14	Plasma catecholamine levels as indicators of the post-release survivorship of juvenile pelagic sharks caught on experimental drift longlines in the Southern California Bight	Barbara V. Hight, David Holts, Jeffrey B. Graham, Brian P. Kennedy, Valerie Taylor, Chugey A. Sepulveda, Diego Bernal, Darlene RamonB, Randall Rasmussen and N. Chin Lai
SEDAR21-RD15	The physiological response to capture and handling stress in the Atlantic sharpnose shark, *Rhizoprionodon terraenovae*	Eric R. Hoffmayer & Glenn R. Parsons
SEDAR21-RD16	The estimated short-term discard mortality of a trawled elasmobranch, the spiny dogfish (*Squalus acanthias*)	John W. Mandelman & Marianne A. Farrington
SEDAR21-RD17	At-vessel fishing mortality for six species of sharks caught in the northwest Atlantic and Gulf of Mexico	Alexia Morgan and George H. Burgess

SEDAR21-RD18	Evaluating the physiological and physical consequences of capture on post-release survivorship in large pelagic fishes	G.B. Skomal
SEDAR21-RD19	The Physiological Response of Port Jackson Sharks and Australian Swellsharks to Sedation, Gill-Net Capture, and Repeated Sampling in Captivity	L. H. Frick, R. D. Reina, and T. I. Walker
SEDAR21-RD20	Serological Changes Associated with Gill-Net Capture and Restraint in Three Species of Sharks	C. Manire, R. Hueter, E. Hull and R. Spieler
SEDAR21-RD21	Differential sensitivity to capture stress assessed by blood acid–base status in five carcharhinid sharks	John W. Mandelman & Gregory B. Skomal
SEDAR21-RD22	Review of information on cryptic mortality and the survival of sharks and rays released by recreational fishers	Kevin McLoughlin and Georgina Eliason
SEDAR21-RD23	Pathological and physiological effects of stress during capture and transport in the juvenile dusky shark, *Carcharhinus obscurus*	G. Cliff and G.D. Thurman
SEDAR21-RD24	Pop-off satellite archival tags to chronicle the survival and movements of blue sharks following release from longline gear	Michael Musyl and Richard Brill
SEDAR21-RD25	Evaluation of bycatch in the North Carolina Spanish and king mackerel sinknet fishery with emphasis on sharks during October and November 1998 and 2000 including historical data from 1996-1997	Chris Jensen and Glen Hopkins

2. LIFE HISTORY

2.1. *OVERVIEW*

The blacknose shark life history working group was led by Dr. John Carlson, NOAA Fisheries Panama City, and rappeteured by Loraine Hale, NOAA Fisheries Service-Panama City

Laboratory. Members of the group included George Burgess, University of Florida, Dr. Jose Castro, NOAA Fisheries Service-Miami Laboratory, Dr. William Driggers, NOAA Fisheries Service-Mississippi Laboratories, Christian Jones, NOAA Fisheries Service-Mississippi Laboratories, Dr. Andrew Piercy, University of Florida, Bryan Frazier, South Carolina Department of Natural Resources, Dr. Jason Romine, USGS, and Dr. Frank Hester, consultant for Directed Shark Fisheries.

2.2. *REVIEW OF WORKING PAPERS*

SEDAR21-DW-20 - Tag and recapture data for blacknose, *Carcharhinus acronotus*, sandbar, *C. plumbeus*, and dusky shark, *C. obscurus*, as kept in the NOAA Fisheries Southeast Fisheries Science Center Elasmobranch Tagging Management System, 1999-2009 - D. Bethea and J. Carlson

Tag and recapture information for blacknose, *Carcharhinus acronotus*, sandbar, *C. plumbeus*, and dusky shark, *C. obscurus*, is summarized from the NOAA Fisheries Cooperative Gulf of Mexico States Shark Pupping and Nursery (GULFSPAN) survey at the Panama City Laboratory from 1999 to 2009 and the NOAA Fisheries Mississippi Laboratories bottom and pelagic longline cruises 2004-2009. Summary information includes number of males and females tagged by life stage, number of sharks recaptured, and overall recapture rate, time at liberty, and distance traveled per recaptured individual.

SEDAR21-DW-24 - Increases in maximum observed age of blacknose sharks, *Carcharhinus acronotus*, based on three long term recaptures from the Western North Atlantic - B. Frazier, W. Driggers, and C. Jones

Three tagged blacknose sharks were recently recaptured after extended periods ranging from10.9 to 12.8 years at liberty. Vertebrae collected from these sharks were examined to compare direct age estimate and time-at-liberty data with maximum observed ages and theoretical longevities reported by Driggers et al. (2004) and Carlson et al. (2007). Age-at- tagging data, assigned using von Bertalanffy Growth Function (VBGF) parameter estimates summarized in Driggers et al. (2010), were combined with time-at-liberty data to generate expected ages. Both the expected ages and those derived from direct estimate from sectioned vertebrae were greater than the maximum observed ages for males and females from the western north Atlantic (Driggers et al

2004a) and the northern Gulf of Mexico (Carlson et al 2007). Additionally, both expected and direct age estimates for males were greater than the theoretical longevity estimates calculated from both models.

SEDAR21-DW-36 - Life history and population structure of blacknose sharks, *Carcharhinus acronotus,* in the western North Atlantic Ocean – W. Driggers, J. Carlson, B. Frazier, W. Ingram, J. Quattro, J. Sulikowski and G. Ulrich

The purpose of this document was to summarize the results of several studies on the life history of blacknose sharks in the South Atlantic Bight (SAB; defined as the area between Cape Hatteras, NC to Cape Canaveral, FL) and the northern Gulf of Mexico (GOM), compare important life history parameters reported in these studies and examine the population structure this species within the territorial waters of the United States. Von Bertalanffy growth function (VBGF) parameter estimates indicated that female blacknose sharks have a higher asymptotic length, lower growth constant and lower theoretical size at age zero than males in both the SAB and GOM. There were significant differences in VBGF parameter estimates between the sexes and sexes combined by region when comparing growth models generated for the SAB and GOM. In the SAB there was a significant difference in the size at 50% maturity ogives between females and males but not between the age at 50% maturity ogives. In the GOM no differences existed in age or size at 50% maturity ogives between the sexes. When treating the SAB and GOM as a single region there was a difference in size at 50% maturity ogives for females and males but not in the age at 50% maturity ogives. Female blacknose sharks were determined to reproduce biennially in the SAB and annually in the GOM. There was no difference in the mean number of pups per liter between areas (mean = 3.29). The population structure of blacknose sharks from the SAB and GOM was examined by direct sequencing of the mitochondrial DNA control region. While the analysis of molecular variance indicated there is no genetic difference in blacknose sharks between the SAB and the GOM ($p = 0.08$) the exact test of sample differentiation indicated that there is ($p < 0.01$).

SEDAR21-DW-38 - Preliminary Mark/Recapture Data for the sandbar Shark (*Carcharhinus plumbeus*), dusky shark (*C. obscurus*), and blacknose shark (*C. acronotus*) in the western North Atlantic – N. Kohler and P. Turner

Mark/recapture information from the National Marine Fisheries Service (NMFS) Cooperative Shark Tagging Program (CSTP) covering the period from 1962 through 2009 are summarized for the sandbar shark (*Carcharhinus plumbeus*), dusky shark (*C. obscurus*), and blacknose shark (*C. acronotus*) in the western North Atlantic. The extent of the tagging effort, areas of release and recapture, movements, and length frequencies of tagged sharks are reported. Areas were distinguished in order to identify regional trends in size and quantify exchange between the Atlantic and Gulf of Mexico. Only data with information on size and mark/recapture location were included in these regional analyses. Data synopses include overall recapture rates, maximum and mean distances traveled, maximum times at liberty, and numbers of fish tagged and recaptured, mean lengths, and length frequencies by region. Overall, movement between the Atlantic and Gulf of Mexico and between the US and the Mexican-managed portion of the Gulf of Mexico occurred for the sandbar and dusky shark. Blacknose sharks showed no movement between regions. The true extent of these movements is unclear due to the possibility of under-reporting of recaptures.

2.3. *STOCK DEFINTION AND DESCRIPTION*

After considering the available data, the working group concluded that blacknose sharks inhabiting the U.S. waters of the western North Atlantic Ocean (including the Gulf of Mexico) should be considered two separate stocks; one in the U.S. waters of the western North Atlantic Ocean (referred to in the document as South Atlantic Bight) and one in the Gulf of Mexico. Since SEDAR 13, tagging efforts have increased and there is still a lack of exchange between the Gulf of Mexico and South Atlantic Bight. While genetic information still doesn't not provide data to discriminate distinct stocks, the continued lack of exchange between the two basins and the difference in reproductive cycle (1 year vs. 2 year) (SEDAR 21-DW-20, SEDAR 21-DW-36, SEDAR 21-DW-38) led the group to conclude that the stocks should be split.

2.4. *NATURAL MORTALITY*

There are currently no natural mortality estimates for blacknose shark available based on direct empirical data. To determine the most appropriate indirect method, a member of the analyst group discussed with the life history group the methods and assumptions to be used for estimating survivorship and mortality. It was determined that survivorship of age 1 and adult sharks should be based on the maximum estimate from methods described in Hoenig (1983), Chen and Watanabe (1989), Peterson and Wroblewski (1984), and Lorenzen (1996). Theoretical estimates indicate the Hoenig model produces lower survivorship estimates in later ages than the Peterson and Wrobleski method, but higher than the Chen and Watanabe method. It was determined that the range of survivorship estimates by age to be used for priors are to be based on Peterson and Wrobleski and Lorenzen estimates without using the Lorenzen-Hoenig hybrid because the models for Lorenzen and Hoenig produced similar results. Mortality schedules by age are in section 2.8.

2.5. *DISCARD MORTALITY (SCIENTIFIC STUDIES)*

To attempt to determine post-release survivorship, the working group reviewed 16 papers examining at-vessel and discard mortality, involving both field and laboratory studies. Values of discard survival were available for mako (longline), blue (longline), blacktip (gillnet), tiger (hook and line), dusky (hook and line) and Atlantic sharpnose (hook and line) sharks. Because at least two publications (Mandleman and Skomal 2009; Morgan and Carlson 2010) provided evidence that mortality rates vary among species, even those that are closely related so we chose to provide the following estimates of discard mortality. One paper on blue sharks (Campana et al. 2009) had values for both at-vessel (13%) and post-release (19%) mortality. This represented a 6% difference in mortality. Assuming the relationship between these two mortality rates is applicable to other species, we applied this 6% increase in mortality to the at vessel mortality estimates for blacknose sharks from observer data collected 1994-2009 in the longline fishery. This resulted in an estimate of discard mortality for longline caught blacknose sharks of 71.18%.

The life history group was tasked with determining estimates for post release mortality from trawl gear. A single document was reviewed (SEDAR 21-RD-08) indicating a 61% at-vessel mortality rate for several species of the genus *Carcharhinus* and one species from the genus *Rhizoprionodon* in the Australian northern prawn trawl fishery. We used the 6% difference between at-vessel and post-release mortality reported by Campana et al (2009) to convert the at vessel mortality indicated above to a discard mortality. This conversion resulted in an estimate of 67% (61% + 6%) discard mortality for trawl fisheries.

To develop estimates of hook and line post-release mortality we reviewed the available literature and projected values based on the data presented by Cliff and Thurman (1984). They reported 6% post-release mortality rate for dusky sharks. We then used at-vessel hooking mortality from Morgan and Burgess 2007 and two observer program data sets (CSFOP and SBLOP) as proxies for a comparison of the survival of blacknose compared to dusky sharks. Blacknose sharks exhibited 10% greater at-vessel mortality than dusky sharks. Using these relationships, we calculated that blacknose sharks have hook and line post-release mortality of 6.6%.

2.6. *AGE AND GROWTH*

SEDAR 21-DW-36 summarized VBGF estimates for the eastern Gulf of Mexico from Carlson et al. (2007) and east coast of the U.S. from Driggers et al. (2004). Additionally, the data sets of Driggers et al. (2004) and Carlson et al. (2007) were combined to produce VBGF parameter estimates for the two areas combined. Due to the low sample sizes of younger individuals in the growth model from the South Atlantic Bight and larger animals from the Gulf of Mexico, the working group chose to adopt the combined growth model to describe both areas (SEDAR 21-DW-36). Data were also discussed (SEDAR 21-DW-36) that increased the observed maximum age of blacknose sharks from 12.5 to 14.5 years for females and from 10.5 to 20.5 years for males. The working group agreed that it was reasonable to assume a maximum age of 20.5 years for females as well. Life history parameters are summarized in section 2.8.

2.7. *REPRODUCTION*

Because the working group adopted combined growth models, combined ogive schedules were adopted as provided in SEDAR 21-DW-36. The reproductive periodicity in the Gulf of Mexico is considered to be annual while the periodicity is considered biennial in the South Atlantic Bight (Sulikowski et. al 2007, Driggers et al. 2004, SEDAR 21-DW-36). Litter size was reported to be 3.5 and 3.1 in the South Atlantic Bight and Gulf of Mexico, respectively (SEDAR 21-DW-36) and ranged from 1-5 in both areas. However, sample size estimates are small and the reported mean litter size from those studies greatly reduces the productivity for the species, especially in the Atlantic Ocean. The group considered all additional information that was available and litter size can reach 6 individuals (SEDAR21-DW45). Because of the uncertain nature of the current data, a consensus, based on discussion, was reached that a litter size of 5 should be adopted. A similar conclusion was derived at SEDAR 13. A litter size of 5 represents the median of all data available on blacknose shark fecundity.

2.8. *REVIEW OF RECOMMENDED LIFE HISTORY PARAMETERS*

Summary of Blacknose-- Biological Inputs for 2010 Assessment

Life history Workgroup	**Blacknose- ATLANTIC**	
1st year survivorship	male = 0.58, female = 0.59	Section 2.4
Juvenile survivorship	Male = 0.67 – 0.74, female = 0.67-0.755	Section 2.4
Adult survivorship	male = 0.75 -0.77 , female = 0.765 - 0.79	Section 2.4
S-R function	Beverton Holt	From SEDAR 13
S-R parameters, priors		
steepness or alpha	0.3-0.4	From SEDAR 13
Pupping month	June	SEDAR21-DW-36
Growth parameters	Male | Female | Combined sexes	
L_∞ (cm FL)	97.9 | 104.3 | 101.2	SEDAR21-DW-36
k	0.36 | 0.30 | 0.32	SEDAR21-DW-36
t_o	-1.62 | -1.71 | -1.70	SEDAR21-DW-36
Maximum observed age	20.5	SEDAR21-DW-24
Sample size	193 female, 181 male	SEDAR21-DW-36
Length-weight relationships		
WT in kg	Weight (kg)=e(-1.6493+ 0.00336578*TL)	SEDAR21-DW-36
FL in cm	TL (mm)=(97.7298+1.07623*FL)	SEDAR21-DW-36
WT in kg	Weight (kg)=e(-2.9827+ 0.00001*TL)	Converted SEDAR21-DW-36
Maturity ogive	see table to right from SEDAR 13DW17, female a = -13.79, b = 3.06, tmat = 4.51; male a = -10.88, b = 2.39, tmat = 4.55	SEDAR21-DW-36
Reproductive cycle	Biennial	SEDAR21-DW-36
Fecundity	3.8 n = 6 (S.D. = 0.75, range 3-5, Gelsleichter pers comm); 3.29 (0.96 S.D., Driggers et al), 5.0 median (n=6,Castro (1993)), 5 (n = 27; Castro pers comm) - 5 is median	Gelsleichter (pers comm.), SEDAR21-DW-36, Castro (1993), Stiller (pers comm.)
Gestation	10 months	SEDAR21-DW-36
Sex-ratio	1:1	SEDAR21-DW-36
Stock structure	Low exchange based on tagging data between Gulf and Atl. Data suggests genetic differences. Differences in reproductive cycle. Separate stocks.	SEDAR21-DW-20, SEDAR21-DW-36

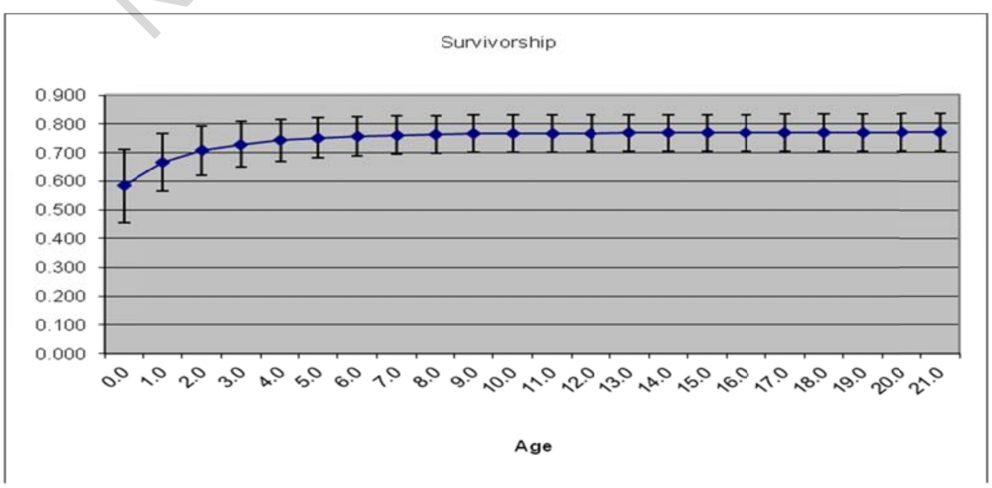
Summary of Blacknose-- Biological Inputs for 2010 Assessment

Life history Workgroup	**Blacknose - Gulf**	
1st year survivorship	male = 0.58, female = 0.59	Section 2.4
Juvenile survivorship	Male = 0.67 – 0.74 , female = 0.67 – 0.755	Section 2.4
Adult survivorship	male = 0.75 -0.77 , female = 0.765 - 0.79	Section 2.4
S-R function	Beverton Holt	From SEDAR 13
S-R parameters, priors		
steepness or alpha	0.3-0.4	From SEDAR 13
Pupping month	June	SEDAR21-DW-36
Growth parameterss	Male | Female | Combined sexes	
L_∞ (cm FL)	97.9 | 104.3 | 101.2	SEDAR21-DW-36
k	0.36 | 0.30 | 0.32	SEDAR21-DW-36
t_o	-1.62 | -1.71 | -1.70	SEDAR21-DW-36
Maximum observed age	20.5	SEDAR21-DW-24
Sample size	193 female, 181 male	SEDAR21-DW-36
Length-weight relationships		
WT in kg	Weight (kg)=e(-1.6493+ 0.00336578*TL)	SEDAR21-DW-36
FL in cm	TL (mm)=(97.7298+1.07623*FL)	SEDAR21-DW-36
Maturity ogive	see table to right from SEDAR 13DW17	SEDAR21-DW-36
Reproductive cycle	Annual	SEDAR21-DW-36
Fecundity	3.13 (SEDAR21-DW-36); 3.5 (range 1-6, S.D. = 0.97; Sulikowski pers comm.) - median => 5	SEDAR21-DW-36, Sulikowski (pers comm.)
Gestation	10 months	SEDAR21-DW-36
Sex-ratio	1:1	SEDAR21-DW-36
Stock structure	Low exchange based on tagging data between Gulf and Atl. Data suggests genetic differences. Differences in reproductive cycle. Separate stocks.	SEDAR21-DW-20, SEDAR21-DW-36

Survivorship by age for male and female blacknose sharks. Values are similar between the Gulf of Mexico and south Atlantic Ocean.

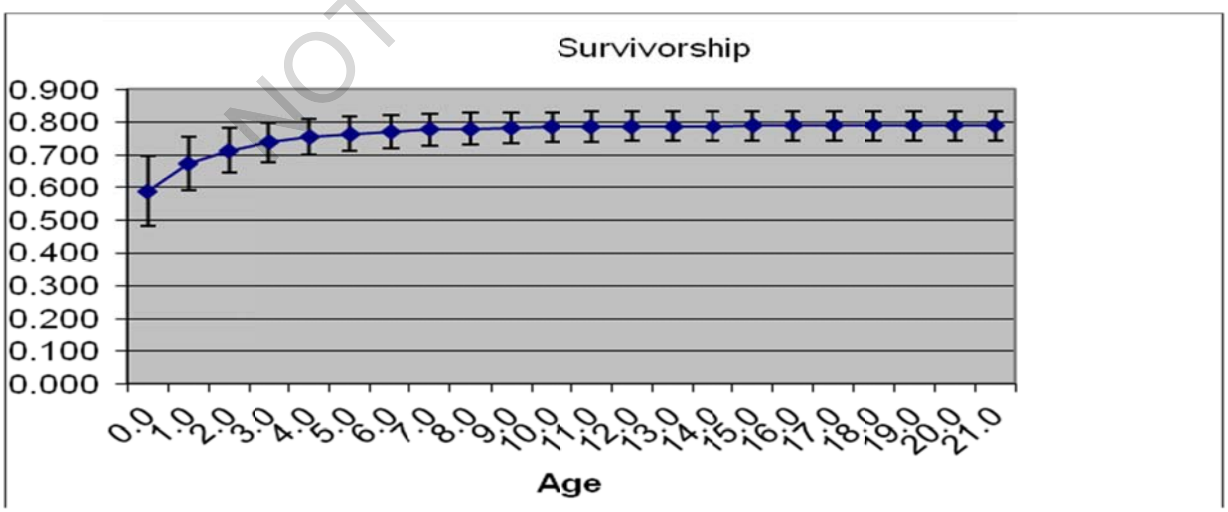
Male:

Age	Mortality	Survival StDev	Survivorship
0.0	0.417	0.128	0.583
1.0	0.333	0.101	0.667
2.0	0.293	0.087	0.707
3.0	0.270	0.079	0.730
4.0	0.257	0.074	0.743
5.0	0.248	0.071	0.752
6.0	0.242	0.068	0.758
7.0	0.238	0.067	0.762
8.0	0.236	0.066	0.764
9.0	0.234	0.065	0.766
10.0	0.233	0.065	0.767
11.0	0.232	0.065	0.768
12.0	0.231	0.065	0.769
13.0	0.231	0.064	0.769
14.0	0.231	0.064	0.769
15.0	0.230	0.064	0.770
16.0	0.230	0.064	0.770
17.0	0.230	0.064	0.770
18.0	0.230	0.064	0.770
19.0	0.230	0.064	0.770
20.0	0.230	0.064	0.770
21.0	0.230	0.064	0.770



Female:

Age	Mortality	Survival StDev	Survivorship
0.0	0.412	0.108	0.588
1.0	0.328	0.082	0.672
2.0	0.285	0.069	0.715
3.0	0.261	0.061	0.739
4.0	0.245	0.056	0.755
5.0	0.235	0.052	0.765
6.0	0.228	0.050	0.772
7.0	0.223	0.049	0.777
8.0	0.219	0.048	0.781
9.0	0.217	0.047	0.783
10.0	0.215	0.046	0.785
11.0	0.213	0.046	0.787
12.0	0.212	0.045	0.788
13.0	0.212	0.045	0.788
14.0	0.211	0.045	0.789
15.0	0.211	0.045	0.789
16.0	0.210	0.045	0.790
17.0	0.210	0.045	0.790
18.0	0.210	0.045	0.790
19.0	0.210	0.045	0.790
20.0	0.210	0.045	0.790
21.0	0.210	0.045	0.790



2.9. *LITERATURE CITED*

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2.10. *TABLES*

Areas Combined

	Proportion mature				Proportion mature		
FL (mm)	Female	Male	Combined	Age (years)	Female	Male	Combined
350	0.00	0.00	0.00	0	0.00	0.00	0.00
400	0.00	0.00	0.00	0.5	0.00	0.00	0.00
450	0.00	0.00	0.00	1.5	0.00	0.00	0.00
500	0.00	0.00	0.00	2.5	0.00	0.01	0.01
550	0.00	0.00	0.00	3.5	0.04	0.07	0.07
600	0.00	0.00	0.00	4.5	0.50	0.47	0.48
650	0.00	0.00	0.00	5.5	0.95	0.91	0.92
700	0.00	0.00	0.00	6.5	1.00	0.99	0.99
750	0.00	0.00	0.00	7.5	1.00	1.00	1.00
800	0.00	0.00	0.00	8.5	1.00	1.00	1.00
850	0.00	0.02	0.00	9.5	1.00	1.00	1.00
900	0.24	0.92	0.60	10.5	1.00	1.00	1.00
950	0.99	1.00	1.00	11.5	1.00	1.00	1.00
1000	1.00	1.00	1.00	12.5	1.00	1.00	1.00
1050	1.00	1.00	1.00				
1100	1.00	1.00	1.00				
1150	1.00	1.00	1.00				

Table 7. Predicted proportion of mature blacknose sharks by sex, size and age in the South Atlantic Bight and northern Gulf of Mexico when both regions are treated as one

South Atlantic Bight

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-36.13	161.53	-356.15	283.90	115	4.45
	b	8.12	35.91	-63.02	79.26		
Male	*a*	-13.42	2.28	-17.95	-8.90	104	4.26
	b	3.15	0.54	2.09	4.22		
Sexes combined	*a*	-15.85	3.16	-22.07	-9.63	219	4.37
	b	3.63	0.71	2.23	5.02		

Northern Gulf of Mexico

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-101.43	0.04	-101.51	-101.35	57	6.63
	b	15.31	0.00	15.31	15.31		
Male	*a*	-13.28	2.65	-18.54	-8.03	118	5.40
	b	2.46	0.51	1.46	3.46		
Sexes combined	*a*	-15.35	2.57	-20.43	-10.28	175	5.45
	b	2.82	0.50	1.84	3.80		

Areas combined

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-13.79	3.52	-20.74	-6.85	172	4.51
	b	3.06	0.79	1.51	4.61		
Male	*a*	-10.88	1.25	-13.34	-8.41	222	4.55
	b	2.39	0.27	1.86	2.92		
Sexes combined	*a*	-11.59	1.20	-13.96	-9.22	394	4.54
	b	2.56	0.27	2.03	3.08		

Table 4. Age at 50% maturity for blacknose sharks in the South Atlantic Bight, northern Gulf of Mexico and areas combined.

Areas Combined

	Proportion mature				Proportion mature		
FL (mm)	Female	Male	Combined	Age (years)	Female	Male	Combined
350	0.00	0.00	0.00	0	0.00	0.00	0.00
400	0.00	0.00	0.00	0.5	0.00	0.00	0.00
450	0.00	0.00	0.00	1.5	0.00	0.00	0.00
500	0.00	0.00	0.00	2.5	0.00	0.01	0.01
550	0.00	0.00	0.00	3.5	0.04	0.07	0.07
600	0.00	0.00	0.00	4.5	0.50	0.47	0.48
650	0.00	0.00	0.00	5.5	0.95	0.91	0.92
700	0.00	0.00	0.00	6.5	1.00	0.99	0.99
750	0.00	0.00	0.00	7.5	1.00	1.00	1.00
800	0.00	0.00	0.00	8.5	1.00	1.00	1.00
850	0.00	0.02	0.00	9.5	1.00	1.00	1.00
900	0.24	0.92	0.60	10.5	1.00	1.00	1.00
950	0.99	1.00	1.00	11.5	1.00	1.00	1.00
1000	1.00	1.00	1.00	12.5	1.00	1.00	1.00
1050	1.00	1.00	1.00				
1100	1.00	1.00	1.00				
1150	1.00	1.00	1.00				

Table 7. Predicted proportion of mature blacknose sharks by sex, size and age in the South Atlantic Bight and northern Gulf of Mexico when both regions are treated as one area.

South Atlantic Bight

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-36.13	161.53	-356.15	283.90	115	4.45
	b	8.12	35.91	-63.02	79.26		
Male	*a*	-13.42	2.28	-17.95	-8.90	104	4.26
	b	3.15	0.54	2.09	4.22		
Sexes combined	*a*	-15.85	3.16	-22.07	-9.63	219	4.37
	b	3.63	0.71	2.23	5.02		

Northern Gulf of Mexico

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-101.43	0.04	-101.51	-101.35	57	6.63
	b	15.31	0.00	15.31	15.31		
Male	*a*	-13.28	2.65	-18.54	-8.03	118	5.40
	b	2.46	0.51	1.46	3.46		
Sexes combined	*a*	-15.35	2.57	-20.43	-10.28	175	5.45
	b	2.82	0.50	1.84	3.80		

Areas combined

	Parameter	Estimate	S.E.	95% lower C.I.	95% upper C.I.	n	Age (years)
Female	*a*	-13.79	3.52	-20.74	-6.85	172	4.51
	b	3.06	0.79	1.51	4.61		
Male	*a*	-10.88	1.25	-13.34	-8.41	222	4.55
	b	2.39	0.27	1.86	2.92		
Sexes combined	*a*	-11.59	1.20	-13.96	-9.22	394	4.54
	b	2.56	0.27	2.03	3.08		

Table 4. Age at 50% maturity for blacknose sharks in the South Atlantic Bight, northern Gulf of Mexico and areas combined.

From SEDAR 13DW17

3. COMMERCIAL FISHERY STATISTICS

3.1. *OVERVIEW*

3.1.1. Membership

Ivy Baremore (chair, SEFSC), Elizabeth Babcock (RSMAS), Heather Balchowsky (HMS), Carolyn Belcher (GADNR), Alan Bianchi (NCDENR), Enric Cortés (SEFSC), Bill Gazey (LGL), Chris Hayes (ACCSP), Rusty Hudson (DSF), Michelle Passerotti (SEFSC), David Stiller (Fisherman-Alabama)

3.1.2. Issues

Based on the recommendation of the Life History Working Group that blacknose sharks should be assessed as two separate stocks (Gulf of Mexico and South Atlantic), two catch histories were developed for that species. The break between the two regions occurs at the Monroe/Dade County, Florida, line. Additional discussions within the catch group included: 1) the estimation of commercial shrimp trawl bycatch of blacknose sharks in the Gulf of Mexico and South Atlantic; 2) post-release mortality rates; and 3) catch reconstruction to year of virgin biomass.

3.2. *REVIEW OF WORKING PAPERS*

SEDAR 21-DW-05

The effect of turtle excluder devices (TEDs) on the bycatch of small coastal sharks in the Gulf of Mexico penaeid shrimp fishery

S.W. Raborn, K.I. Andrews, B.J. Galloway, J.G. Cole, and W. J. Gazey

Based on recommendations from the last small coastal shark SEDAR, the effect of turtle excluder devices (TEDs) on the amount of small coastal shark bycatch was evaluated. The TED effect did contribute significantly to the model. This working paper provides the theory behind the estimation, whereas SEDAR21-DW-15 and SEDAR21-DW-16 contain the actual bycatch estimates.

SEDAR 21-DW-07

Description of data sources used to quantify shark catches in commercial and recreational fisheries in the U.S. Atlantic Ocean and Gulf of Mexico

I.E. Baremore, H. Balchowsky, V. Matter, and E. Cortes

This document provides the background on the data sources that are currently available for providing catch information for blacknose and other sharks. For those data sources that require some form of expansion, that methodology is outlined in this document.

SEDAR 21-DW-09

Updated catches of sandbar, dusky, and blacknose sharks

E. Cortés and I. Baremore

The document presented updated commercial and recreational landings and discard estimates for three shark species, including blacknose sharks, through 2009. Information on the geographical distribution of both commercial and recreational catches is presented along with gear-specific information of commercial landings. Length-frequency information and trends in average size of the catches from several commercial and recreational sources are also included.

SEDAR 21-DW-10

Large and small coastal sharks collected under the Exempted Fishing Program managed by the Highly Migratory Species Management Division

J. Wilson

This working document describes the number of blacknose sharks taken under the exempted fishing program from 2000 through 2009 and provides descriptive statistics by gear type of these takes. These numbers also occur in SEDAR21-DW-09 and can be found in the total landings table for blacknose sharks.

SEDAR21-DW-13

ERRATA for Catch and bycatch in the shark gillnet fishery: 2005-2006

M. Passerotti and J. Carlson

Since the publication of 'Catch and Bycatch in the Shark Gillnet Fishery: 2005-2006', March 2007, a number of errors within the catch information reported were detected. This document corrects those errors and provides revised catch tables.

SEDAR21-DW-15

An update of blacknose shark bycatch estimates taken by the Gulf of Mexico penaeid shrimp fishery from 1972 to 2009

W.J. Gazey and K. Andrews

Bycatch estimation of blacknose shark (*Carcharhinus acronotus*) by the penaeid shrimp trawl fishery in the Gulf of Mexico, as of the last assessment (SEDAR13), used a model developed for the bycatch of red snapper (*Lutjanus campechanus*) run under the computer program WinBUGS (Spiegelhalter et al. 2003). Alternative models for the estimation of blacknose bycatch were not considered possibly because the extreme execution time (up to 70 hours) discouraged exploration of alternative models. The impact of Turtle Exclusion Devices (TEDs), which have been in widespread use since 1990, was not considered despite an expected ability to exclude fish the size of blacknose shark. Raborn et al. (2009) used a negative binomial regression model in a before-aftercontrol- impact design to show that TEDs reduced substantially the catch rate for blacknose shark. Raborn (2009) also found that year effect was not important for the prediction of catch rate. Gazey et al. (2009) used AD Model Builder (ADMB 2010) to develop and evaluate six alternative Bayesian bycatch estimation models to address these issues. Blacknose shark bycatch estimates taken by the Gulf of Mexico penaeid shrimp fishery were updated over the period 1972 to 2009 using the methodologies developed by Gazey et al. (2009).

SEDAR21-DW-16

A negative binomial loglinear model with application for the estimation of bycatch of blacknose shark in the Gulf of Mexico penaeid shrimp fishery

W.J. Gazey, K. Andrews and B.J. Galloway

Bycatch estimation of blacknose shark (*Carcharhinus acronotus*) by the penaeid shrimp trawl fishery in the Gulf of Mexico currently uses a model developed for the bycatch of red snapper (*Lutjanus campechanus*) run under the computer program WinBUGS. Alternative models for the estimation of blacknose bycatch were not considered possibly because the extreme execution time (up to 70 hours) discouraged exploration of alternative models. The impact of Turtle Exclusion Devices (TEDs), which have been in widespread use since 1990, was not considered despite an expected ability to exclude fish the size of blacknose shark. To address these problems,a bycatch estimation model was developed under the program AD Model Builder that mimics the WinBUGS version but runs much faster (less than a minute). The model was extended to include the impact of TEDs. Bycatch estimates using six alternative models (combinations of with and without year effects, a pre-post 1990 time trend effect as a replacement for the year effect, and with and without TED effects) were made from 30,548 tows made in the Gulf of Mexico. The pre-post 1990 time trend with a TED model option based on fit to the data was recommended. The paper concluded that there is a critical need for additional shrimp trawl observer information on the capture of blacknose shark to enable better definition of the TED effect and subsequent bycatch estimates.

SEDAR21-DW-19

Updating the blacknose bycatch estimates in the Gulf of Mexico using the Nichols method

K. Andrews

For use as a required continuity run, the data stream that was used in the Nichols method was updated to include the more recent information. When the model was applied to the new catch stream, a convergent estimate could not be generated. Additionally, the priors for the model could not be evaluated. Based on the outcome, this method is not recommended for producing estimates.

SEDAR21-DW-22

Catch and bycatch in the bottom longline observer program from 2005 to 2009

L.F. Hale, S.J.B. Gulak, and J.K. Carlson

Data gathered from observation of the bottom longline fishery in the southern U.S. Atlantic Ocean and Gulf of Mexico from 2005 through 2009 are reported. Number caught, disposition, and percentages of the large and small coastal complex for sandbar sharks, blacknose sharks, and dusky sharks are reported by year, area, and target when available.

SEDAR21-DW-23

Identification and evaluation of shark bycatch in Georgia's commercial shrimp trawl fishery with implications for management

C.N. Belcher and C.A. Jennings

Many US states have recreational and commercial fisheries that occur in nursery areas occupied by subadult sharks and can potentially affect their survival. Georgia is one of few US states without a directed commercial shark fishery, but the state has a large, nearshore penaeid shrimp trawl fishery in which small sharks occur as bycatch. During a 1995-1998 investigation of bycatch in fishery-dependent sampling events, 34% of 127 trawls contained sharks. This bycatch totaled 217 individuals from six species, with Atlantic sharpnose shark, *Rhizoprionodon terraenovae*, the most common and finetooth shark, *Carcharhinus isodon*, and spinner shark, *Carcharhinus brevipinna*, the least common. The highest catch rates for sharks occurred during June and July and coincided with the peak months of the pupping season for many species. Trawl tow speed and tow time did not significantly influence catch rates for shark species. Gear configurations (net type, turtle excluder device, bycatch reduction device) affected catch rates for shark species. Management strategies that may reduce shark bycatch in this fishery include gear restrictions, a delayed season opening, or reduced bar spacing on turtle excluder devices. Important points to be considered were: the low amount of blacknose shark present in the catches, and the seasonality of catches.

SEDAR21-DW-35

Atlantic commercial landings of blacknose, dusky, sandbar, unclassified, small coastal, and requiem sharks provided by the Atlantic Coastal Cooperative Statistics Program (ACCSP)

C. Hayes

This working document was developed by the Atlantic Coastal Cooperative Statistics Program (ACCSP) to provide commercial landings of blacknose, dusky, sandbar, unclassified, small coastal, and requiem sharks from 1950 to 2009 to the Southeast Fisheries Science Center for the Southeast Data, Assessment, and Review (SEDAR) 21. Species-specific and non-specific data are presented by year, annually by gear, and annually by subregion.

This working paper provided the working group with another source of commercial landings data for the south Atlantic unit for blacknose sharks. This data source was integrated into the data sources discussed in SEDAR-DW-09.

3.3. *COMMERCIAL LANDINGS*

3.3.1. Gulf of Mexico

3.3.1.1. Landings Data

Gulf of Mexico commercial landings of blacknose sharks were compiled from southeast general canvass landings data and SEFSC Pelagic Dealer Compliance data, which were available for the period 1995-2009. The largest annual value reported in these two sources was taken as the annual value of blacknose shark landings for the Gulf of Mexico. Landings (lb dw) were transformed into numbers by using annual average weights from the Bottom Longline Observer Program (BLLOP) (Table 1). These weights are derived from observed animals with measured whole lengths, and by applying a published length-weight relationship. Following SEDAR 13 (NMFS 2007), these commercial landings were further decomposed into three gears: longlines (bottom longlines), nets (gillnets and drift gillnets), and lines, which together account for 96-

100% of the landings in the time series. This was done by taking the product of the annual landing estimates by the proportional gear composition for the Gulf of Mexico.

3.3.1.2. Exempted Fishing Permits

Estimates of the numbers of blacknose shark taken under HMS-issued Exempted Fishing Permits (EFPs) were provided in the catch tables of document SEDAR21-DW-09. Estimates were provided for 2000 and 2003-2009 (document SEDAR21-DW-09). As regional information was lacking, the total number was split equally between the Gulf of Mexico and the South Atlantic.

3.3.1.3. Catch Reconstruction

Gear-specific commercial landings were reconstructed to 1981 (longlines), 1987 (nets), and 1950 (lines) using the average proportion of landings in the GOM for 1995-2009 (the period with actual landings). For longlines, a linear decrease in landings was assumed for 1994-1981, a linear decrease from 1994 to 1987 for nets, and a linear decrease from 1994 to zero in 1950 for lines.

Decision 1. Landings provided in SEDAR21-DW-09 were recommended for use in the assessment.

Decision 2. Virgin conditions were assumed in 1950, when it was thought that rod and reel and other "line" fisheries, as well as the shrimp trawl fishery, first developed.

3.3.2. Atlantic Ocean

3.3.2.1. Landings data

US South Atlantic commercial landings of blacknose sharks were compiled from southeast general canvass landings data, SEFSC Pelagic Dealer Compliance data, and the Atlantic Coast Cooperative Statistics Program which were available for the period 1995-2009. The largest annual value reported in these three sources was taken as the annual value of blacknose shark landings for the South Atlantic. Landings (lb dw) were transformed into numbers by using annual average weights from the BLLOP (Table 1). These weights are derived from observed animals with measured whole lengths, and by applying a published length-weight relationship. Following SEDAR 13 (NMFS 2007), these commercial landings were further decomposed into three gears: longlines (bottom longlines), nets (gillnets and drift gillnets), and lines, which account for 96-100% of the landings in the time series. This was done by taking the product of the annual landing estimates by the proportional gear composition for the South Atlantic.

The vast majority of blacknose sharks were landed in the South Atlantic (east coast of Florida to North Carolina) (79%) vs. the Gulf of Mexico (west coast of Florida to Texas) (21%) region during 1995-2009 (SEDAR21-DW-09). The dominant gear in all years since 1996 were drift nets, which accounted for 73% of all landings over the whole time period (1995-2009), followed by longlines (SEDAR21-DW-09).

3.3.2.2. Exempted Fishing Permits

Estimates of the numbers of blacknose shark taken under HMS-issued Exempted Fishing Permits (EFPs) were provided in the catch tables of document SEDAR21-DW-09. Estimates were provided for 2000 and 2004-2009 (document SEDAR21-DW-09). As regional information was lacking, the total number was split equally between the Gulf of Mexico and the South Atlantic.

3.3.2.3. Catch Reconstruction

Gear-specific commercial landings were reconstructed to 1981 (longlines), 1987 (nets), and 1950 (lines) using the average proportion of landings in the Atlantic for 1995-2009 (the period with actual landings). For longlines, a linear decrease in landings was assumed for 1994-1981, a linear decrease from 1994 to 1987 for nets, and a linear decrease from 1994 to zero in 1950 for lines.

Decision 3. Landings as provided in SEDAR21-DW-09 were recommended for use in the assessment.

Decision 4. Virgin conditions were assumed in 1950, when it was thought that rod and reel and other “line” fisheries, as well as the shrimp trawl fishery, first developed.

3.4. *COMMERCIAL DISCARDS*

3.4.1. Shark Bottom Longline

Dead discards of blacknose sharks in the directed shark bottom longline fishery were estimated by using the annual discard rates observed in the BLLOP and multiplying that proportion by the annual commercial landings of blacknose sharks (SEDAR21-DW-09). For catch reconstruction, the average discard rates for 1994-2009 in the GOM and Atlantic were used to produce discards back to 1981, the year when the longline fishery was assumed to start, for the GOM and Atlantic, respectively.

3.4.2. Post-Release Mortality

3.4.2.1. Catch Group Recommendations

At-vessel mortality can be approximated using observer data. However, there is very little data on which to base an estimate of post-release discard mortality for shark species. The catch WG invited industry representatives from both bottom longline and gillnet fisheries to provide observational data on this topic. Industry representatives were asked to give a probability (%) that a released shark would die after being released alive. Gear-specific recommendations were as follows:

Drift gillnet: 50% Strike gillnet: 5% Sink gillnet: 25%

Bottom longline: 50%

Justifications:

Industry representatives acknowledged that blacknose sharks are not robust on bottom longline due to long soak times. Blacknose sharks were thought to be more likely to survive entangling gear with small mesh sizes and short soak times, therefore sink and gillnet mortalities were lower than for drift gillnet.

3.4.2.2. Decisions

The life history (LH) WG was tasked with a literature search on post-release mortality. Based on Campana et al. (2009), the LH WG reported that post-release mortality of blue sharks was approximately 6% greater than the percentage of sharks that were boated dead (at-vessel mortality). Therefore, the WG applied a ‘6% rule’ to the boated dead portion of the catch. The LH WG stated that the percent of at-vessel mortality was used as a proxy for discard mortality.

The LH WG expressed an opinion that this rate would most likely be higher for sandbar, blacknose, and dusky sharks due to increased water temperatures in the western North Atlantic Ocean and the notable robustness of blue sharks. The plenary discussion focused on whether the blue shark was an appropriate model species for mortality rates, and the LH representatives stated that it was the only species for which actual post-release discard mortality data were available.

The catch WG presented the estimates of post-release discard mortality provided by the industry. Due to confusion about the terms ‘discard mortality,’ and ‘post-release discard mortality’ among most of the panel members at plenary, there was much discussion as to the wide disparity in the numbers presented by each group. Members of the LH WG insisted that the total numbers they presented (% at-vessel mortality + 6%) only represented post-release mortality. Many panel members expressed hesitation at using these numbers as a proxy for post-release mortality, but LH WG members stated that sharks released alive were not uninjured and therefore were more likely to suffer mortality.

Other panel members expressed skepticism about the ‘6% rule’ introduced by the LH WG. The LH WG members stated that they knew it was a poor approximation, but that a little information was better than a blind guess. There was also some discussion about using mortality rates from a pelagic longline to inform estimates from bottom longline, but it was again noted that very little data were available.

A panel member noted that gear and regulatory changes would also have an impact on post-release mortality. The bottom longline fishery has undergone drastic gear changes, mostly due to regulations. An analyst stated that changes in mortality due to gear/management changes could be incorporated into the model, however mortality rates before and after changes were not further discussed.

The numbers that were eventually decided upon for bottom longline actually represent total discard mortality, though many members of the panel thought that the discussion only centered on the post-release discard mortality. Due to the wide-spread confusion on this topic, it would be prudent to revisit these numbers at the assessment workshop.

Because of a lack of literature, the LH WG mostly deferred to the catch group discard mortality estimates for gillnet gear.

The LH WG estimated discard mortality to be 71% (65% at-vessel plus 6% post-release) for blacknose sharks caught by bottom longline, and the catch group suggested 50% post-release discard mortality. Because blacknose sharks are not caught on pelagic longline, a range between the catch and LH groups' recommendations was chosen as the final estimate for discard mortality of blacknose sharks caught by bottom longline: 50-71%.

The LH WG deferred to the catch WG's recommendations for post-release mortality of blacknose sharks.

Decision 5: Post-release mortality for blacknose sharks caught in the commercial bottom longline fishery was between 50-71%.

Decision 6: Post-release mortality for blacknose sharks caught in commercial gillnets was 25% for sink gillnets, 5% in strike gillnets, and 50% in drift gillnets.

3.4.3. Shrimp Trawl Bycatch

Bycatch estimates of blacknose shark from the penaeid shrimp fishery in the Gulf of Mexico are described in SEDAR21-DW-15. The ratio of Atlantic to Gulf effort was used as a surrogate for the ratio of blacknose bycatch taken in all years.

Decision 7: Use the approach outlined in SEDAR21-DW-15 to produce bycatch estimates and apply the estimates of effort (Section 3.5.2.1) produce the total removals of blacknose sharks from the penaeid shrimp fishery in the Gulf of Mexico.

Decision 8. Results from the analysis outlined in Secion 3.5.2.2 yielded a rate of 6.54% for the amount of trawl effort in the South Atlantic as compared to the trawl effort in the Gulf of Mexico. Estimates of bycatch for the South Atlantic shrimp trawl fishery should be derived from applying the 6.54% rate to the annual bycatch estimates for the Gulf of Mexico shrimp trawl fishery.

3.5. *COMMERCIAL EFFORT*

3.5.1. Directed Fisheries

Effort data were not considered for blacknose sharks from directed coastal fisheries because effort data do not indicate the target species. Also, a directed fishery does not exist for blacknose sharks, though they are occasionally targeted by gillnet fishers. However, the Indices WG calculated effort and catch per unit effort estimates to develop various indices of abundance.

3.5.2. Shrimp Trawl Bycatch:

3.5.2.1. Gulf of Mexico

Bycatch estimates of blacknose shark from the penaeid shrimp fishery is a product of shrimp trawl effort (net-hours) and catch-per-unit-effort (CPUE, fish per net hour). Estimates for 1972-2009 are provided by Gazey et al. (2010, SEDAR21-DW-15). Estimates back to 1950 were produced by constructing effort and CPUE series for 1950 to 1971.

Effort in terms of nominal boat-days is available starting in 1960 (a nominal day is 24 hours). Log-linear extrapolation over the 1950-1959 period was based on the 1960-1996 trend. Total net-hours was obtained through the assumption that the number of nets per boat was 1.5 over the 1950-1971 period. CPUE was assumed to be 0.012 sharks per net-hour in 1950 (20% increase from the 1973 maximum of 0.0091, approximately) and then linearly decreased to 0.010 in 1971.

Decision 9: Use the approach outlined in SEDAR21-DW-15 to produce effort estimates for the penaeid shrimp fishery in the Gulf of Mexico.

3.5.3. Atlantic Ocean

Estimates of blacknose shark bycatch in the shrimp trawl fishery in the South Atlantic for 1972-2009 were derived from Gulf of Mexico estimates provided in document SEDAR21-DW-15. The Working Group discussed the 0.126 scalar that was applied to the Gulf of Mexico shrimp trawl bycatch estimates during SEDAR13 to obtain the estimate of blacknose shrimp trawl bycatch in the South Atlantic. Many within the Catch Working Group felt this percentage was too large and looked at the ratio of total effort calculated for each region to provide a better scalar. The methodology applied to calculate the new value and its caveats follow.

Effort for shrimp trawls in the Gulf of Mexico and the South Atlantic in 2001 was obtained from Epperly et al. (2002). The ratio of Atlantic to Gulf effort was used as a surrogate for the ratio of blacknose bycatch taken in all years (Table 2). Assumptions are as follows:

1. The Atlantic bycatch is a constant fraction of the Gulf bycatch in all years.

2. The effort ratio of the two regions in 2001 is a surrogate for the catch ratio.

3. There are 8 hours in a nominal day fished in the Atlantic region

Decision 10. Results from the analysis outlined above yielded a rate of 6.54% for the amount of trawl effort in the South Atlantic as compared to the trawl effort in the Gulf of Mexico.

3.6. *BIOLOGICAL SAMPLING*

3.6.1. Sampling Intensity Length/Age/Weight

All sharks observed through the BLLOP are measured, with additional biological samples taken from a subset of fish. Sharks observed during the Southeast Gillnet Observer Program (SGNOP) are estimated for length, with a maximum of 10 individuals per species measured directly.

3.6.2. Length/Age Distributions

Trends in average length and length-frequency distributions for blacknose sharks from the BLLOP were provided in document SEDAR21-DW-09 for 1994-2009. The predicted average weight and observed fork length of blacknose shark from the BLLOP showed no trend, whereas the length-frequency distributions showed that more mature than immature individuals are caught.

Length distributions for blacknose sharks measured by the SGNOP were not presented because of the small numbers.

There is limited data on blacknose sizes captured in the Gulf of Mexico shrimp trawl fishery; however, members of the Catch Working Group agree that the majority of blacknose captured by this gear are Age-0. The size distribution for blacknose captured in this fishery was not presented during this workshop because the information is not collected.

3.6.3. Adequacy for Characterizing Catch

Given the data are directly observed from the commercial fisheries, they are the considered the best available data for use in stock assessment and subsequent management.

3.6.4. Alternatives for Characterizing Discard Length/Age

The catch statistics group suggested that the coastal fisheries logbook forms could be amended to include discard information.

3.7. *COMMERCIAL CATCH-AT-AGE/LENGTH; DIRECTED AND DISCARD*

Length-frequency information of the catch from the observer programs will be converted to age-frequency data through age-length keys. Length- and age-frequency distributions will be used to fit selectivity curves for use in the assessment model(s).

3.8. *COMMENTS ON ADEQUACY OF DATA FOR ASSESSMENT ANALYSES*

Although collected sample sizes may be small, they are the best available data for use in stock assessment and subsequent management.

3.9. *LITERATURE CITED*

Epperly, S., L. Avens, L. Garrison, T. Henwood, W. Hoggard, J. Mitchell, J. Nance, J. Poffenberger, C. Sasso, E. Scott-Denton and C. Young. 2002. Analysis of sea turtle bycatch in the commercial shrimp fisheries of southeast U.S. waters and the Gulf of Mexico. NOAA Technical Memorandum NMFS-SEFSC-490.

3.10. *TABLES*

Table 1. Year-specific average weights (lb dw) of blacknose sharks from the Bottom Longline Observer Program

Year	Mean wt	SE
1994	5.92	0.16
1995	6.16	0.12
1996	6.02	0.08
1997	4.63	0.36
1998	5.13	0.14
1999	4.74	0.23
2000	3.82	0.13
2001	4.53	0.27
2002	5.04	0.12
2003	5.72	0.08
2004	4.88	0.11
2005	6.07	0.17
2006	6.09	0.17
2007	5.95	0.09
2008	5.20	0.07
2009	5.29	0.15

Table 2. Ratio of South Atlantic to Gulf of Mexico shrimp effort in 2001 from Epperly et al. (2002).

Atlantic (Days)		Gulf (hours)		
Winter:			West	East
24 to 30	8,331	Nearshore	1,933,570	261,442
31 to 33	26,947	Offshore	1,762,230	462,184
>33	2,418			
Summer:				
24 to 30	2,578	Nearshore	342,913	39,870
31 to 33	4,164	Offshore	445,917	198,151
>33	84			
Total	44,522	5,446,277		
Hours-per-day	8	24		
Days Fished	14,841	226,928		
Ratio Atlantic to Gulf		6.540%		

Table 3. Baseline scenario: Catches of blacknose sharks (in numbers of individuals) in the Gulf of Mexico, 1950-2009.

	Commercial				Recreational catches	Bottom longline discards	Shrimp bycatch (GOM)	EFP	Total
	Total	Longline	Nets	Lines					
1950				0			23,966		23,966
1951				0			25,551		25,551
1952				0			27,108		27,108
1953				0			28,636		28,637
1954				0			30,136		30,137
1955				0			31,608		31,609
1956				1			33,051		33,052
Year				1			34,466		34,467
1958				1			35,852		35,853
1959				1			37,210		37,211
1960				1			47,833		47,834
1961				1			33,862		33,863
1962				1			40,773		40,775
1963				1			46,081		46,082
1964				1			49,405		49,406
1965				1			43,301		43,302
1966				2			40,661		40,662
1967				2			47,119		47,121
1968				2			47,967		47,969
1969				2			55,478		55,479
1970				2			46,466		46,468
1971				2			47,557		47,559
1972				2			**69,855**		69,857
1973				2			**59,445**		59,447
1974				2			**54,073**		54,076
1975				2			**43,974**		43,977
1976				2			**47,515**		47,518
1977				3			**50,258**		50,260
1978				3			**56,419**		56,421
1979				3			**55,117**		55,119
1980				3			**32,121**		32,124
1981		224		3		193	**38,772**		39,192
1982		448		3		387	**36,504**		37,342
1983		672		3	**13,837**	580	**33,245**		48,338
1984		897		3	**0**	774	**34,228**		35,902
1985		1,121		3	**1,746**	967	**31,129**		34,967

1986		1,345		3	**2,068**	1,161	**32,788**		37,365
1987		1,569	313	4	**14,486**	1,354	**31,829**		49,555
1988		1,793	626	4	**8,905**	1,548	**25,715**		38,590
1989		2,017	939	4	**1,793**	1,741	**25,888**		32,382
1990		2,242	1,252	4	**1,875**	1,934	**29,903**		37,210
1991		2,466	1,565	4	**0**	2,128	**34,196**		40,358
1992		2,690	1,878	4	**4,383**	2,321	**34,392**		45,669
1993		2,914	2,191	4	**4,547**	2,515	**32,511**		44,682
1994		3,138	2,505	4	**14,305**	2,708	**30,019**		52,679
1995	**10,238**	**10,218**	**0**	**20**	**2,814**	**9,245**	**30,909**		53,205
1996	**2,520**	**2,515**	**0**	**4**	**12,413**	**2,106**	**33,461**		50,499
1997	**3,588**	**3,545**	**0**	**43**	**11,078**	**1,744**	**38,115**		54,524
1998	**3,280**	**2,072**	**1,185**	**23**	**9,573**	**1,450**	**38,961**		53,265
1999	**2,149**	**510**	**1,128**	**511**	**5,294**	**84**	**36,315**		43,842
2000	**4,199**	**3,244**	**0**	**956**	**6,894**	**2,671**	**35,703**		49,468
2001	**1,593**	**1,555**	**24**	**14**	**14,854**	**0**	**38,769**		55,216
2002	**7,145**	**3,806**	**2,940**	**398**	**10,808**	**3,045**	**43,518**		64,515
2003	**3,048**	**3,027**	**16**	**5**	**5,906**	**1,552**	**34,529**	**1**	45,036
2004	**2,011**	**1,931**	**0**	**80**	**15,071**	**652**	**31,306**	**57.5**	49,091
2005	**9,350**	**9,221**	**103**	**26**	**7,101**	**6,475**	**22,953**	**38.5**	45,918
2006	**17,309**	**16,355**	**937**	**17**	**9,438**	**8,416**	**19,554**	**22.5**	54,740
2007	**4,617**	**4,255**	**314**	**48**	**5,809**	**967**	**17,381**	**16**	28,790
2008	**2,206**	**2,166**	**9**	**31**	**3,716**	**368**	**13,193**	**5.5**	19,489
2009	**4,030**	**3,929**	**69**	**32**	**4,775**	**896**	**15,668**	**13.5**	25,382

Table 4. Baseline scenario: Catches of blacknose sharks (in numbers of individuals) in the southern Atlantic Ocean, 1950-2009.

Year	Commercial				Recreational catches	Bottom longline discards	Shrimp bycatch (GOM)	EFP	Total
	Total	Longline	Nets	Lines					
1950				0			1,567		1,567
1951				0			1,671		1,671
1952				1			1,773		1,774
1953				1			1,873		1,874
1954				1			1,971		1,972
1955				2			2,067		2,069
1956				2			2,162		2,164
1957				2			2,254		2,257
1958				3			2,345		2,348
1959				3			2,434		2,437
1960				4			3,128		3,132
1961				4			2,215		2,218
1962				4			2,667		2,671
1963				5			3,014		3,018
1964				5			3,231		3,236
1965				5			2,832		2,837
1966				6			2,659		2,665
1967				6			3,082		3,088
1968				6			3,137		3,143
1969				7			3,628		3,635
1970				7			3,039		3,046
1971				7			3,110		3,118
1972				8			**4,569**		4,576
1973				8			**3,888**		3,896
1974				8			**3,536**		3,545
1975				9			**2,876**		2,885
1976				9			**3,108**		3,117
1977				9			**3,287**		3,296
1978				10			**3,690**		3,700
1979				10			**3,605**		3,615
1980				11			**2,101**		2,111
1981				11		120	**2,536**		2,666
1982				11		239	**2,387**		2,638
1983				12	**119**	359	**2,174**		2,664
1984		3,277		12	**844**	479	**2,239**		6,850
1985		4,096		12	**172**	599	**2,036**		6,915

1986		4,916		13	**0**	718	**2,144**		7,791
1987		5,735	1,144	13	**59**	838	**2,082**		9,871
1988		6,554	2,288	13	**4,668**	958	**1,682**		16,163
1989		7,374	3,433	14	**0**	1,077	**1,693**		13,590
1990		8,193	4,577	14	**2,400**	1,197	**1,956**		18,336
1991		9,012	5,721	14	**8**	1,317	**2,236**		18,309
1992		9,831	6,865	15	**551**	1,437	**2,249**		20,948
1993		10,651	8,010	15	**0**	1,556	**2,126**		22,358
1994		11,470	9,154	15	**170**	1,676	**1,963**		24,448
1995	**5,434**	**5,434**	**0**	**0**	**0**	**564**	**2,021**		8,019
1996	**21,462**	**6,125**	**14,573**	**763**	**1**	**156**	**2,188**		23,807
1997	**40,131**	**14,082**	**26,004**	**45**	**1**	**580**	**2,493**		43,205
1998	**20,065**	**5,617**	**14,428**	**20**	**974**	**0**	**2,548**		23,587
1999	**26,171**	**5,458**	**20,685**	**29**	**733**	**637**	**2,375**		29,916
2000	**42,403**	**10,249**	**32,154**	**0**	**3,346**	**9,318**	**2,335**		57,402
2001	**32,717**	**4,177**	**28,525**	**15**	**31**	**2,517**	**2,535**		37,800
2002	**21,535**	**3,071**	**18,340**	**124**	**537**	**3,071**	**2,846**		27,989
2003	**19,925**	**7,358**	**12,482**	**85**	**709**	**2,453**	**2,258**	**1**	25,346
2004	**11,934**	**3,958**	**7,942**	**34**	**30**	**1,319**	**2,047**	**57.5**	15,381
2005	**13,074**	**612**	**12,208**	**254**	**0**	**184**	**1,501**	**38.5**	14,798
2006	**14,248**	**2,736**	**11,498**	**14**	**476**	**456**	**1,279**	**22.5**	16,481
2007	**12,816**	**705**	**12,035**	**77**	**3,368**	**163**	**1,137**	**16**	17,500
2008	**23,199**	**3,963**	**19,097**	**139**	**2**	**90**	**863**	**5.5**	24,159
2009	**29,231**	**9,792**	**19,292**	**146**	**1,070**	**0**	**1,025**	**13.5**	31,339

3.11. *FIGURES*

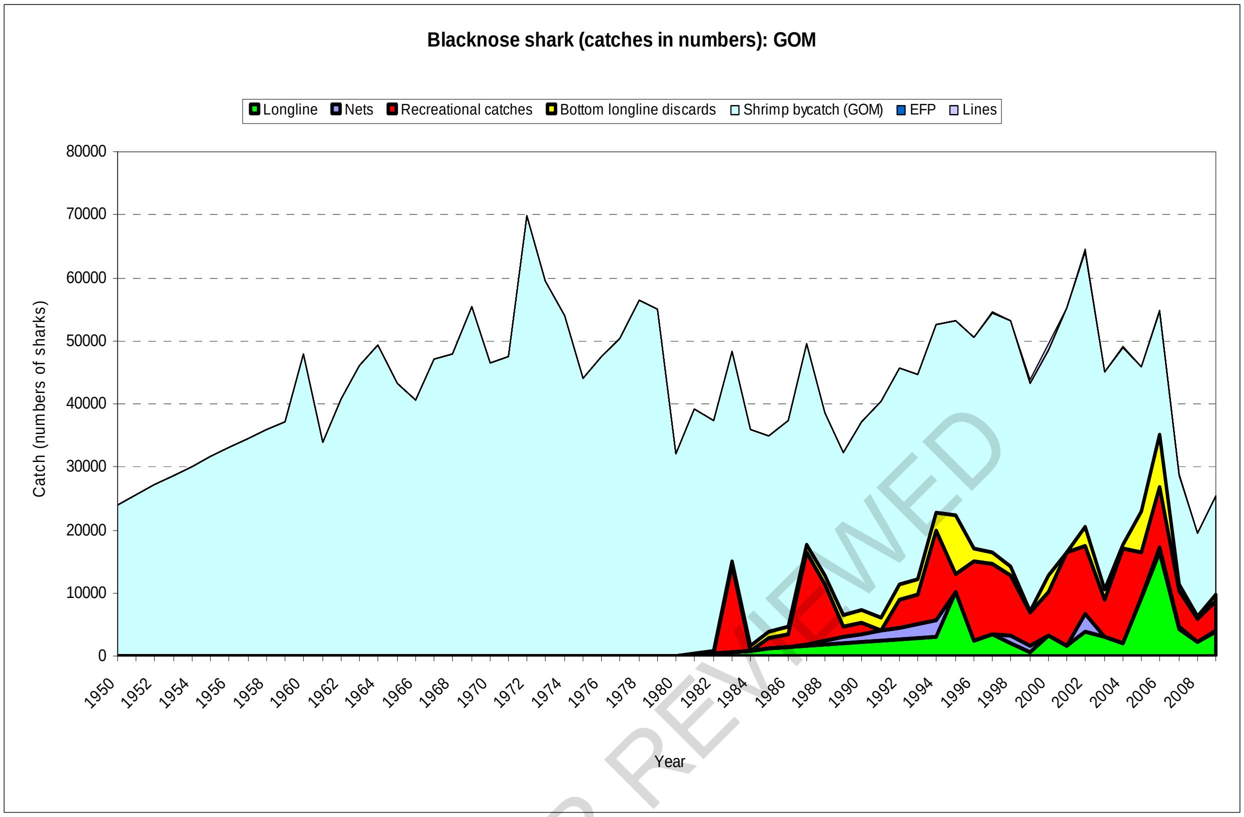

Figure 1. Catches of blacknose sharks (in numbers of individuals) in the Gulf of Mexico, 1950-2009.

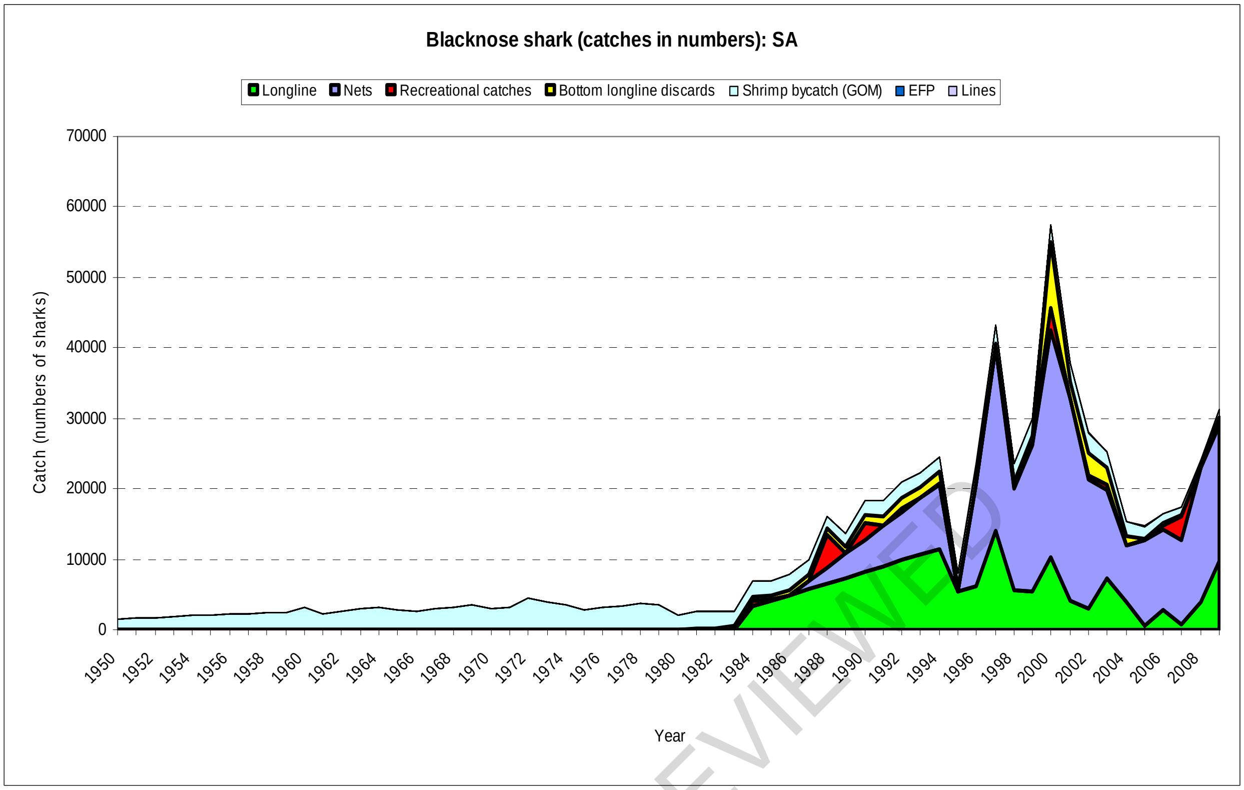

Figure 2. Catches of blacknose sharks (in numbers of individuals) in the southern Atlantic Ocean, 1950-2009.

4. RECREATIONAL FISHERY STATISTICS

4.1. *OVERVEIW*

4.1.1. Group Membership

Ivy Baremore (chair, SEFSC), Elizabeth Babcock (chair, RSMAS), Heather Balchowsky (HMS), Carolyn Belcher (GADNR), Alan Bianchi (NCDENR), Enric Cortés (SEFSC), Bill Gazey (LGL), Chris Hayes (ACCSP), Rusty Hudson (DSF), Michelle Passerotti (SEFSC), David Stiller (Fisherman-Alabama))

4.1.2. Issues

Based on the recommendation of the Life History Working Group that blacknose sharks be assessed as two separate stocks (Gulf of Mexico and South Atlantic), two catch histories were developed for this species. The break between the two regions occurs at the Monroe/Dade County, Florida, line. Additional discussions within the catch group included: (a) estimated mean weight for blacknose sharks; (b) species identification; (c) post –release discard mortality rates; and (d) research recommendations.

4.1. REVIEW OF WORKING PAPERS

SEDAR 21-DW-07

Description of data sources used to quantify shark catches in commercial and recreational fisheries in the U.S. Atlantic Ocean and Gulf of Mexico

I.E. Baremore, H. Balchowsky, V. Matter, and E. Cortes

This document provides the background on the data sources that are currently available for providing catch information for blacknose sharks. For those data sources that require some form of expansion, methodology is outlined in this document.

SEDAR 21-DW-09

Updated catches of sandbar, dusky, and blacknose sharks

E. Cortés and I. Baremore

This document presents updated commercial and recreational landings and discard estimates for blacknose sharks through 2009. Information on the geographical distribution of both commercial and recreational catches is presented along with gear-specific information of commercial landings. Length-frequency information and trends in average size of the catches from several commercial and recreational sources are also included.

4.2. *RECREATIONAL LANDINGS*

Recreational catches of blacknose sharks correspond to estimates from three data collection programs: the Marine Recreational Fishery Statistics Survey (MRFSS), the NMFS Headboat Survey (HBOAT) operated by the SEFSC Beaufort Laboratory, and the Texas Parks and Wildlife Department Recreational Fishing Survey (TXPWD). As explained in the SEDAR 11 Data Workshop report, during 1998-1999, the MRFSS tested a new methodology for the estimation of charter boat effort, the For Hire Survey (FHS), which was deemed to provide better estimates of charter boat fishing effort and was officially adopted in 2000. The MRFSS catches we report for the period 1981-2009 are thus those incorporating the "new' methodology described in SEDAR 11 and detailed in SEDAR7-AW-03. Total, annual recreational catch estimates of Gulf of Mexico blacknose sharks are the sum of the MRFSS (A+B1=fish landed or killed), HBOAT (fish landed), and TXPWD (fish landed) survey estimates.

Decision 1. Data presented in SEDAR21-DW-09 represents the recreational catch streams for blacknose sharks in the Gulf of Mexico and South Atlantic.

4.3. *RECREATIONAL DISCARDS*

Recreational live discards are already accounted for in the MRFSS in the form of B2 estimates and are calculated on an annual basis. The life history group reviewed the literature and recommended a post-release mortality rate for blacknose sharks of 6.6%. This was calculated in a two step process. First, the post-release mortality of dusky sharks was reported to be 6% (Cliff and Thurman 1984). The at-vessel mortalities from the bottom longline observer program were used to calculate the relative vulnerability of sandbar, dusky and blacknose sharks (32%, 59%, 65%, respectively). These rates were applied to get species-specific rates of mortality. The live release (type B2) catches from MRFSS, multiplied by this mortality rate, are shown in Table 2 and Fig. 2. No information was available on live releases from the HBOAT and TXPDWD data sets.

Decision 2: Post-release discard mortality of blacknose sharks caught by recreational hook and line is estimated to be 6.6%.

4.4. *BIOLOGICAL SAMPLING*

4.4.1. Sampling Intensity Length/Age/Weight

Biological sampling is conducted through three recreational surveys via trip interviews with anglers. The creel clerks obtain species identification, numbers of individuals and lengths of sampled fish. There were very few observations of blacknose sharks from the three recreational surveys (MRFSS n=230; Headboat n=32, TXPWD n=20), with MRFSS showing no trend in average length or weight. The LPS survey was not used because blacknose sharks are not observed in the pelagic fisheries.

4.4.2. Length – Age distributions

Very few observations were available from the three recreational surveys (MRFSS, Headboat and TXPWD). The MRFSS showed no trend in average size and that both mature and immature individuals are caught in the recreational fishery.

4.4.3. Adequacy for characterizing catch

The current methodology uses the best available data.

4.4.4. Alternatives for characterizing discards

The group had no comment on this issue.

4.5. *RECEATIONAL CATCH-AT-AGE/LENGTH; DIRECTED AND DISCARD*

Length-frequency information of the recreational catch from the three surveys will be converted to age-frequency data through an age-length key. Length- and age-frequency distributions will be used to fit selectivity curves for use in the assessment models.

4.6. *RECREATIONAL EFFORT*

Estimates of recreational fishing effort for blacknose sharks are available through all three surveys (SEDAR21-DW-9, SEDAR21-DW-11).

4.7. *COMMENTS ON ADEQUACY OF DATA FOR ASSESSMENT ANALYSES*

Because the recreational data are based on extrapolations from a subsample of the fishery, they are highly uncertain. The data to be used in the assessment represent the best available recreational data for blacknose sharks. Greater confidence in discards could be achieved through improved species identification, therefore, identification workshops for recreational fishermen would help improve future assessments.

4.8. *LITERATURE CITED*

Cliff, G. and G. D. Thurman. 1984. Pathological and physiological effects of stress during capture and transport in the juvenile dusky shark, Carcharhinus obscurus. Comp Biochem Physiol 78A(1):167-173.

4.9. *TABLES*

Table 1. Baseline scenario: Catches of blacknose sharks (in numbers of individuals) in the Gulf of Mexico, 1950-2009.

	Commercial				Recreational catches	Bottom longline discards	Shrimp bycatch (GOM)	EFP	Total
	Total	Longline	Nets	Lines					
1950				0			23,966		23,966
1951				0			25,551		25,551
1952				0			27,108		27,108
1953				0			28,636		28,637
1954				0			30,136		30,137
1955				0			31,608		31,609
1956				1			33,051		33,052
Year				1			34,466		34,467
1958				1			35,852		35,853
1959				1			37,210		37,211
1960				1			47,833		47,834
1961				1			33,862		33,863
1962				1			40,773		40,775
1963				1			46,081		46,082
1964				1			49,405		49,406
1965				1			43,301		43,302
1966				2			40,661		40,662
1967				2			47,119		47,121
1968				2			47,967		47,969
1969				2			55,478		55,479
1970				2			46,466		46,468
1971				2			47,557		47,559
1972				2			**69,855**		69,857
1973				2			**59,445**		59,447
1974				2			**54,073**		54,076
1975				2			**43,974**		43,977
1976				2			**47,515**		47,518
1977				3			**50,258**		50,260
1978				3			**56,419**		56,421
1979				3			**55,117**		55,119
1980				3			**32,121**		32,124
1981		224		3		193	**38,772**		39,192

1982		448		3		387	36,504		37,342
1983		672		3	13,837	580	33,245		48,338
1984		897		3	0	774	34,228		35,902
1985		1,121		3	1,746	967	31,129		34,967
1986		1,345		3	2,068	1,161	32,788		37,365
1987		1,569	313	4	14,486	1,354	31,829		49,555
1988		1,793	626	4	8,905	1,548	25,715		38,590
1989		2,017	939	4	1,793	1,741	25,888		32,382
1990		2,242	1,252	4	1,875	1,934	29,903		37,210
1991		2,466	1,565	4	0	2,128	34,196		40,358
1992		2,690	1,878	4	4,383	2,321	34,392		45,669
1993		2,914	2,191	4	4,547	2,515	32,511		44,682
1994		3,138	2,505	4	14,305	2,708	30,019		52,679
1995	10,238	10,218	0	20	2,814	9,245	30,909		53,205
1996	2,520	2,515	0	4	12,413	2,106	33,461		50,499
1997	3,588	3,545	0	43	11,078	1,744	38,115		54,524
1998	3,280	2,072	1,185	23	9,573	1,450	38,961		53,265
1999	2,149	510	1,128	511	5,294	84	36,315		43,842
2000	4,199	3,244	0	956	6,894	2,671	35,703		49,468
2001	1,593	1,555	24	14	14,854	0	38,769		55,216
2002	7,145	3,806	2,940	398	10,808	3,045	43,518		64,515
2003	3,048	3,027	16	5	5,906	1,552	34,529	1	45,036
2004	2,011	1,931	0	80	15,071	652	31,306	57.5	49,091
2005	9,350	9,221	103	26	7,101	6,475	22,953	38.5	45,918
2006	17,309	16,355	937	17	9,438	8,416	19,554	22.5	54,740
2007	4,617	4,255	314	48	5,809	967	17,381	16	28,790
2008	2,206	2,166	9	31	3,716	368	13,193	5.5	19,489
2009	4,030	3,929	69	32	4,775	896	15,668	13.5	25,382

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Year	Commercial				Recreational catches	Bottom longline discards	Shrimp bycatch (GOM)	EFP	Total
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1953				1			1,873		1,874
1954				1			1,971		1,972
1955				2			2,067		2,069
1956				2			2,162		2,164
1957				2			2,254		2,257
1958				3			2,345		2,348
1959				3			2,434		2,437
1960				4			3,128		3,132
1961				4			2,215		2,218
1962				4			2,667		2,671
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1964				5			3,231		3,236
1965				5			2,832		2,837
1966				6			2,659		2,665
1967				6			3,082		3,088
1968				6			3,137		3,143
1969				7			3,628		3,635
1970				7			3,039		3,046
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1972				8			**4,569**		4,576
1973				8			**3,888**		3,896
1974				8			**3,536**		3,545
1975				9			**2,876**		2,885
1976				9			**3,108**		3,117
1977				9			**3,287**		3,296
1978				10			**3,690**		3,700
1979				10			**3,605**		3,615
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1981				11		120	**2,536**		2,666
1982				11		239	**2,387**		2,638
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1984		3,277		12	**844**	479	**2,239**		6,850
1985		4,096		12	**172**	599	**2,036**		6,915
1986		4,916		13	**0**	718	**2,144**		7,791
1987		5,735	1,144	13	**59**	838	**2,082**		9,871
1988		6,554	2,288	13	**4,668**	958	**1,682**		16,163
1989		7,374	3,433	14	**0**	1,077	**1,693**		13,590
1990		8,193	4,577	14	**2,400**	1,197	**1,956**		18,336
1991		9,012	5,721	14	**8**	1,317	**2,236**		18,309
1992		9,831	6,865	15	**551**	1,437	**2,249**		20,948
1993		10,651	8,010	15	**0**	1,556	**2,126**		22,358
1994		11,470	9,154	15	**170**	1,676	**1,963**		24,448
1995	**5,434**	**5,434**	**0**	**0**	**0**	**564**	**2,021**		8,019
1996	**21,462**	**6,125**	**14,573**	**763**	**1**	**156**	**2,188**		23,807
1997	**40,131**	**14,082**	**26,004**	**45**	**1**	**580**	**2,493**		43,205
1998	**20,065**	**5,617**	**14,428**	**20**	**974**	**0**	**2,548**		23,587
1999	**26,171**	**5,458**	**20,685**	**29**	**733**	**637**	**2,375**		29,916
2000	**42,403**	**10,249**	**32,154**	**0**	**3,346**	**9,318**	**2,335**		57,402
2001	**32,717**	**4,177**	**28,525**	**15**	**31**	**2,517**	**2,535**		37,800
2002	**21,535**	**3,071**	**18,340**	**124**	**537**	**3,071**	**2,846**		27,989
2003	**19,925**	**7,358**	**12,482**	**85**	**709**	**2,453**	**2,258**	**1**	25,346
2004	**11,934**	**3,958**	**7,942**	**34**	**30**	**1,319**	**2,047**	**57.5**	15,381
2005	**13,074**	**612**	**12,208**	**254**	**0**	**184**	**1,501**	**38.5**	14,798
2006	**14,248**	**2,736**	**11,498**	**14**	**476**	**456**	**1,279**	**22.5**	16,481
2007	**12,816**	**705**	**12,035**	**77**	**3,368**	**163**	**1,137**	**16**	17,500
2008	**23,199**	**3,963**	**19,097**	**139**	**2**	**90**	**863**	**5.5**	24,159
2009	**29,231**	**9,792**	**19,292**	**146**	**1,070**	**0**	**1,025**	**13.5**	31,339

Table 3. Estimated number of discarded alive (B2) blacknose sharks from MRFSS, with 6% post-release discard mortality (DM) applied by year.

Year	B2	DM
1982	5490	362
1987	2341	155
1988	1379	91
1990	6960	459
1992	5210	344
1993	6493	429
1994	6279	414
1995	4864	321
1996	7303	482
1997	60725	4008
1998	10399	686
1999	6992	461
2000	5786	382
2001	6170	407
2002	1388	92
2003	6822	450
2004	10125	668
2005	8656	571
2006	4014	265
2007	11559	763
2008	28046	1851
2009	28506	1881

4.10. *FIGURES*

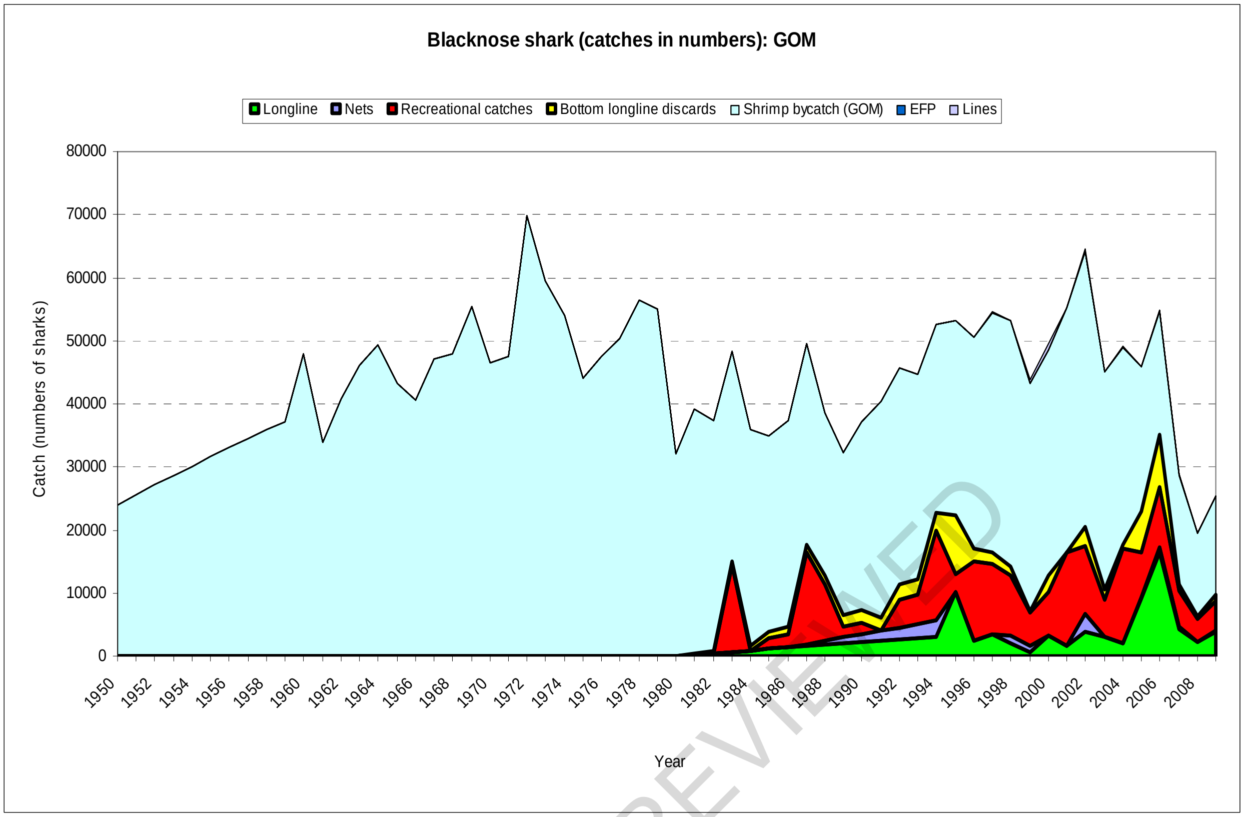
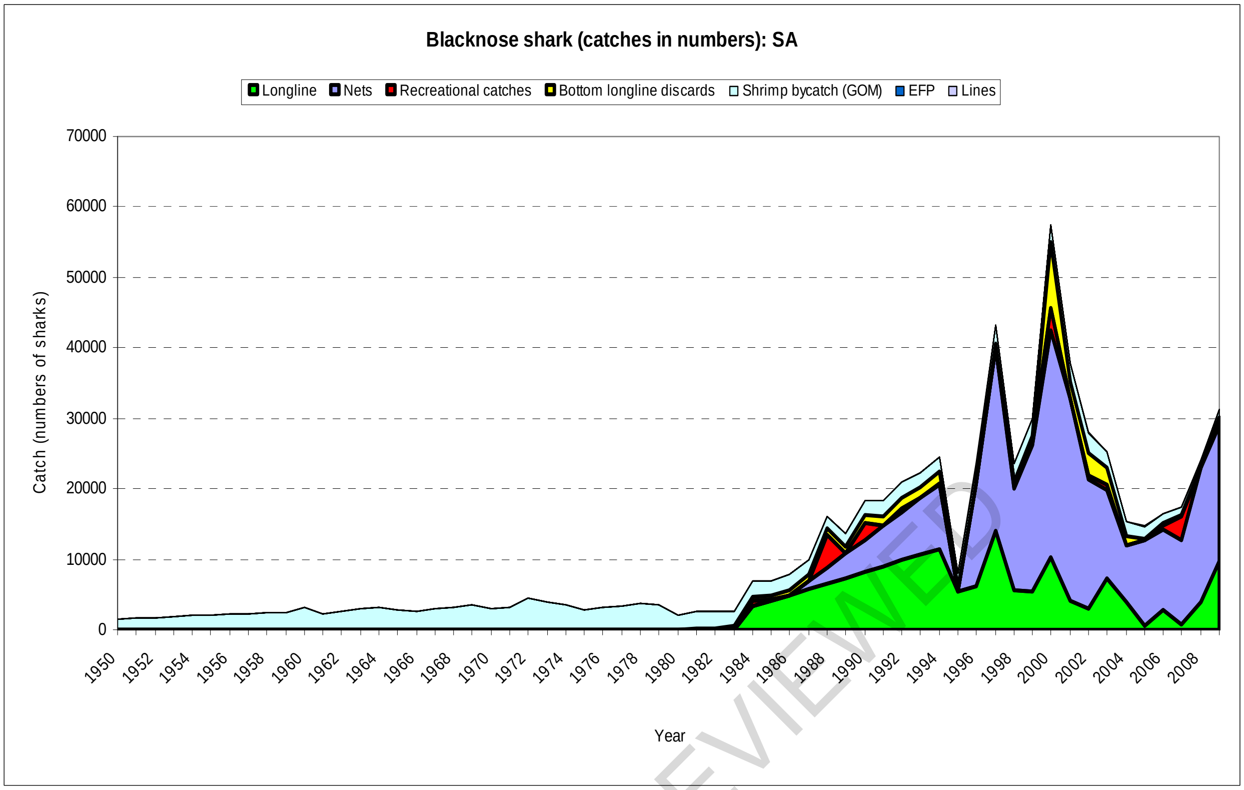


Figure 1. Catches of blacknose sharks (in numbers of individuals) in the Gulf of Mexico, 1950-2009.



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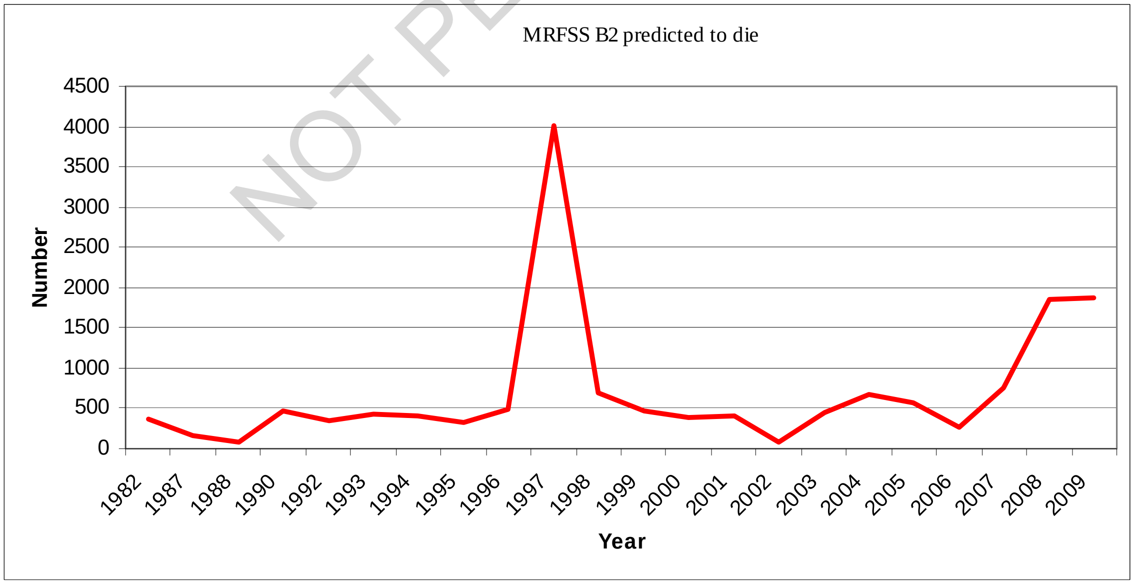

Figure 3. Number of MRFSS discarded alive (B2) blacknose sharks predicted to die by year.

5. MEASURES OF POPULATION ABUNDANCE

5.1. *OVERVIEW*

Fifty-eight indices of abundance were considered for use in the assessment models for blacknose, sandbar and dusky sharks. Indices were constructed using both fishery independent and dependent data. Following the Data Workshop (DW) separate models for blacknose sharks were recommended for Gulf of Mexico (GOM) and Atlantic Ocean (ATL). For the GOM stock of blacknose sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, NMFS SEAMAP Groundfish Trawl (Summer and Fall), Panama City Gillnet (Adult and Juvenile), Mote Marine Lab Longline, SEFSC Shark Bottom Longline Observer Program and Dauphin Island Sea Lab Bottom Longline. For the ATL stock of blacknose sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, SCDNR Red Drum Longline (Historical), SEFSC Shark Bottom Longline Observer Program, Drift Gillnet Observer Program, UNC Longline, GADNR Red Drum Longline, and Coastal Fishery Logbook Gillnet. The Sink Gillnet Observer Program index was recommended for a sensitivity run for blacknose sharks. For sandbar sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, NMFS COASTSPAN Longline (Total juveniles, YOY and Age 1+), VIMS Longline, NMFS Northeast Longline, SEFSC Shark Bottom Longline Observer Program, Southeast Pelagic Longline Observer Program, SC COASTSPAN Longline, SCDNR Red Drum Longline (Historical), Panama City Gillnet (Juvenile), GA COASTSPAN Longline (Juvenile) and Large Pelagic Survey. The NMFS Historical Longline, Coastal Fishery Logbook Bottom Longline and Southeast Pelagic Longline Logbook indices were recommended for a model sensitivity run for sandbar sharks. For dusky sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Northeast Longline, SEFSC Shark Bottom Longline Observer Program, Southeast Pelagic Longline Observer Program, VIMS Longline and Large Pelagic Survey. The NMFS Historical Longline and UNC Longline indices were recommended for a sensitivity run for dusky sharks. Four indices were reviewed, but not recommended for use: the SCDNR red drum longline survey index (sandbar shark), GADNR red drum longline survey index (sandbar shark), UNC longline sampling program index (sandbar shark), and the SCDNR red drum longline survey index (blacknose shark). Those indices were not recommended for use because they had either a short time series, very low sample size, or were not conducted in appropriate habitat.

5.1.1. Group Membership

Membership of this DW working group included Heather Balchowsky, John Carlson, Marcus Drymon, Kristin Erickson, Walter Ingram (leader), Cami McCandless, Kevin McCarthy, Kristene Parsons, Adam Pollack and John Walter. Enric Cortes assisted with ranking the abundance indices during a follow-up webinar.

5.2. *REVIEW OF INDICES*

The working group reviewed sixteen working papers describing index construction:

SEDAR21-DW-01 (Panama City Gillnet)
SEDAR21-DW-02 (SEFSC Shark Bottom Longline Observer Program)
SEDAR21-DW-03 (Drift Gillnet Observer Program)
SEDAR21-DW-04 (Sink Gillnet Observer Program)
SEDAR21-DW-08 (Southeast Pelagic Longline Observer Program / Southeast Pelagic Longline Logbook)
SEDAR21-DW-11 (MRFSS)
SEDAR21-DW-18 (VIMS Longline)
SEDAR21-DW-25 (Dauphin Island Sea Lab Bottom Longline)
SEDAR21-DW-27 (NMFS COASTSPAN Longline (total juveniles, YOY and age 1+))
SEDAR21-DW-28 (NMFS Northeast Longline)
SEDAR21-DW-29 (GA COASTSPAN Longline / GADNR Red Drum Longline)
SEDAR21-DW-30 (SC COASTSPAN Longline / SCDNR Red Drum Longline (Historical and Recent))
SEDAR21-DW-32 (Northeast Gillnet Observer Program)
SEDAR21-DW-33 (UNC Longline)
SEDAR21-DW-34 (Mote Marine Lab Longline)
SEDAR21-DW-39 (NMFS Southeast Bottom Longline)
SEDAR21-DW-40 (Coastal Fishery Logbook Gillnet)
SEDAR21-DW-41 (Coastal Fishery Logbook Bottom Longline (Sandbar))

SEDAR21-DW-42 (Coastal Fishery Logbook Bottom Longline (Dusky))

SEDAR21-DW-43 (NMFS SEAMAP Groundfish Trawl)

SEDAR21-DW-44 (Large Pelagic Survey)

The working group also conducted analyses on one other data source after the data workshop. The following working paper was reviewed during a webinar following the data workshop.

SEDAR21-DW-31 (NMFS Historical Longline)

5.3. *FISHERY INDEPENDENT INDICES*

5.3.1. Panama City Gill Net (SEDAR21-DW-01)

Fishery-independent catch rates were standardized using a two-part generalized linear model analysis. One part modeled the proportion of sets that caught any sharks (at least one shark was caught) assuming a binomial distribution with a logit link function while the other part modeled the catch rates of sets with positive catches assuming a lognormal distribution. Standardized indices were developed for sandbar shark and juvenile (age 1+) and adult for blacknose shark. Depending on species, the final models varied with factors area, season, year. Although factors such as area and season were significant in most models, results from this study indicate any bias associated with these aspects did not significantly change the trends between nominal and standardized data. Trends in abundance declined for sandbar shark, juvenile blacknose shark but were stable for adult blacknose shark.

5.3.2. VIMS Longline (SEDAR21-DW-18)

The Virginia Institute of Marine Science (VIMS) has conducted a fishery-independent longline survey during summer months since 1974. Data for sandbar sharks and dusky sharks captured in the survey between 1975 and 2009 were presented. Most of the sandbar sharks encountered by the survey were immature, with females composing almost all of the mature sandbar catch. Almost all dusky sharks captured were immature. Most of the catch since the early 1990's has been composed of 0-4 year age classes. Nominal and standardized catch rates were presented. CPUE for both species decreased from the early 1980's to minima in 1992. CPUE then slightly increased and has oscillated since. The Indices working group recommended removal of all years where less than five standard stations were sampled, thus these years were removed and analyses were conducted on the new data sets. Removal of these years did not change explanatory factors in the models. The Indices working group recommended the VIMS sandbar and dusky indices be used as base indices.

5.3.3. Dauphin Island Sea Lab Bottom Longline (SEDAR21-DW-25)

Blacknose sharks, *Carcharhinus acronotus*, were one of the most frequently caught sharks on a monthly longline survey initiated off the coast of Alabama in 2006. Between May 2006 and December 2009, 623 blacknose sharks (389 male, 234 female) were captured during 475 bottom longline sets. Nominal and delta lognormal standardized catch per unit effort (CPUE, sharks/100 hooks/hour) and length frequency distributions by sex were presented. It was decided by the working group to exclude stations deeper than 20 m (n=55) due to the truncated times series. Stations north of 30.2 degrees north latitude (n=39) were excluded because they occur in areas not inhabited by blacknose shark. Reanalysis of standardized CPUE values showed a decline from 2006 through 2009, with increasing coefficients of variation each year. The Indices working group suggested these data be included as a baseline, and recommended the continuation of this time series for future assessments.

5.3.4. NMFS COASTSPAN Longline (SEDAR21-DW-27)

This document detailed the young of the year (YOY), age 1+ juvenile and the total juvenile sandbar shark catch from the Northeast Fisheries Science Center (NEFSC), Cooperative Atlantic States Shark Pupping and Nursery (COASTSPAN) survey conducted in Delaware Bay. Catch per unit effort (CPUE) in number of sharks per 50-hook set per hour was used to examine the relative abundance of juvenile sandbar sharks between the summer nursery seasons from 2001 to 2009. The CPUE was standardized using a two-step delta-lognormal approach originally proposed by Lo et al (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which is modeled using a lognormal distribution. All three juvenile sandbar shark time series showed a fairly stable trend in relative abundance from 2001 to 2005 with only a brief decrease in abundance in 2002, which may be attributed to a large storm (associated with a hurricane offshore) that passed through the Bay that year. This stable trend was followed by a decreasing trend from 2005 to 2008 and ended with an increase in relative abundance in 2009.

5.3.5. NMFS Northeast Longline (SEDAR21-DW-28)

This document detailed sandbar and dusky shark catch from the Northeast Fisheries Science Center (NEFSC) coastal shark bottom longline survey, conducted by the Apex Predators Program, Narragansett Laboratory, Narragansett, RI from 1996-2009. Data from this survey were used to look at the trends in relative abundance of sandbar and dusky sharks in the waters off the east coast of the United States. Catch per unit effort (CPUE) by set in number of sharks/(hooks*soak time) were examined for each year of the bottom longline survey, 1996, 1998, 2001, 2004, 2007, and 2009. The CPUE was standardized using a two-step delta-lognormal approach originally proposed by Lo *et al.* (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which was modeled using a lognormal distribution. Sandbar sharks showed a declining trend from 1998 to 2004 followed by an increase in relative abundance through 2009. Dusky sharks showed an increasing trend in relative abundance across the time series.

5.3.6. GA COASTSPAN Longline / GADNR Red drum Longline (SEDAR21-DW-29)

This document detailed the shark catches from the Georgia Department of Natural Resources (GADNR), Cooperative Atlantic States Shark Pupping and Nursery (COASTSPAN) survey conducted in Georgia's estuarine waters from 2000-2009 and the GADNR adult red drum survey conducted in Georgia's estuarine and nearshore waters from 2007-2009. Catch per unit effort (CPUE) in number of sharks per hook hour for GA COASTSPAN longline sets and in number of sharks per number of hooks for the GADNR red drum sets were used to examine blacknose and/or sandbar shark relative abundance in Georgia's coastal waters. The CPUE was standardized using a two-step delta-lognormal approach originally proposed by Lo *et al.* (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which is modeled using a lognormal distribution. Sandbar sharks from the GADNR COASTSPAN survey showed a fairly stable trend in relative abundance throughout the time series. Blacknose and sandbar sharks from the GADNR red drum survey also showed a relatively stable trend during the three year time frame this survey has been in existence.

5.3.7. SC COASTSPAN / SCDNR Red drum Longline (SEDAR21-DW-30)

This document detailed shark catches from the South Carolina Department of Natural Resources (SCDNR), Cooperative Atlantic States Shark Pupping and Nursery (COASTSPAN) survey and the SCDNR adult red drum survey, both conducted in South Carolina's estuarine and nearshore waters from 1998-2009. Catch per unit effort (CPUE) in number of sharks per hook hour were used to examine blacknose and/or sandbar shark relative abundance for all SCDNR time series. The SCDNR red drum time series had to be analyzed in two separate time segments (1998-2006 and 2007-2009) due to a change in gear and sampling design. The CPUE for all time series was standardized using a two-step delta-lognormal approach originally proposed by Lo *et al.* (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which is modeled using a lognormal distribution. Sandbar sharks from the SCDNR COASTSPAN survey showed a fairly stable trend in relative abundance from 1998 to 2003, followed by a slight increasing trend during the mid-2000s. Sandbar sharks from the 1998-2006 SCDNR red drum survey showed a drop in abundance from 1999 to 2000 followed by a more stable trend in the 2000s and blacknose sharks appeared to be stable throughout the time series. Blacknose and sandbar sharks from the 2007-2009 SCDNR red drum survey also showed a relatively stable trend during the three year time frame this survey has been in existence.

5.3.8. NMFS Historical Longline (SEDAR21-DW-31)

This document detailed shark catch from the exploratory longline surveys conducted by the National Marine Fisheries Service, Sandy Hook, NJ and Narragansett, RI labs from 1961-1996. Data from these surveys were used to look at the trends in relative abundance of sandbar and dusky sharks in the waters off the east coast of the United States. Catch per unit effort (CPUE) by set in number of sharks/hooks was used to examine trends in relative abundance. The CPUE was standardized using a two-step delta-lognormal approach originally proposed by *Lo et al.* (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which is modeled using a lognormal distribution. The resulting time series for sandbar sharks showed an initial decline in relative abundance in the early 1960s, followed by a sharp increase in 1964. Sandbar shark relative abundance then dropped down again to lower levels and held steady until the mid-1980s when a slight increase in relative abundance was seen. For dusky sharks, the time series also began with a decreasing trend, but it continued throughout the 1960s followed by a more stable trend throughout the remainder of the time series with a few small peaks in the early 1970s, mid 1980s and early 1990s.

5.3.9. UNC Longline (SEDAR21-DW-33)

This document detailed the blacknose, sandbar and dusky shark catch from the University of North Carolina bottom longline survey conducted biweekly from April-November, 1972-2009, at two fixed stations in Onslow Bay south of Shackleford Banks, North Carolina. Catch per unit effort (CPUE) by set in number of sharks/number of hooks were examined by year. The CPUE was standardized using a two-step delta-lognormal approach originally proposed by Lo *et al.* (1992) that models the proportion of positive catch with a binomial error distribution separately from the positive catch, which is modeled using a lognormal distribution. All three species showed a declining trend from the mid-1970s to the mid-1990s followed by a more stable trend into the 2000s.

5.3.10. Mote Marine Lab Longline (SEDAR21-DW-34)

Mote Marine Laboratory's Center for Shark Research (CSR) has conducted relative abundance studies of coastal sharks along the Florida Gulf coast since 1991. In 2001, the CSR launched a new series of studies on larger sharks inhabiting southwest Florida offshore waters utilizing standardized, stratified drumline and longline surveys. This offshore sampling was conducted as regular quarterly surveys and continued through 2009. Although large coastal sharks were the primary target of these fishing efforts, small coastal species also were a regular component of the catch. The dataset from these surveys includes sandbar (*Carcharhinus plumbeus*) and blacknose (*C. acronotus*) sharks. No dusky sharks (*C. obscurus*) were found in these surveys; in fact, no dusky sharks had been observed in Mote Marine Laboratory's area of coverage in the eastern Gulf of Mexico since 1992, including all sampling efforts by the CSR and other Mote research centers and all fishing and collecting activities of the Mote Aquarium. The DW recommended the use of the blacknose longline index for a base run.

5.3.11. NMFS Southeast Bottom Longline (SEDAR21-DW-39)

The Southeast Fisheries Science Center (SEFSC) Mississippi Laboratories has conducted standardized bottom longline surveys in the Gulf of Mexico, Caribbean Sea, and Western North Atlantic Ocean since 1995. The objective of this longline survey was to provide fisheries independent data for stock assessment for as many species as possible. This survey, which was conducted annually in U.S. waters of the Gulf of Mexico (GOM) and/or the western north Atlantic Ocean (Atlantic), provided an important source of fisheries independent information on dusky shark in the GOM and Atlantic. The entire time series of data was used to develop abundance indices for blacknose, sandbar and dusky sharks for both the GOM and Atlantic. To develop standardized indices of annual average CPUE for blacknose and sandbar sharks for both the GOM and Atlantic, a delta-lognormal model, as described by Lo *et al.* (1992), was employed. Due to the extremely low catches of dusky shark, no abundance indices were developed for this species.

5.3.12. NMFS SEAMAP Groundfish Trawl (SEDAR21-DW-43)

The Southeast Fisheries Science Center (SEFSC) Mississippi Laboratories has been conducting groundfish surveys in the northern Gulf of Mexico under the Southeast Area Management and Assessment Program (SEAMAP) since 1987. This survey, which was conducted twice a year (summer and fall), provided an important source of fisheries independent information on blacknose sharks (*Carcharhinus acronotus*). A total of 122 blacknose sharks were collected from 1987-2009, with length frequency data indicating a wide range of sizes captured. Simple abundance indices were reported for two of the time series (summer and fall). The Indices working group suggested that the NMFS SEAMAP Groundfish trawl (Summer) and NMFS SEAMAP Groundfish trawl (Fall) be used as a base run for blacknose sharks.

5.4. *FISHERY DEPENDENT INDICES*

5.4.1. SEFSC Shark Bottom Longline Observer Program (SEDAR21-DW-02)

Catch rate series were developed from the data collected by on-boards observers in the shark bottom longline fishery for the period 1994-2009 for sandbar, dusky, and blacknose shark. All series were subjected to a Generalized Linear Model (GLM) standardization technique that treats the proportion of sets with positive catches (i.e., where at least one shark was caught) assuming a binomial error distribution with a logit link function, and the catch rates of sets with positive catches assuming a lognormal error distribution with a log link function separately. Because observations of the fishery had been conducted using two different non- overlapping sampling strategies (i.e. voluntary and mandatory), catch rates were modeled independently for two time series representing periods of 1994-2001 (voluntary) and 2002-2009 (mandatory). In addition to spatio-temporal factors, a factor reflecting the addition of a special sandbar shark fishery was added to the mandatory series. Year, depth and time were significant as a main effect in most models. The relative abundance index over both time periods showed a flat trend in abundance since 1994 for sandbar shark. For dusky shark, the abundance trend declined over the length of the series but an increase in abundance was observed in latter years. The time series for blacknose shark indicated an increase in abundance since 1994. Based on discussion at the 2010 SEDAR 21, the stock of blacknose shark was split to a NW Atlantic Ocean and Gulf of Mexico population. A new catch rate series for blacknose shark for the NW Atlantic Ocean and Gulf of Mexico was provided in an addendum to SEDAR21-DW-02.

5.4.2. Drift Gillnet Observer Program (SEDAR21-DW-03)

A standardization of catch rate series data from the directed shark drift gillnet fishery was developed based on observer programs from 1993-1995 and 1998-2009. Depending on season and area, small coastal species, including blacknose shark, were targeted and harvested. The final model assumed a binomial distribution for the proportion of positive trips and a lognormal distribution for positive catch rates. Year and area were significant as a main effect in the binomial model and lognormal model. The relative abundance index showed a slight increase in abundance since 1993. Based on discussion at the 2010 SEDAR 21, the stock of blacknose shark was split between a NW Atlantic Ocean and Gulf of Mexico population. A revised standardized catch rate series was produced for blacknose shark for the NW Atlantic Ocean stock only. Samples in the Gulf of Mexico were insufficient to provide a useful series. However, with the reduction in samples per cell the convergence of the binomial model was questionable. The final model was run but the validity of the model fit was questionable.

5.4.3. Sink Gillnet Observer Program (SEDAR21-DW-04)

A standardization of catch rate series data for blacknose shark from the directed shark sink gillnet fishery was developed based on observer program data collected from 2005-2009. Data were subjected to a Generalized Linear Model (GLM) standardization technique that treats the proportion of sets with positive catches (i.e., where at least one shark was caught) assuming a binomial error distribution with a logit link function, and the catch rates of sets with positive catches assuming a lognormal error distribution with a log link function separately. Year, target and season and meshsize were significant as main effects in the binomial model and lognormal model. The relative abundance index series was stable. Based on discussion at the 2010 SEDAR 21, the stock of blacknose shark was been split to a NW Atlantic Ocean and Gulf of

Mexico population. A revised standardized catch rate series was produced for blacknose shark for the NW Atlantic Ocean stock only. Samples in the Gulf of Mexico were insufficient to provide a useful series.

5.4.4. Southeast Pelagic Longline Observer Program / Southeast Pelagic Longline Logbook (SEDAR21-DW-08)

Updated indices of abundance were developed for dusky shark (*Carcharhinus obscurus*) and sandbar sharks (*Carcharhinus plumbeus*) from two commercial sources, the US pelagic longline logbook program (1992-2009) and the US pelagic longline observer program (1992-2009). Indices were calculated using a two-step delta-lognormal approach that treats the proportion of positive sets and the CPUE of positive catches separately. Standardized indices with 95% confidence intervals are reported. For dusky sharks, the logbook and observer time series showed a similar trend, marked by an initial decrease in the 1990s followed by a more stable trend in the 2000s. The trends form the two sources differed for sandbar sharks, with the logbook index showing a very sharp initial increase from 1994 to 1995 and a decreasing trend thereafter, whereas the observer index decreased from 1992 to 2003, after which it showed an upward trend.

5.4.5. MRFSS (SEDAR21-DW-11)

The Marine Recreational Fisheries Statistics Survey (MRFSS) dockside intercept survey data set was used to derive standardized indices of abundance for sandbar and dusky sharks. Catch per unit of effort, defined as the total catch including live releases (catch types A+B1+B2) per angler hour, was standardized using a delta lognormal generalized linear model, treating second order interactions as random effects. For sandbar sharks, only the data from May through October, for the Mid-Atlantic, South Atlantic, and Gulf of Mexico, and trips using hook and line gear, for private boats only. The explanatory variables were year, area (offshore, coastal and inland waters), target species guild (carcharhinid, other and unknown), and region (Mid Atlantic vs. Gulf of Mexico and South Atlantic combined). For dusky sharks, only the data from May through October, for the Mid-Atlantic, South Atlantic, and Gulf of Mexico, and trips using hook and line gear. The explanatory variables were year, mode (private boat or charter/party boat) area (offshore, coastal and inland waters), target species guild (carcharhinid, other and unknown), and region (Mid Atlantic, South Atlantic and Gulf of Mexico). There was a trend over the last twenty years of increasing reported catches of carcharhinids that are only identified to genus or family, mainly because the majority of carcharhinid sharks were released alive. Thus, the standardized CPUE was likely to be biased as an index of abundance, and the author did not recommend that either index be used. Finally, it was not possible to extract an index from the MRFSS data for blacknose sharks because only 322 blacknose sharks have been recorded in the intercept surveys, and 4 of the 29 years reported no catches of blacknose sharks.

5.4.6. Northeast Gillnet Observer Program (SEDAR21-DW-32)

Data from this report were not received in time to be reviewed by the Indices Working Group during the SEDAR 21 Data Workshop.

5.4.7. Coastal Fishery Logbook Gillnet (SEDAR21-DW-40)

The Coastal Fisheries Logbook Program available catch per unit effort data from 1998-2009 were used to construct a standardized abundance index for the blacknose shark gillnet fishery in the U.S. south Atlantic (south of Virginia) (SEDAR21 DW40). A modified Stephens and MacCall (2004) method was used to estimate the likelihood that blacknose shark could have been encountered given the presence or absence of other species reported from the trip. A score was assigned to each trip, and trips with scores above a critical value were included in the catch per unit effort analysis. The delta-lognormal model approach of Lo *et al.* (1992) was then used to construct a standardized index of abundance. Diagnostic plots indicated that the fit of the data to the lognormal and binomial models was acceptable. Blacknose shark standardized catch rates and nominal catch rates for gillnet vessels were similar throughout the time series. Annual mean CPUE had no clear trend over the initial seven years of the time series, but were higher during most of the final five years of the series. The working group has recommended the blacknose gillnet index from the U.S. south Atlantic be used in the base run of the assessment model.

5.4.8. Coastal Fishery Logbook Bottom Longline (Sandbar) (SEDAR21-DW-41)

This document presented an index of abundance from the Coastal Fisheries Logbook (CFL) database. The index was calculated for sandbar shark from commercial longline trips in the southeast region (Texas to North Carolina). Sandbar shark data were sufficient to construct an index of abundance including the years 1992-2007 throughout the eastern Gulf of Mexico to

North Carolina. Ten factors were tested: year, season, subregion, longline length, days at sea, crew size, permit type, vessel length, distance between hooks, and numbers of hooks fished. CPUE was defined as pounds landed per hook. The final model for the binomial on proportion positive trips was: Year + Subregion + Hookdist + Tothooks + Subregion*Hookdist + Year*Hookdist. The final model for the lognormal on CPUE of successful trips was: Year + DaysatSea + TotHooks + Subregion + VesselLength + Subregion*Year + Year*VesselLength + HookDist*Subregion. The delta lognormal model approach (Lo *et al.* 1992) was used to develop the standardized index of abundance. A drop exists in annual CPUE during 1993-1995 which may be the direct result of a change in reporting. During those years the number of sharks reported as "unclassified shark" increased substantially, while species-specific reports had a concomitant decline. Standardized annual CPUE may change markedly during 1993-1995 if a portion of the unclassified sharks could be categorized as sandbar shark. This may be accomplished by applying the ratio of sandbar sharks to all sharks recorded in the bottom longline observer data from the appropriate year-area combination. CPUE was essentially flat during the remainder of the time series.

5.4.9. Coastal Fishery Logbook Bottom Longline (Dusky) (SEDAR21-DW-42)

Commercial logbook data were examined for their utility in constructing an index of abundance of dusky shark. Landings, not total catch, were available in the data set. A small number of commercial trips did report landings of dusky shark, however after 2000 landings of dusky shark were prohibited and no trips with dusky shark landings were identified in the coastal logbook data after that year. Only seven years during the time series (1990-2009) had dusky shark landings. Of those, four years had 10 or fewer positive trips. With such limited data, neither a useful nor reliable index of dusky shark abundance could be produced using the commercial coastal logbook data.

5.4.10. Large Pelagic Survey (SEDAR21-DW-44)

This paper presented an update to two abundance indices for sandbar (*Carcharhinus plumbeus*) sharks off the coast of the United States from Virginia through Massachusetts were developed using data obtained during interviews of rod and reel anglers in 1986-2009.

Subsets of the data were analyzed to assess effects of factors such as month, area fished, boat type (private or charter), interview type (dockside or phone) and fishing method on catch per unit effort. Standardized catch rates were estimated through generalized linear models by applying delta-Poisson error distribution assumptions. A stepwise approach was used to quantify the relative importance of the main factors explaining the variance in catch rates.

The same models used in the indices constructed in 2004 were used in this paper for the binomial and Poisson submodels for both shark species. The indices both showed a pattern of declines from the 1980s into the 1990s and a recent pattern of slight increases.

5.5. *CONSENSUS RECOMMENDATIONS AND SURVEY EVALUATIONS*

Indices were initially reviewed based upon the criteria established at the SEDAR Abundance Indices Workshop held in 2008. The data source, index construction methodology, adherence to statistical assumptions, and model diagnostics were examined for each index. All indices reviewed were judged to be appropriately constructed, although in some cases revisions were recommended. Each index was then recommended for either a base run of the assessment model or for use in a model sensitivity run. The criteria for recommendation included sample size, proportion of positive trips, length of the time series, spatial extent of the index, and region sampled (e.g. was the index restricted to marginal habitat or at the limit of a species range). Four indices were not recommended for use: SCDNR red drum longline survey (sandbar shark index), GADNR red drum longline survey (sandbar shark index), UNC longline study (sandbar shark index), and the SCDNR red drum longline survey (blacknose shark index). Those indices were not recommended due to short time series, very low sample size, or were not sampling the habitat of the species of interest.

After the data workshop, following recommended index revision and once additional indices were constructed using late arriving data sets, a webinar was held to rank the indices. Index ranking was completed at the request of the assessment biologists for the purpose of weighting the indices in the model runs. Indices could, and frequently did, have the same ranking. When determining rankings of the indices (1 = best), the primary consideration was that an index reflects the population trend of the species (or a portion of the population, e.g. juveniles). That judgment was made by considering characteristics of the data used in the construction of each index. In general, the working group ranked fishery independent indices higher than fishery dependent indices. Indices constructed from observer reported fishery dependent data were more highly ranked than self-reported fishery dependent data. Fishery independent indices were not always ranked more highly than fishery dependent indices, however. The extent of temporal and spatial coverage encompassed by an index was also very important for the ranking process. Short time series or limited spatial coverage frequently reduced the ranking of an index. For specific reasoning behind the individual index rankings, see 'Justification of Working Group Recommendation' located in the index scorecards in Appendix 5.9.

For the GOM stock of blacknose sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, NMFS SEAMAP Groundfish Trawl (Summer and Fall), Panama City Gillnet (Adult and Juvenile), Mote Marine Lab Longline, SEFSC Shark Bottom Longline Observer Program and Dauphin Island Sea Lab Bottom Longline. For the ATL stock of blacknose sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, SCDNR Red Drum Longline (Historical), SEFSC Shark Bottom Longline Observer Program, Drift Gillnet Observer Program, UNC Longline, GADNR Red Drum Longline, and Coastal Fishery Logbook Gillnet. The Sink Gillnet Observer Program index was recommended for a sensitivity run for blacknose sharks. The spatial coverage of each index is presented in Figure 5.8.1. The rankings for the recommended indices for the GOM stock of blacknose sharks can be seen in Table 5.7.1. Fishery independent index values and coefficients of variation (CV) are presented in Table 5.7.2 and the fishery dependent index vales are presented in Table 5.7.3. A plot of all the indices recommended for analysis is in Figure 5.8.2. The ranking of the indices for the ATL stock of blacknose are seen in Table 5.7.4. (base run) and Table 5.7.5 (sensitivity run). The index values and coefficients of variation for the ATL stock are presented in Table 5.7.6. (fishery independent) and Table 5.7.7. (fishery dependent). A plot of all the indices recommended for analysis is in Figure 5.8.3. At the request of the analysts, the combined rankings for blacknose sharks (single stock between the Atlantic Ocean and Gulf of Mexico), are presented in Table 5.7.8, along with the index values and CVs in Table 5.7.9 (fishery independent) and Table 5.7.10 (fishery dependent). A plot of all the indices is in Figure 5.8.4.

For sandbar sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Southeast Bottom Longline, NMFS COASTSPAN Longline (Total juveniles, YOY and Age 1+), VIMS Longline, NMFS Northeast Longline, SEFSC Shark Bottom Longline Observer Program, Southeast Pelagic Longline Observer Program, SC COASTSPAN Longline, SCDNR Red Drum Longline (Historical), Panama City Gillnet (Juvenile), GA COASTSPAN Longline (Juvenile) and Large Pelagic Survey. The NMFS Historical Longline, Coastal Fishery Logbook Bottom Longline and Southeast Pelagic Longline Logbook indices were recommended for a sensitivity run for sandbar sharks. The spatial coverage of each index is presented in Figure 5.8.5. The ranking of the indices are provided in Table 5.7.115 (base run) and Table 5.7.12 (sensitivity run). Fishery independent index values and coefficients of variation are presented in Table 5.7.13 and the fishery dependent index values are presented in Table 5.7.14. A plot of all the indices is in Figure 5.8.6.

For dusky sharks, the DW recommended the following indices for use in the stock assessment model for the base run: NMFS Northeast Longline, SEFSC Shark Bottom Longline Observer Program, Southeast Pelagic Longline Observer Program, VIMS Longline and Large Pelagic Survey. The NMFS Historical Longline and UNC Longline indices were recommended for a sensitivity run for dusky sharks. The spatial coverage of each index is presented in Figure 5.8.7. The ranking of the indices are seen in Table 5.7.15 (base run) and Table 5.7.16 (sensitivity run). Fishery independent index values and coefficients of variation are presented in Table 5.7.17 and the fishery dependent index vales are presented in Table 5.7.18. A plot of all the indices is in Figure 5.8.8. The scorecards for all the indices (recommended and excluded) are in Appendix 5.9.

5.6. *LITERATURE CITED*

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5.7. *TABLES*

Table 5.7.1. Indices recommended by the Indices Working Group for a model base run for the Gulf of Mexico stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Southeast Bottom Longline (GOM)	SEDAR21-DW-39	Independent	1
NMFS SEAMAP Groundfish Trawl (Summer)	SEDAR21-DW-43	Independent	2
NMFS SEAMAP Groundfish Trawl (Fall)	SEDAR21-DW-43	Independent	2
Panama City Gillnet (Adult)	SEDAR21-DW-01	Independent	3
Panama City Gillnet (Juvenile)	SEDAR21-DW-01	Independent	3
Mote Marine Lab Longline	SEDAR21-DW-34	Independent	3
SEFSC Shark Bottom Longline Observer Program	SEDAR21-DW-02	Dependent	4
Dauphin Island Sea Lab Bottom Longline	SEDAR21-DW-25	Independent	5

Table 5.7.2. Fishery independent indices recommended by the Indices Working Group for the Gulf of Mexico stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	NMFS Southeast Bottom Longline SEDAR21-DW-39 Base (Rank=1)		NMFS SEAMAP Groundfish Trawl (Summer) SEDAR21-DW-43 Base (Rank=2)		NMFS SEAMAP Groundfish Trawl (Fall) SEDAR21-DW-43 Base (Rank=2)		Panama City Gillnet (Adult) SEDAR21-DW-01 Base (Rank=3)	
Year	Index Values	CV	Index Values	CV	Index Values	CV	Index Values	CV
1987			0.002331	0.784212784	0.003216	0.919465174		
1988			0.002418	0.835814723	0.002896	0.887085635		
1989			0.005522	0.611915972	0.002526	0.886777514		
1990			0.002122	0.817624882	0.004368	0.670787546		
1991			0.00359	0.700835655	0.004096	0.692871094		
1992			0.002635	0.840986717	0.004641	0.76405947		
1993			0.004889	0.659439558	0.002307	0.745557		
1994			0.002853	0.688047669	0.003436	0.694412107		
1995	0.13599	0.42835	0.002482	0.914585012	0.007061	0.620450361		
1996	0.31007	0.41434	0.004021	0.666003482	0.003897	0.771105979	0.023	0.31
1997	0.2095	0.32307	0.004177	0.727076849	0.003668	0.789803708	0.013	0.43
1998			0.003396	0.737926973	0.003771	0.726067356	0.033	0.31
1999	0.17092	0.25831	0.002502	0.847322142	0.005087	0.687831728		
2000	0.18041	0.26186	0.004224	0.642282197	0.004348	0.732060718		
2001	0.23484	0.24244	0.008831	0.645906466	0.002811	0.804695838	0.020	0.43
2002	0.18332	0.26621	0.003607	0.725533685	0.003412	0.745896835	0.019	0.36
2003	0.44848	0.21178	0.006501	0.585140748	0.00457	0.575929978	0.016	0.36
2004	0.41957	0.21511	0.004821	0.629744866	0.003577	0.805703103	0.038	0.36
2005	0.13646	0.78751	0.005295	0.743720491	0.004996	0.572658127	0.029	0.36
2006	0.45839	0.27942	0.004284	0.68487395	0.003208	0.771820449		
2007	0.19454	0.31226	0.003567	0.736753574	0.005754	0.740354536	0.010	0.43
2008	0.32122	0.33208	0.005391	0.596920794	0.007182	0.465329992	0.048	0.31
2009	0.41606	0.25081	0.01164	0.293041237	0.004807	0.623465779	0.011	0.58

Table 5.7.2. (continued)

	Panama City Gillnet (Juvenile) SEDAR21-DW-01 Base (Rank=3)		Mote Marine Lab Longline SEDAR21-DW-34 Base (Rank=3)		Dauphin Island Sea Lab Bottom Longline SEDAR21-DW-25 Base (Rank=5)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1987						
1988						
1989						
1990						
1991						
1992						
1993						
1994						
1995						
1996	0.44	0.32				
1997	0.26	0.42				
1998	0.12	0.62				
1999	0.43	0.50				
2000	0.02	4.14				
2001	0.16	0.68				
2002	0.21	0.52				
2003	0.2	0.47	0.09192	0.64933		
2004	0.15	0.61	0.29474	0.3696		
2005	0.11	1.29	0.24632	0.33322		
2006	0.14	0.93	0.17269	0.61566	1.92036	0.24655
2007	0.19	0.58	0.26844	0.32904	0.98698	0.30785
2008	0.17	0.68	0.4925	0.3722	0.76021	0.36994
2009	0.12	1.07	0.05931	0.8667	0.33245	0.55653

Table 5.7.3. Fishery dependent indices recommended by the Indices Working Group for the Gulf of Mexico stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	SEFSC Shark Bottom Longline Observer Program SEDAR21-DW-02 Base (Rank=4)	
Year	Index Values	CV
1993		
1994	4.89	0.77
1995	15.71	0.6
1996	10.24	0.74
1997	12.49	0.78
1998	20.73	0.61
1999	51.85	0.62
2000		
2001	7.97	0.74
2002	101.13	0.42
2003	62.98	0.4
2004	94.07	0.43
2005	193.75	0.43
2006	192.75	0.41
2007	98.19	0.46
2008	82.92	0.53
2009	25.58	0.56

Table 5.7.4. Indices recommended by the Indices Working Group for a model base run for the Atlantic Ocean stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Southeast Bottom Longline	SEDAR21-DW-39	Independent	1
SCDNR Red Drum Longline (Historical)	SEDAR21-DW-30	Independent	2
SEFSC Shark Bottom Longline Observer Program	SEDAR21-DW-02	Dependent	3
Drift Gillnet Observer Program	SEDAR21-DW-03	Dependent	3
UNC Longline	SEDAR21-DW-33	Independent	4
GADNR Red Drum Longline	SEDAR21-DW-29	Independent	4
Coastal Fishery Logbook Gillnet	SEDAR21-DW-40	Dependent	4

Table 5.7.5. Indices recommended by the Indices Working Group for a model sensitivity run for the Atlantic Ocean stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
Sink Gillnet Observer Program	SEDAR21-DW-04	Dependent	1

Table 5.7.6. Fishery independent indices recommended by the Indices Working Group for the Atlantic Ocean stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	NMFS Southeast Bottom Longline SEDAR21-DW-39 Base (Rank=1)		SCDNR Red Drum Longline (Historical) SEDAR21-DW-30 Base (Rank=3)	
Year	Index Values	CV	Index Values	CV
1972				
1973				
1974				
1975				
1976				
1977				
1978				
1979				
1980				
1981				
1982				
1983				
1984				
1985				
1986				
1987				
1988				
1989				
1990				
1991				
1992				
1993				
1994				
1995	0			
1996	0			
1997	0.01606	0.74952		
1998			0.203788734	0.281162092
1999	0.24712	0.6003	0.27815916	0.405424048
2000	0.05795	0.42504	0.177385407	0.242336909
2001			0.168005468	0.347193623
2002	0.14587	0.3121	0.341851293	0.250009688
2003			0.357409365	0.20868598
2004	0.03574	0.84049	0.130662017	0.383893531
2005	0		0.145767541	0.530906086
2006	0.1532	0.5494	0.160742768	0.290953067
2007				
2008	0.27004	0.56699		
2009	0.0543	1.15715		

Table 5.7.6. (continued)

	UNC Longline SEDAR21-DW-33	GADNR Red Drum Longline SEDAR21-DW-29
Year	Base (Rank=5)	Base (Rank=5)

	Index Values	CV	Index Values	CV
1972	0.057079647	0.879797		
1973	0.088494355	0.585293		
1974	0.032027555	0.900346		
1975	0.039308515	0.458022		
1976	0.035680408	0.530198		
1977	0.056460396	0.29584		
1978	0.056812849	0.343711		
1979	0.031989155	0.340532		
1980	0.018205313	0.332184		
1981	0.009121157	0.522268		
1982	0.013861563	0.291329		
1983	0.011455218	0.309014		
1984	0.014930413	0.329129		
1985	0.008526004	0.461483		
1986	0.005211507	0.69739		
1987	0.010132829	0.55377		
1988	0.020980523	0.60706		
1989	0.00751782	0.651812		
1990	0.004069541	0.7845		
1991	0.009567187	0.537649		
1992	0.018396819	0.644476		
1993	0.017079747	0.601881		
1994	0.008628579	0.71548		
1995	0.004251396	0.784229		
1996	0.006948694	0.690177		
1997	0.003426	0.769764		
1998	0.001900595	0.850587		
1999	0.002283724	1.012023		
2000	0.002496924	0.795336		
2001	0.004031893	0.838254		
2002	0.001982096	0.854264		
2003	0.001278037	1.151028		
2004	0.003478401	0.796945		
2005	0.003738323	0.860331		
2006	0.006521078	0.571284		
2007	0.01517777	0.465167	0.064351199	0.540976092
2008	0.004092476	0.795925	0.161105846	0.445554107
2009	0.008101659	0.716968	0.144848049	0.475400056

Table 5.7.7. Fishery dependent indices recommended by the Indices Working Group for the Atlantic Ocean stock of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	SEFSC Shark Bottom Longline Observer Program		Drift Gillnet Observer Program		Coastal Fisheries Logbook Gillnet		Sink Gillnet Observer Program	
	SEDAR21-DW-02		SEDAR21-DW-03		SEDAR21-DW-40		SEDAR21-DW-04	
	Base (Rank=4)		Base (Rank=4)		Base (Rank=5)		Sensitivity (Rank=1)	
Year	Index Values	CV	Index Values	CV	Index Values	CV	Index Values	CV
1993			102.32	0.74				
1994	79.03	1.15	242.69	0.31				
1995	45.34	0.42	101.61	0.67				
1996	69	0.4						
1997	9.22	0.64						
1998	25.96	0.55	59.98	0.59	0.001103754	0.6963795		
1999	148.6	0.57	78.31	0.27	0.001144843	0.7030089		
2000	275.58	0.48	355.07	0.31	0.001926084	0.6684202		
2001	172.08	0.81	151.28	0.28	0.000973698	0.6804639		
2002	80.04	0.51	115.41	0.28	0.001183764	0.6926486		
2003	5.99	1.02	117.9	0.36	0.002007794	0.6896288		
2004	6.32	0.8	68.61	0.33	0.000744868	0.7144613		
2005	41.21	0.56	317.74	0.35	0.002375108	0.7085882	216.32	0.72
2006	21.68	0.67	29.11	0.75	0.002753644	0.6715055	60.53	0.78
2007	82.83	1.01	88.94	0.75	0.001467736	0.720916	1262.5	0.58
2008	22.26	0.99	0		0.012040469	0.6396446	98.26	0.91
2009	9.98	0.99	0		0.003850332	0.6729216	20.23	0.88

Table 5.7.8. Indices recommended by the Indices Working Group for a model base run for the combined stock (Atlantic Ocean and Gulf of Mexico) of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Southeast Bottom Longline	SEDAR21-DW-39	Independent	1
NMFS SEAMAP Groundfish Trawl (Summer)	SEDAR21-DW-43	Independent	2
NMFS SEAMAP Groundfish Trawl (Fall)	SEDAR21-DW-43	Independent	2
Panama City Gillnet (Adult)	SEDAR21-DW-01	Independent	3
Panama City Gillnet (Juvenile)	SEDAR21-DW-01	Independent	3
SCDNR Red Drum Longline (Historical)	SEDAR21-DW-30	Independent	3
Mote Marine Lab Longline	SEDAR21-DW-34	Independent	3
SEFSC Shark Bottom Longline Observer Program	SEDAR21-DW-02	Dependent	4
Drift Gillnet Observer Program	SEDAR21-DW-03	Dependent	4
UNC Longline	SEDAR21-DW-33	Independent	5
Dauphin Island Sea Lab Bottom Longline	SEDAR21-DW-25	Independent	5
GADNR Red Drum Longline	SEDAR21-DW-29	Independent	5
Coastal Fishery Logbook Gillnet	SEDAR21-DW-40	Dependent	5

Table 5.7.9. Fishery independent indices recommended by the Indices Working Group for the combined stock (Atlantic Ocean and Gulf of Mexico) of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	NMFS Southeast Bottom Longline SEDAR21-DW-39 Base (Rank=1)		NMFS SEAMAP Groundfish Trawl (Summer) SEDAR21-DW-43 Base (Rank=2)		NMFS SEAMAP Groundfish Trawl (Fall) SEDAR21-DW-43 Base (Rank=2)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1972						
1973						
1974						
1975						
1976						
1977						
1978						
1979						
1980						
1981						
1982						
1983						
1984						
1985						
1986						
1987			0.002331	0.784212784	0.003216	0.919465174
1988			0.002418	0.835814723	0.002896	0.887085635
1989			0.005522	0.611915972	0.002526	0.886777514
1990			0.002122	0.817624882	0.004368	0.670787546
1991			0.00359	0.700835655	0.004096	0.692871094
1992			0.002635	0.840986717	0.004641	0.76405947
1993			0.004889	0.659439558	0.002307	0.745557
1994			0.002853	0.688047669	0.003436	0.694412107
1995	0.07097	0.41558	0.002482	0.914585012	0.007061	0.620450361
1996	0.16847	0.40148	0.004021	0.666003482	0.003897	0.771105979
1997	0.12021	0.27351	0.004177	0.727076849	0.003668	0.789803708
1998			0.003396	0.737926973	0.003771	0.726067356
1999	0.14079	0.24833	0.002502	0.847322142	0.005087	0.687831728
2000	0.14297	0.22875	0.004224	0.642282197	0.004348	0.732060718
2001	0.20988	0.24483	0.008831	0.645906466	0.002811	0.804695838
2002	0.2028	0.23353	0.003607	0.725533685	0.003412	0.745896835
2003	0.4046	0.21592	0.006501	0.585140748	0.00457	0.575929978
2004	0.33747	0.21426	0.004821	0.629744866	0.003577	0.805703103
2005	0.09764	0.82136	0.005295	0.743720491	0.004996	0.572658127
2006	0.37326	0.27076	0.004284	0.68487395	0.003208	0.771820449
2007	0.17308	0.32259	0.003567	0.736753574	0.005754	0.740354536
2008	0.30221	0.31518	0.005391	0.596920794	0.007182	0.465329992
2009	0.34907	0.25325	0.01164	0.293041237	0.004807	0.623465779

Table 5.7.9. (continued)

	Panama City Gillnet (Adult)	Panama City Gillnet (Juvenile)	SCDNR Red Drum Longline (Historical)

	SEDAR21-DW-01 Base (Rank=3)		SEDAR21-DW-01 Base (Rank=3)		SEDAR21-DW-30 Base (Rank=3)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1972						
1973						
1974						
1975						
1976						
1977						
1978						
1979						
1980						
1981						
1982						
1983						
1984						
1985						
1986						
1987						
1988						
1989						
1990						
1991						
1992						
1993						
1994						
1995						
1996	0.023	0.31	0.44	0.32		
1997	0.013	0.43	0.26	0.42		
1998	0.033	0.31	0.12	0.62	0.203788734	0.281162092
1999			0.43	0.50	0.27815916	0.405424048
2000			0.02	4.14	0.177385407	0.242336909
2001	0.020	0.43	0.16	0.68	0.168005468	0.347193623
2002	0.019	0.36	0.21	0.52	0.341851293	0.250009688
2003	0.016	0.36	0.2	0.47	0.357409365	0.20868598
2004	0.038	0.36	0.15	0.61	0.130662017	0.383893531
2005	0.029	0.36	0.11	1.29	0.145767541	0.530906086
2006			0.14	0.93	0.160742768	0.290953067
2007	0.010	0.43	0.19	0.58		
2008	0.048	0.31	0.17	0.68		
2009	0.011	0.58	0.12	1.07		

Table 5.7.9. (continued)

	Mote Marine Lab Longline SEDAR21-DW-34 Base (Rank=3)		UNC Longline SEDAR21-DW-33 Base (Rank=5)		Dauphin Island Sea Lab Bottom Longline SEDAR21-DW-25 Base (Rank=5)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1972			0.057079647	0.879797		
1973			0.088494355	0.585293		
1974			0.032027555	0.900346		
1975			0.039308515	0.458022		
1976			0.035680408	0.530198		
1977			0.056460396	0.29584		
1978			0.056812849	0.343711		
1979			0.031989155	0.340532		
1980			0.018205313	0.332184		
1981			0.009121157	0.522268		
1982			0.013861563	0.291329		
1983			0.011455218	0.309014		
1984			0.014930413	0.329129		
1985			0.008526004	0.461483		
1986			0.005211507	0.69739		
1987			0.010132829	0.55377		
1988			0.020980523	0.60706		
1989			0.00751782	0.651812		
1990			0.004069541	0.7845		
1991			0.009567187	0.537649		
1992			0.018396819	0.644476		
1993			0.017079747	0.601881		
1994			0.008628579	0.71548		
1995			0.004251396	0.784229		
1996			0.006948694	0.690177		
1997			0.003426	0.769764		
1998			0.001900595	0.850587		
1999			0.002283724	1.012023		
2000			0.002496924	0.795336		
2001			0.004031893	0.838254		
2002			0.001982096	0.854264		
2003	0.09192	0.64933	0.001278037	1.151028		
2004	0.29474	0.3696	0.003478401	0.796945		
2005	0.24632	0.33322	0.003738323	0.860331		
2006	0.17269	0.61566	0.006521078	0.571284	1.92036	0.24655
2007	0.26844	0.32904	0.01517777	0.465167	0.98698	0.30785
2008	0.4925	0.3722	0.004092476	0.795925	0.76021	0.36994
2009	0.05931	0.8667	0.008101659	0.716968	0.33245	0.55653

Table 5.7.9. (continued)

	GADNR Red Drum Longline SEDAR21-DW-29 Base (Rank=5)	
Year	Index Values	CV
1972		
1973		
1974		
1975		
1976		
1977		
1978		
1979		
1980		
1981		
1982		
1983		
1984		
1985		
1986		
1987		
1988		
1989		
1990		
1991		
1992		
1993		
1994		
1995		
1996		
1997		
1998		
1999		
2000		
2001		
2002		
2003		
2004		
2005		
2006		
2007	0.064351199	0.540976092
2008	0.161105846	0.445554107
2009	0.144848049	0.475400056

Table 5.7.10. Fishery dependent indices recommended by the Indices Working Group for the combined stock (Atlantic Ocean and Gulf of Mexico) of blacknose sharks (*Carcharhinus acronotus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	SEFSC Shark Bottom Longline Observer Program SEDAR21-DW-02 Base (Rank=4)		Drift Gillnet Observer Program SEDAR21-DW-03 Base (Rank=4)		Coastal Fisheries Logbook Gillnet SEDAR21-DW-40 Base (Rank=5)		Sink Gillnet Observer Program SEDAR21-DW-04 Sensitivity (Rank=1)	
Year	Index Values	CV	Index Values	CV	Index Values	CV	Index Values	CV
1993			16.2	1.46				
1994	18.03	0.42	114.67	0.78				
1995	39.39	0.22	48.91	1.16				
1996	41.6	0.23						
1997	12.23	0.43						
1998	35.59	0.31	28.51	0.99	0.001103754	0.6963795		
1999	67.02	0.34	54.21	0.65	0.001144843	0.7030089		
2000	129.07	0.37	108.34	0.67	0.001926084	0.6684202		
2001	24.65	0.56	56.39	0.61	0.000973698	0.6804639		
2002	81.41	0.38	166.1	0.58	0.001183764	0.6926486		
2003	65.83	0.4	59.95	0.69	0.002007794	0.6896288		
2004	56.4	0.39	43.81	0.67	0.000744868	0.7144613		
2005	137.15	0.37	239.03	0.75	0.002375108	0.7085882	241.644	0.43
2006	148.4	0.39	14.49	1.04	0.002753644	0.6715055	86.111	0.46
2007	85.38	0.48	43.78	1.04	0.001467736	0.720916	1665.538	0.3
2008	98.31	0.45			0.012040469	0.6396446	196.587	0.61
2009	23.63	0.49	83.61	1.05	0.003850332	0.6729216	28.285	0.52

Table 5.7.11. Indices recommended by the Indices Working Group for a model base run for sandbar sharks (*Carcharhinus plumbeus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Southeast Bottom Longline	SEDAR21-DW-39	Independent	1
NMFS COASTSPAN Longline (Total juveniles)	SEDAR21-DW-27	Independent	2
NMFS COASTSPAN Longline (YOY)	SEDAR21-DW-27	Independent	2
NMFS COASTSPAN Longline (Age 1+)	SEDAR21-DW-27	Independent	2
VIMS Longline	SEDAR21-DW-18	Independent	2
NMFS Northeast Longline	SEDAR21-DW-28	Independent	2
SEFSC Shark Bottom Longline Observer Program	SEDAR21-DW-02	Dependent	2
Southeast Pelagic Longline Observer Program	SEDAR21-DW-08	Dependent	2
SC COASTSPAN Longline	SEDAR21-DW-30	Independent	3
SCDNR Red Drum Longline (Historical)	SEDAR21-DW-30	Independent	3
Panama City Gillnet (Juvenile)	SEDAR21-DW-01	Independent	4
GA COASTSPAN Longline (Juvenile)	SEDAR21-DW-29	Independent	4
Large Pelagic Survey	SEDAR21-DW-44	Dependent	5

Table 5.7.12. Indices recommended by the Indices Working Group for a model sensitivity run for sandbar sharks (*Carcharhinus plumbeus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Historical Longline	SEDAR21-DW-31	Independent	1
Coastal Fishery Logbook Bottom Longline	SEDAR21-DW-41	Dependent	1
Southeast Pelagic Longline Logbook	SEDAR21-DW-08	Dependent	2

Table 5.7.13. Fishery independent indices recommended by the Indices Working Group for sandbar sharks (*Carcharhinus plumbeus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	NMFS Southeast Bottom Longline SEDAR21-DW-39 Base (Rank=1)		NMFS COASTSPAN Longline (Total juveniles) SEDAR21-DW-27 Base (Rank=2)		NMFS COASTSPAN Longline (YOY) SEDAR21-DW-27 Base (Rank=2)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1961						
1962						
1963						
1964						
1965						
1966						
1967						
1968						
1969						
1970						
1971						
1972						
1973						
1974						
1975						
1976						
1977						
1978						
1979						
1980						
1981						
1982						
1983						
1984						
1985						
1986						
1987						
1988						
1989						
1990						
1991						
1992						
1993						
1994						
1995	0.25813	0.25711				
1996	0.13525	0.33861				
1997	0.20402	0.26883				
1998						
1999	0.06429	0.27042				
2000	0.15083	0.18204				
2001	0.14182	0.24836	5.727756877	0.234450223	3.240047811	0.30335089
2002	0.11112	0.22223	2.45723195	0.357113747	0.927128104	0.356121453
2003	0.13632	0.24629	6.190712501	0.234450223	2.919619495	0.25847576
2004	0.10677	0.25598	5.164320235	0.261739708	2.820840454	0.370029678
2005	0.04851	0.593	5.999475654	0.269013467	3.02841037	0.281635046
2006	0.0621	0.36378	2.923472109	0.304998778	0.955579665	0.335941642
2007	0.13501	0.38803	2.879033515	0.268961459	0.596391106	0.386943254
2008	0.11682	0.31767	0.900887554	0.515733745	0.561841123	0.765763625
2009	0.27767	0.21121	8.268378406	0.188810872	4.524184907	0.331418963

Table 5.7.13. (continued)

	NMFS COASTSPAN Longline (Age 1+) SEDAR21-DW-27 Base (Rank=2)		VIMS Longline SEDAR21-DW-18 Base (Rank=2)		NMFS Northeast Longline SEDAR21-DW-28 Base (Rank=2)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1961						

1962						
1963						
1964						
1965						
1966						
1967						
1968						
1969						
1970						
1971						
1972						
1973						
1974						
1975			1.825634358	0.360376689		
1976						
1977			1.635891511	0.521582584		
1978						
1979						
1980			2.293265768	0.264063049		
1981			2.397062894	0.226554377		
1982						
1983						
1984						
1985						
1986						
1987						
1988						
1989						
1990			0.39624397	0.597098541		
1991			0.557525783	0.628415491		
1992			0.231593529	0.8980708		
1993			0.748631652	0.593820322		
1994						
1995			0.884558669	0.294047438		
1996			0.881846526	0.371809598	0.000507169	0.3664
1997			0.818355334	0.367133198		
1998			1.334933214	0.309671481	0.003073641	0.266923
1999			1.054182939	0.528779797		
2000			1.000364725	0.368767427		
2001	3.654375104	0.227480649	1.103219254	0.340852048	0.001518167	0.271596
2002	1.264290565	0.410772897	0.596068416	0.518482147		
2003	3.447783328	0.240859446	0.50837524	0.611346116		
2004	3.431556182	0.270194705	0.681558373	0.463981249	0.001175704	0.34505
2005	3.560493317	0.255055925	0.434748645	0.490660292		
2006	1.843585006	0.308243605	1.079308538	0.290307581		
2007	1.924655965	0.286428144	0.311037819	0.645446814	0.005183215	0.303858
2008	0.595852697	0.488298171	0.957679453	0.334759496		
2009	4.77299118	0.187095552	1.267913389	0.362186265	0.010630747	0.206756

Table 5.7.13. (continued)

	SC COASTSPAN Longline SEDAR21-DW-30 Base (Rank=3)		SCDNR Red Drum Longline (Historical) SEDAR21-DW-30 Base (Rank=3)		Panama City Gillnet (Juvenile) SEDAR21-DW-01 Base (Rank=4)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1961						
1962						
1963						
1964						
1965						
1966						
1967						
1968						

1969						
1970						
1971						
1972						
1973						
1974						
1975						
1976						
1977						
1978						
1979						
1980						
1981						
1982						
1983						
1984						
1985						
1986						
1987						
1988						
1989						
1990						
1991						
1992						
1993						
1994						
1995						
1996					0.023	0.22
1997					0.013	0.31
1998	0.633603818	0.699043	0.140006517	0.464096004	0.033	0.35
1999	0.553232708	0.639898	0.594843139	0.353115019		0.57
2000	0.094719442	0.923998	0.057636573	0.549310345		0.57
2001	0.049259203	0.853746	0.349656526	0.467578459	0.020	0.35
2002	0.200698092	0.864094	0.230689744	0.401777962	0.019	0.35
2003	0.279554105	0.733766	0.15419554	0.364550582	0.016	0.25
2004	1.578117399	0.364751	0.337614502	0.292640367	0.038	0.42
2005	0.960821692	0.256205	0.15485314	0.422599789	0.029	0.42
2006	1.605292136	0.234392	0.279326352	0.260725904		0.00
2007	1.826859614	0.317614			0.010	0.35
2008	1.811278298	0.37738			0.048	0.42
2009	1.238999216	0.374072			0.011	0.28

Table 5.7.13. (continued)

	GA COASTSPAN Longline (Juvenile) SEDAR21-DW-29 Base (Rank=4)		NMFS Historical Longline SEDAR21-DW-31 Sensitivity (Rank=1)	
Year	Index Values	CV	Index Values	CV
1961			0.081714524	0.996300874
1962			0.045755169	1.149192395
1963			0.028279273	1.095417941
1964			0.146209941	1.059074134
1965			0.117610722	0.988735019
1966				
1967			0.000831895	1.024803485
1968			0.000298887	1.581988714
1969			0.00463847	1.261426971
1970			0.00344356	1.326875579
1971				
1972				
1973				
1974				
1975			0.001637877	1.367481706

1976			0.001566827	1.171154763
1977			0.001209011	0.92590786
1978			0.006091362	0.551673207
1979			0.009946878	0.609419993
1980			0.007886367	0.568513798
1981			0.002740715	0.928121842
1982			0.007449143	0.627204215
1983			0.004385455	0.72130479
1984			0.030002386	0.695637776
1985			0.012586565	0.580081473
1986			0.017538785	0.628484207
1987			0.019593653	0.818385386
1988			0.002688709	1.219299112
1989			0.010803036	0.640428234
1990			0.001498913	1.546579765
1991			0.01720694	0.66845261
1992				
1993			0.001703239	1.213149617
1994				
1995				
1996				
1997				
1998				
1999				
2000	0.004332475	2.768798672		
2001				
2002				
2003	0.023791361	0.906034876		
2004	0.026763128	0.889637918		
2005	0.008298468	2.061785767		
2006	0.030708617	0.707337995		
2007	0.049604131	0.516604302		
2008	0.043198235	0.572190066		
2009	0.035675824	0.544905652		

Table 5.7.14. Fishery dependent indices recommended by the Indices Working Group for sandbar sharks (*Carcharhinus plumbeus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	SEFSC Shark Bottom Longline Observer Program SEDAR21-DW-02 Base (Rank=2)		Southeast Pelagic Longline Observer Program SEDAR21-DW-08 Base (Rank=2)		Large Pelagic Survey SEDAR21-DW-44 Base (Rank=5)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1986					1.067	0.149
1987					0.314	0.215
1988					0.979	0.203
1989					1.159	0.125
1990					0.381	0.18
1991					0.637	0.174
1992			0.816	0.318	0.498	0.185
1993			0.646	0.209	0.254	0.551
1994	142.35	0.17	0.457	0.231	0.156	0.47
1995	151.62	0.14	0.368	0.289	0.135	0.575
1996	131.02	0.15	0.3	0.382	0.166	0.586
1997	210.17	0.18	0.304	0.336	0.191	0.471
1998	231.34	0.19	0.215	0.516	0.052	0.978
1999	170.87	0.21	0.274	0.407	0.075	0.837
2000	101.08	0.31	0.1	0.455	0.09	0.861
2001	290.99	0.2	0.118	0.482	0.374	0.651
2002	120.76	0.4	0.008	1.969	0.128	0.762
2003	172.03	0.37	0.007	1.97	0.059	0.586
2004	134.29	0.38	0.136	0.355	0.034	0.664
2005	175.96	0.42	0.048	0.477	0.145	0.464
2006	247.3	0.4	0.216	0.43	0.046	0.788
2007	327.74	0.41	0.136	0.368	0.102	0.441
2008	245.22	0.43	0.132	0.281	0.121	0.437
2009	836.28	0.37	0.135	0.279	0.195	0.389

Table 5.7.14. (continued)

Year	Coastal Fishery Logbook Bottom Longline SEDAR21-DW-41 Sensitivity (Rank=1) Index Values	CV	Southeast Pelagic Longline Logbook SEDAR21-DW-08 Sensitivity (Rank=2) Index Values	CV
1986				
1987				
1988				
1989				
1990				
1991				
1992	1.600533007	0.25382		
1993	0.671012969	0.55134		
1994	0.093402117	0.57802	0.106	0.379
1995	0.229030818	0.46301	2.276	0.294
1996	0.793330522	0.20805	2.23	0.293
1997	0.999969577	0.20944	1.467	0.302
1998	1.210310564	0.20334	1.58	0.307
1999	1.44285449	0.20872	1.884	0.306
2000	1.370908513	0.21004	1.931	0.305
2001	1.234203727	0.20555	1.694	0.312
2002	1.291165135	0.20314	1.714	0.316
2003	1.157322571	0.2053	1.5	0.315
2004	0.968341774	0.20576	1.731	0.306
2005	1.009314056	0.20944	1.338	0.318
2006	0.974719023	0.20386	1.231	0.323
2007	0.953581134	0.24345	0.747	0.334
2008			0.675	0.368
2009			0.817	0.361

Table 5.7.15. Indices recommended by the Indices Working Group for a model base run for dusky sharks (*Carcharhinus obscurus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Northeast Longline	SEDAR21-DW-28	Independent	1
SEFSC Shark Bottom Longline Observer Program	SEDAR21-DW-02	Dependent	1
Southeast Pelagic Longline Observer Program	SEDAR21-DW-08	Dependent	2
VIMS Longline	SEDAR21-DW-18	Independent	3
Large Pelagic Survey	SEDAR21-DW-44	Dependent	4

Table 5.7.16. Indices recommended by the Indices Working Group for a model sensitivity run for dusky sharks (*Carcharhinus obscurus*), including the corresponding SEDAR document number, index type (fishery independent or dependent) and overall ranking. Rankings are the working group's recommendation for index weighting.

Index Name	SEDAR Document Number	Index Type	Rank
NMFS Historical Longline	SEDAR21-DW-31	Independent	1
UNC Longline	SEDAR21-DW-33	Independent	1

Table 5.7.17. Fishery independent indices recommended by the Indices Working Group for dusky sharks (*Carcharhinus obscurus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	NMFS Northeast Longline SEDAR21-DW-28 Base (Rank=1)		VIMS Longline SEDAR21-DW-18 Base (Rank=3)	
Year	Index Values	CV	Index Values	CV
1961				
1962				
1963				
1964				
1965				
1966				
1967				
1968				
1969				
1970				
1971				
1972				
1973				
1974				
1975			0.876395874	0.517967964
1976				
1977			0.040972429	1.921390289
1978				
1979				
1980			0.46599134	0.542346839
1981			0.371418212	0.519144033
1982				
1983				
1984				
1985				
1986				
1987				
1988				
1989				
1990			0.012919467	2.539903017
1991			0.017329432	2.292280987
1992			0.004484919	5.18132773
1993			0.071628634	1.242009261
1994				
1995			0.034627772	1.835483785
1996	5.74201E-05	0.749211298	0.105525947	0.861412327
1997				
1998	0.00024333	0.528330768	0.035586382	1.52575651
1999			0.172382358	0.945595917
2000			0.260634369	0.682447462
2001	0.000262727	0.484182628	0.061790141	1.277351042
2002			0.198408394	0.949115836
2003			0.03609167	2.162337588
2004	0.000759835	0.306838177	0.204993995	0.712542783
2005			0.44053962	0.689898558
2006			0.567362642	0.498442566
2007	0.000705893	0.516586471	0.058196874	1.118394279
2008			0.026219396	2.036706755
2009	0.002179195	0.340328548	0.580124834	0.747135782

Table 5.7.17. (continued)

	NMFS Historical Longline SEDAR21-DW-31 Sensitivity (Rank=1)		UNC Longline SEDAR21-DW-33 Sensitivity (Rank=1)	
Year	Index Values	CV	Index Values	CV
1961	0.017665043	0.416860684		

1962	0.016279032	0.592465814		
1963	0.010996223	0.821645192		
1964	0.009129835	1.133349923		
1965	0.006310728	0.913194		
1966				
1967				
1968	0.002727223	0.876923275		
1969	0.000755281	0.966046598		
1970	0.002096797	1.346978616		
1971				
1972	0.00031645	1.25275257		
1973			0.016761352	0.550741889
1974			0.041512961	0.435528172
1975	0.001927944	1.329733344	0.084545481	0.440250518
1976	0.000254709	1.384728505	0.044496357	0.55071267
1977	0.000170851	1.494346159	0.052945585	0.439450314
1978	0.000659796	0.903750091	0.011340569	0.713363699
1979	0.000301819	1.411759893	0.013160169	0.498066429
1980	0.000415391	1.067623689	0.005373356	0.701492707
1981	2.21393E-05	1.460702543	0.039916309	0.366515482
1982	0.003316036	0.890468545	0.024773218	0.296236862
1983			0.018095379	0.341375976
1984			0.011946973	0.404113468
1985	0.00359412	0.77807369	0.001660538	0.713209207
1986	0.005128761	0.721393759	0.009314688	0.541793849
1987			0.008337932	0.607974697
1988			0.004030574	0.629929169
1989	0.001168427	1.083012134	0.005815753	0.580750795
1990			0.000881785	0.793412816
1991	0.001010549	1.077299515	0.00744207	1.318544735
1992	0.022346905	1.241987846		
1993			0.001721976	0.792824614
1994	0.001319996	1.054513881	0.004546356	0.791325085
1995				
1996			0.00020589	1.313858721
1997			0.000736139	1.310101947
1998				
1999			0.000658745	1.302799145
2000			0.000248552	1.312373229
2001			0.000429914	1.31106475
2002			0.001705053	0.954124492
2003			0.000255702	1.312491369
2004			0.004185083	0.980398546
2005				
2006			0.000232863	1.307764474
2007			0.000862206	0.972474347
2008			0.001045625	1.320666293
2009			0	

Table 5.7.18. Fishery dependent indices recommended by the Indices Working Group for dusky sharks (*Carcharhinus obscurus*), including the corresponding SEDAR document number, overall ranking and run type (base or sensitivity). Rankings are the working group's recommendation for index weighting.

	SEFSC Shark Bottom Longline Observer Program SEDAR21-DW-02 Base (Rank=1)		Southeast Pelagic Longline Observer Program SEDAR21-DW-08 Base (Rank=2)		Large Pelagic Survey SEDAR21-DW-44 Base (Rank=4)	
Year	Index Values	CV	Index Values	CV	Index Values	CV
1986					1.353	0.123
1987					1.355	0.121
1988					1.148	0.298
1989					1.179	0.168
1990					0.89	0.154
1991					0.889	0.16
1992			2.279	0.274	0.284	0.292
1993			1.06	0.218	0.785	0.242
1994	6.64	0.39	1.724	0.217	0.338	0.377
1995	14.05	0.34	0.689	0.258	0.376	0.322
1996	12.01	0.34	0.676	0.29	0.616	0.412
1997	21.86	0.36	0.309	0.353	0.589	0.378
1998	13.11	0.38	0.805	0.296	0.321	0.491
1999	21.46	0.39	0.217	0.392	0.337	0.677
2000	7.16	0.66	0.454	0.307	0.316	0.526
2001	9.02	0.44	0.196	0.373	0.192	0.658
2002	2.73	0.51	0.096	0.889	0.403	0.611
2003	3.62	0.37	0.058	0.632	0.261	0.38
2004	3.98	0.38	0.314	0.311	0.384	0.337
2005	4.42	0.5	0.254	0.297	0.459	0.335
2006	5.54	0.55	0.454	0.284	0.212	0.458
2007	6.62	0.66	0.182	0.32	0.763	0.242
2008	9.29	0.62	0.126	0.425	0.925	0.208
2009	14.26	0.32	0.114	0.294	0.614	0.257

5.8. *FIGURES*

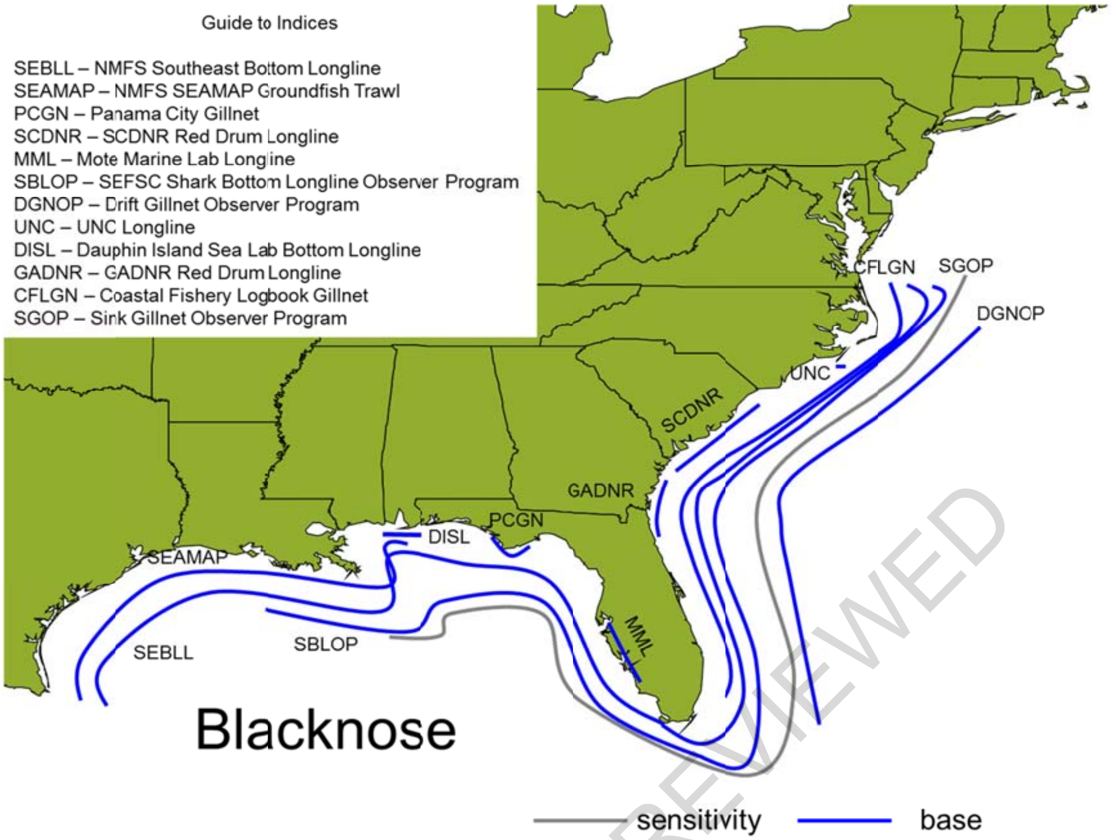

Figure 5.8.1. Approximate linear coverage of specific abundance indices for blacknose sharks (*Carcharhinus acronotus*) along the coast of the Gulf of Mexico and Atlantic Ocean.

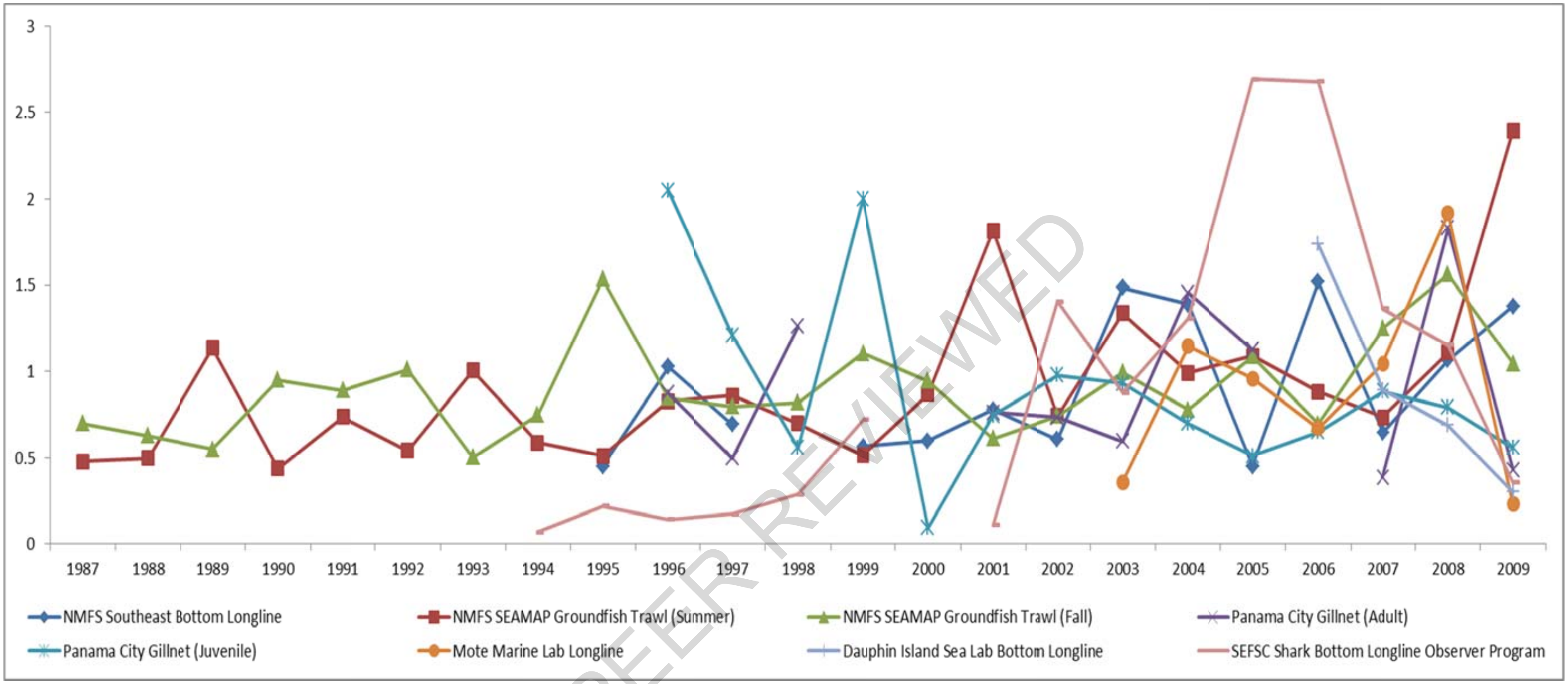

Figure 5.8.2. Plots of mean yearly CPUE for each index recommended for the Gulf of Mexico stock of blacknose sharks (*Carcharhinus acronotus*) by the working group. Values were normalized to a common scale by dividing yearly CPUE of each index by the mean CPUE (across all indices) for those years common to all indices.

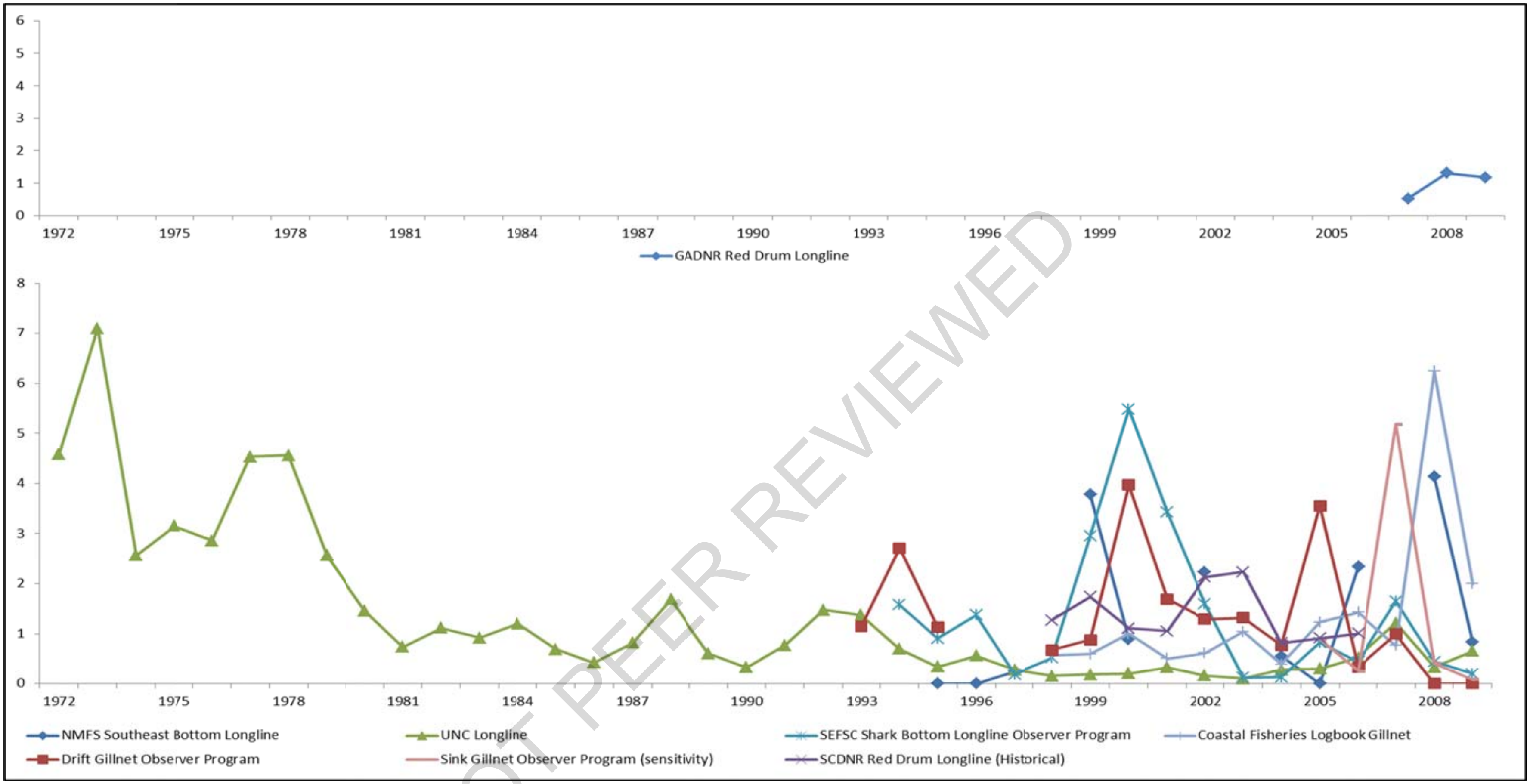

Figure 5.8.3. Plots of mean yearly CPUE for each index recommended for the Atlantic Ocean (ATL) stock of blacknose sharks (*Carcharhinus acronotus*) by the working group. Values were normalized to a common scale by dividing yearly CPUE of each index by the mean CPUE (across all indices) for those years common to all indices. The GADNR Red Drum Longline index was plotted separately (top graph) because several of the other blacknose shark ATL indices had few or no years in common with that index, thereby preventing normalization to a common scale. The GADNR Red Drum Longline index was normalized by dividing the yearly CPUEs by the mean of the series.

Figure 5.8.4. Plots of mean yearly CPUE (middle and bottom graphs) for each index recommended for the combined stock of blacknose sharks (*Carcharhinus acronotus)* by the working group. Values were normalized to a common scale by dividing yearly CPUE of each index by the mean CPUE (across all indices) for those years common to all indices. The Dauphin Island Sea Lab Bottom Longline, GADNR Red Drum Longline and Sink Gillnet Observer Program indices were plotted separately (top graph) because several of the other blacknose shark indices had few or no years in common with those two indices, thereby preventing normalization to a common scale. The Dauphin Island Sea Lab Bottom Longline, GADNR Red Drum Longline and Sink Gillnet Observer Program indices were normalized by dividing the yearly CPUE of each index by the mean CPUE of the three indices for those years common to both indices.

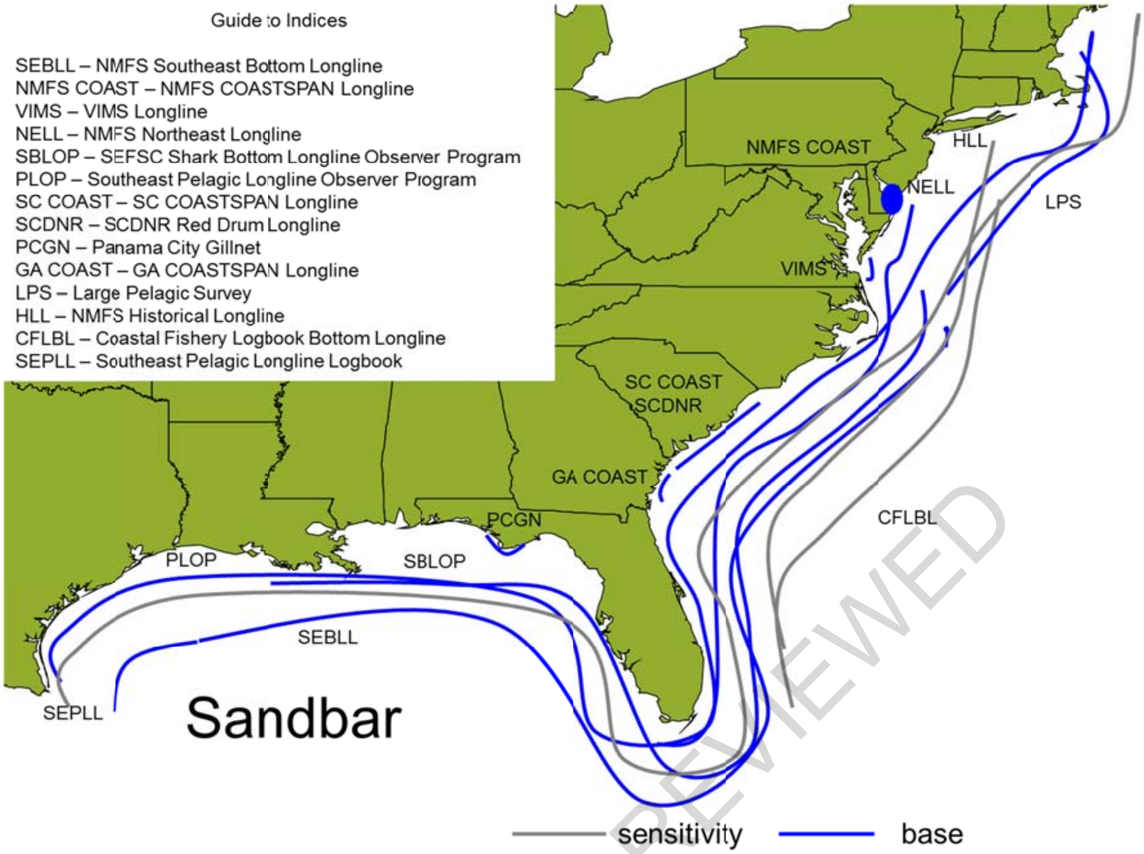

Figure 5.8.5. Approximate linear coverage of specific abundance indices for sandbar sharks (*Carcharhinus plumbeus*) along the coast of the Gulf of Mexico and Atlantic Ocean.

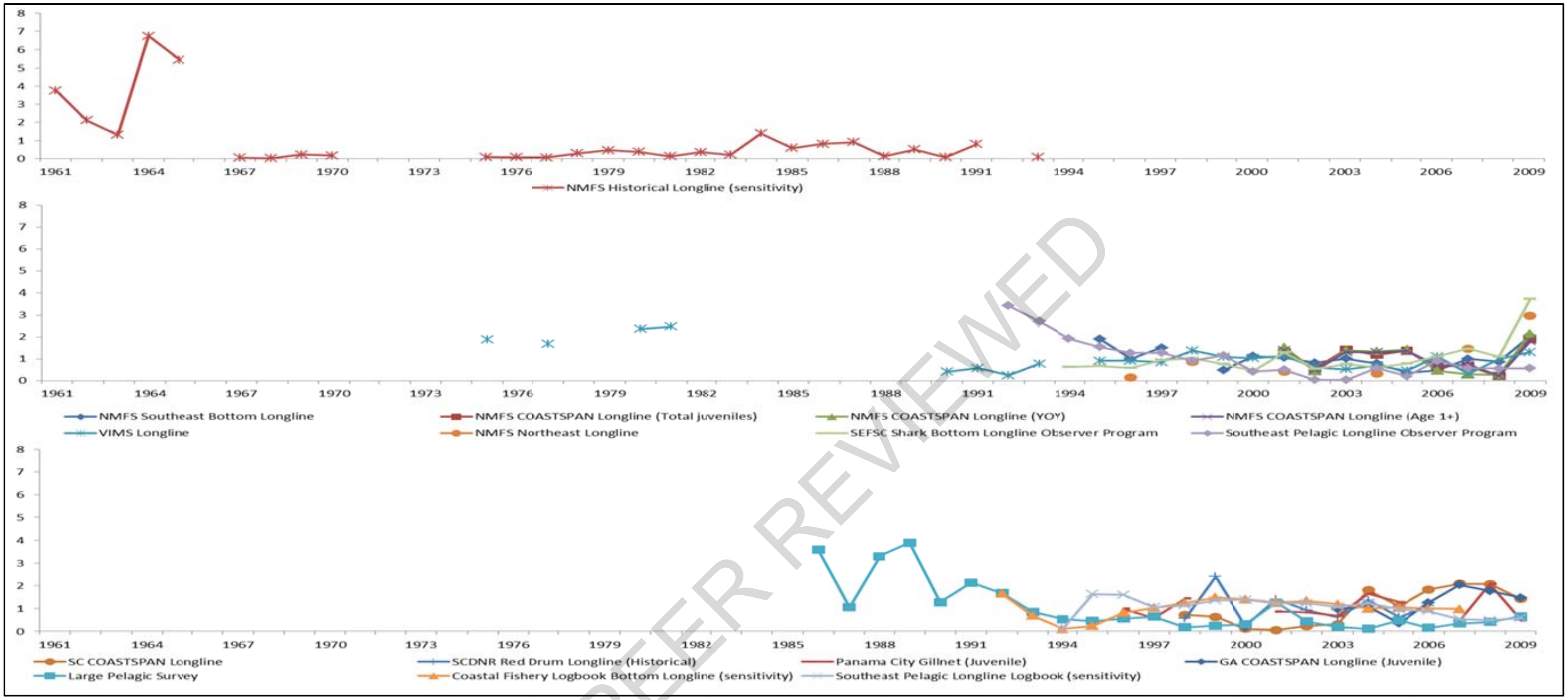

Figure 5.8.6. Plots of mean yearly CPUE (middle and bottom graphs) for each index recommended for sandbar sharks (*Carcharhinus plumbeus*) by the working group. Values were normalized to a common scale by dividing yearly CPUE of each index by the mean CPUE (across all indices) for those years common to all indices. The NMFS Historical Longline index was plotted separately (top graph) because several of the other sandbar shark indices had few or no years in common with the index, thereby preventing normalization to a common scale. The NMFS Historical Longline index was normalized by dividing the yearly CPUEs by the mean of the series.

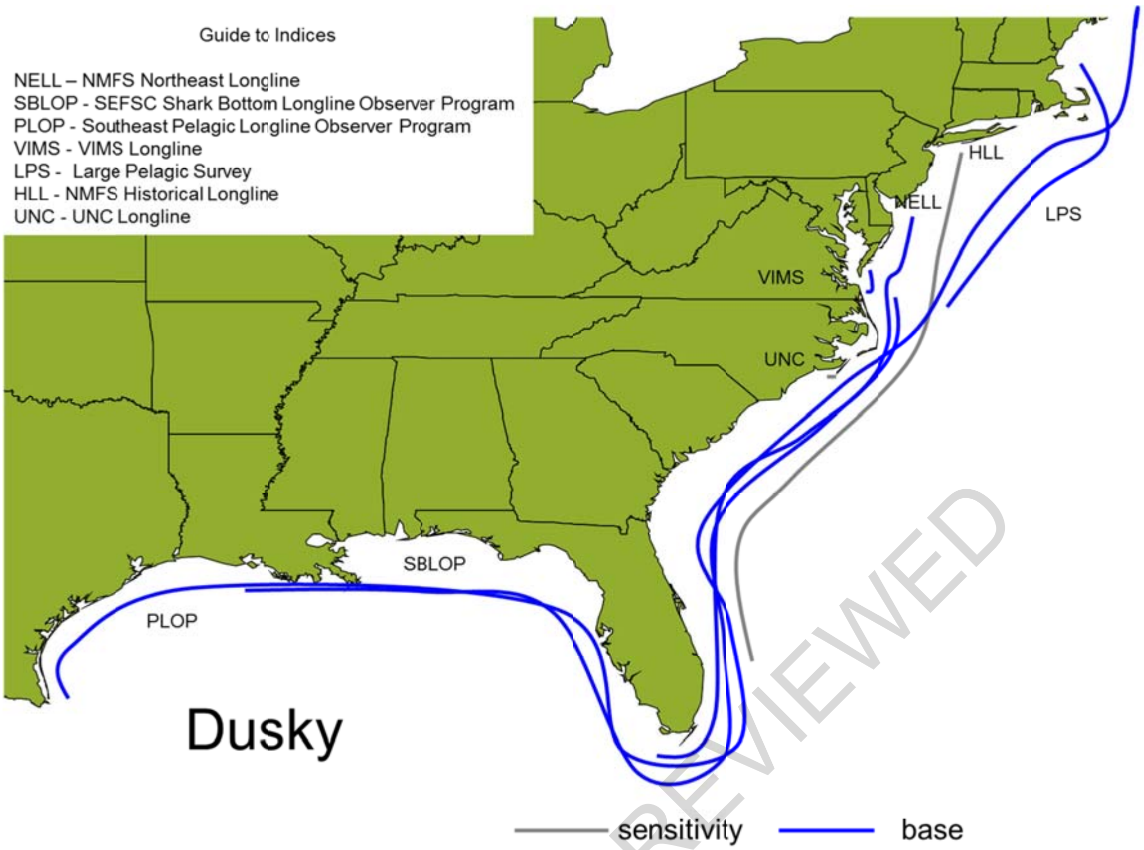

Figure 5.8.7. Approximate linear coverage of specific abundance indices for dusky sharks (*Carcharhinus obscurus*) along the coast of the Gulf of Mexico and Atlantic Ocean.

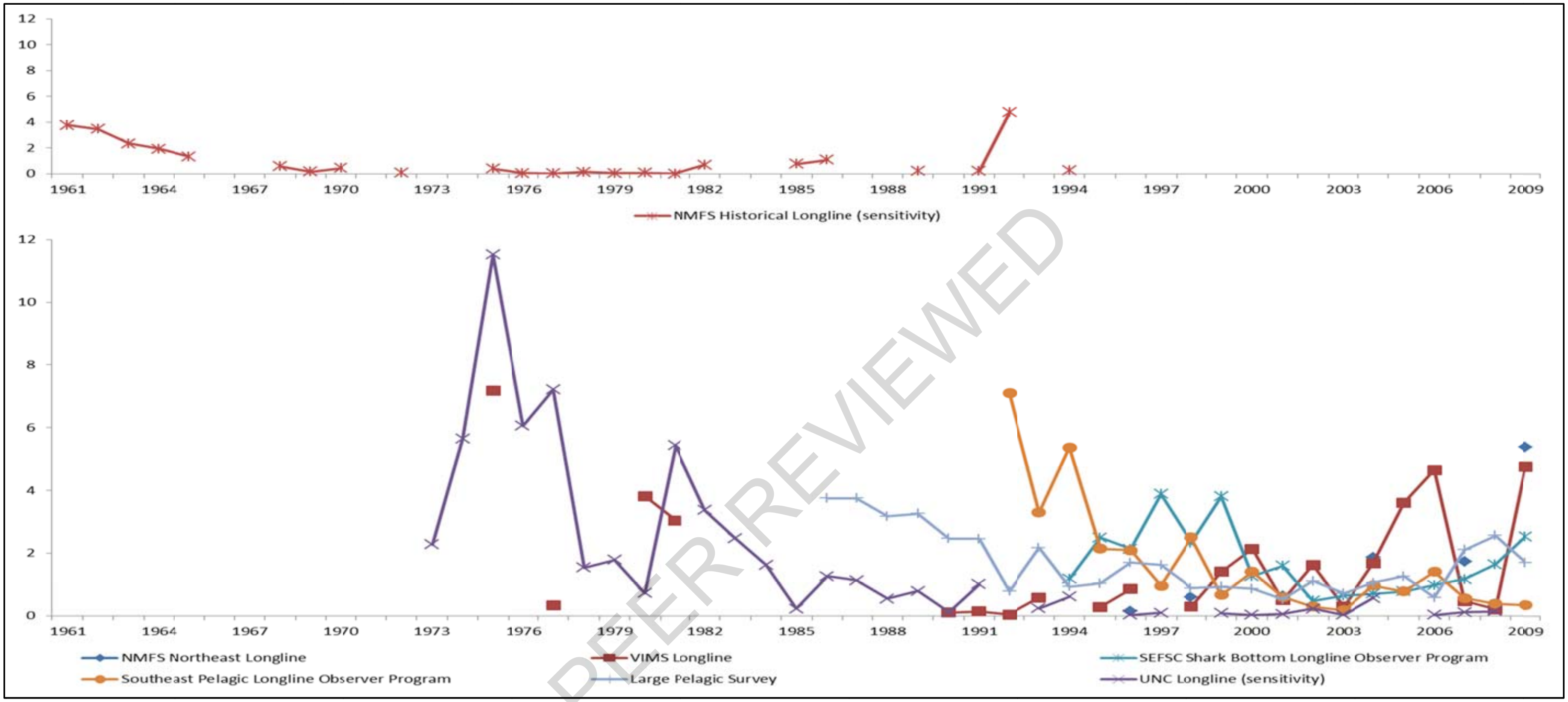

Figure 5.8.8. Plots of mean yearly CPUE for each index recommended for dusky sharks (*Carcharhinus obscurus*) by the working group. Values were normalized to a common scale by dividing yearly CPUE of each index by the mean CPUE (across all indices) for those years common to all indices. The NMFS Historical Longline index was plotted separately (top graph) because several of the other Dusky shark indices had few or no years in common with that index, thereby preventing normalization to a common scale. The NMFS Historical Longline index was normalized by dividing the yearly CPUEs by the mean of the series.

5.9. *Appendix: Evaluation of Abundance Indices for SEDAR 21.*

Evaluation of Abundance Indices for SEDAR 21: Panama City Gillnet (SEDAR21-DW-01)

DESCRIPTION OF THE DATA SOURCE

Working Group Comments:

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.				✓
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.				✓
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).			✓	
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?	✓			
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3E. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Working Group Comments:

2B. AOD

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				✓
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.	✓			
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

The feasibility of this diagnostic is still under review.

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline ****	*Author and Rapporteur Signatures*
First Submission	6/21/10	accept as is		
Revision				

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose Gulf of Mexico adult index - recommended for model base run (ranking=3)

Blacknose Gulf of Mexico juvenile index - recommended for model base run (ranking=3)

Sandbar Gulf of Mexico juvenile index - recommended for model base run (ranking=4)

Data used to construct these indices were collected in a fishery independent sampling program. The index covered a relatively small geographic area, however, because it was a fishery independent program the limitations of fishery dependent data were not present. The time series was fairly lengthy, 1996-2009, with three years of missing data in the blacknose adult index. Only a single year of data was missing from the sandbar index. The blacknose juvenile index had no missing years of data.

The working group recommended these indices for use in base runs of the models. The indices' rankings were relatively low due to the limited spatial coverage of the indices and the lesser importance of the northern Gulf of Mexico as juvenile habitat compared to some Atlantic estuaries.

Evaluation of Abundance Indices for SEDAR 21: SEFSC Shark Bottom Longline Observer Program (SEDAR21-DW-02)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE			✓	
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				✓

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.				✓
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).	✓			
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3E confidential data

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)			✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				✓
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				✓
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1B. AOD
2E. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline ****	*Author and Rapporteur Signatures*
First Submission	5/21/10	split SA/GOM sandb	6/23/10	
Revision	6/23/10			

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommend for use in base run of model (ranking=2)
Dusky - recommend for use in base run of model (ranking=1)
Blacknose - recommend for use in base run of model (ranking=4)

Data used to construct these indices was fishery dependent, observer reported data. Observed vessels were in the directed shark fishery. For sandbar sharks, those vessels included in the experimental fishery (begun in 2008) had 100% observer coverage. The data time series is long (1994-2009) compared to many of the other data sets. In addition, the index covers the area from Louisiana to North Carolina and is among the more geographically extensive indices.

The working group did have some concern with the large increase in CPUE during 2009 in the sandbar index. There was some discussion that the increase may not be real, but was an artifact of management decisions (i.e. change in catchability with implementation of the experimental fishery). Other indices also had increases in cpue during 2009, however. The working group did not recommend a reanalysis of those data other than splitting the index into Gulf of Mexico and south Atlantic indices.

The working group recommended that the indices constructed for each species be included in base runs of the models. That decision was based upon the long time series, large geographic coverage, and that the data were observer reported from the directed fishery. The blacknose shark index was ranked lower because that species was not targeted by the shark bottom longline fishery.

Evaluation of Abundance Indices for SEDAR 21: Drift Gillnet Observer Program (SEDAR21-DW-03)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).				✓
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

	Not Applicable	Absent	Incomplete	Complete
2. Management Regulations (for FD Indices)				
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE				✓
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				✓
3. Describe Analysis Dataset (after exclusions and other treatments)				
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).		✓		
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓
4. Model Standardization				
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3C,D. AOD
3E. confidential data

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Item	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				✓
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/10	accept as is		
Revision				

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose - recommend for use in base model run (ranking=4)

This index was constructed using fishery dependent observer data, was a relatively long time series (1993-2007), and is limited to the south Atlantic. The working group recommended this index for a base model run because of the length of the time series and the spatial scale of the index. Although the data were fishery dependent, they were reported from observers and were believed to be more accurate than self-reported data. The low ranking of the index was due to the data being fishery dependent.

Evaluation of Abundance Indices for SEDAR 21: Sink Gillnet Observer Program (SEDAR21-DW-04)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE				✓
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				✓

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.				✓
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.			✓	

Working Group Comments:

3D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
1. Binomial Component					
A. Include plots of the chi-square residuals by factor.				✓	2B,D,E. AOD
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓	
2. Lognormal/Gamma Component					
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓	
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓		
F. Include plots of the residuals by factor				✓	
3. Poisson Component					
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.					
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.					
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.					
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.					***The feasibility of this diagnostic is still under review.***
4. Zero-inflated model					
A. Include ROC curve to quantify goodness of fit.					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).					
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.					

MODEL DIAGNOSTICS (CONT.)

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/04/10	limit to SA	6/23/10	
Revision	6/23/10			

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose - recommended for model sensitivity run (ranking=1)

The time series of this index is short, therefore the working group recommended that the index be used in a model sensitivity run. The index constructed using coastal logbook data was recommended for the base model run. Those two indices track the same portion of the blacknose population, those animal caught in the south Atlantic fishery. Although the working group recognized that observer data is preferred to self-reported data, the available time series of observer data was considered too short for construction of an informative index of abundance. With additional years of data, however, the sink gill net observer data will useful for index construction.

Evaluation of Abundance Indices for SEDAR 21:
Southeast Pelagic Longline Observer Program (SEDAR21-DW-08)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

	Not Applicable	Absent	Incomplete	Complete
2. Management Regulations (for FD Indices)				
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			
3. Describe Analysis Dataset (after exclusions and other treatments)				
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓
4. Model Standardization				
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.			✓	

Working Group Comments:

3A-D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
1. Binomial Component					
A. Include plots of the chi-square residuals by factor.				✓	2B-E. AOD
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)		✓			
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).		✓			
2. Lognormal/Gamma Component					
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.			✓		
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓		
F. Include plots of the residuals by factor				✓	
3. Poisson Component					
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.					
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.					
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.					
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.					***The feasibility of this diagnostic is still under review.***
4. Zero-inflated model					
A. Include ROC curve to quantify goodness of fit.					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).					
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.					

MODEL DIAGNOSTICS (CONT.)

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	5/27/10	use observer series	N/A	
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommended for use in base model run (ranking=2)
Dusky - recommended for use in base model run (ranking=2)

The data set used to construct these indices contains fishery dependent (commercial longline) data reported by observers. Species misidentification is therefore minimized, while effort and location are accurately reported. Spatial coverage of this index included the entire Gulf of Mexico and US Atlantic coast (matching the largest geographic range among the indices presented). The observer coverage of the pelagic longline fishery was 4-8%. Given the long time series, large spatial coverage, and accuracy of the data the working group recommended these indices for use in a base run of the models.

Evaluation of Abundance Indices for SEDAR 21: Southeast Pelagic Longline Logbook (SEDAR21-DW-08)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.			✓	

Working Group Comments:

3A-D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Working Group Comments: 2B-E. AOD

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)		✓		
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).		✓		

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.			✓	
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

The feasibility of this diagnostic is still under review.

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	5/27/10	use observer series		
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommended for model sensitivity run (ranking=2)

The data set consists of fishery dependent (commercial longline) self-reported data. All self-reported data issues (e.g. species misidentification) are present, Data are set based with set location reported to the minute of latitude and longitude, however, suggesting that effort and fishing location were more accurately reported than in some other self-reported data sets. Spatial coverage of this index included the entire Gulf of Mexico and US Atlantic coast (matching the largest geographic range among the indices presented). The working group recommended this index for a sensitivity run of the model due to the many limitations of self-reported data and because an index constructed using observer data from this fishery was available.

Evaluation of Abundance Indices for SEDAR 21: MRFSS (SEDAR21-DW-11)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.		✓		

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.		✓		

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).				✓
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).		✓		
B. Describe the effects (if any) of management regulations on CPUE			✓	
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				✓

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).			✓	
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.			✓	

Working Group Comments:

2B. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.		✓		
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)		✓		
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).		✓		

2. Lognormal/Gamma Component	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.		✓		
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.		✓		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.		✓		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.		✓		
F. Include plots of the residuals by factor		✓		

3. Poisson Component	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/10	not recommended		
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

The working group did not recommend the use of indices constructed using MRFSS data. The working group did recognized that the indices were produced properly using the available data. The limitations of those self-reported data, acquired during dockside interviews, were believed to be too significant for the indices to be recommended for use, however.

Evaluation of Abundance Indices for SEDAR 21: VIMS Longline (SEDAR21-DW-18)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

	Not Applicable	Absent	Incomplete	Complete
2. Management Regulations (for FD Indices)				
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			
3. Describe Analysis Dataset (after exclusions and other treatments)				
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.				✓
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓
4. Model Standardization				
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)			✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).			✓	
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.			✓	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1B,C. AOD
2A,B,D,E. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/10	rerun w/100% pos	????	
Revision	???	accept as revised		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommended for model base run (ranking=2)

Dusky - recommended for model base run (ranking=3)

The working group recommended that these data be reanalyzed with 100% positive years included in the time series. The working group recognized that the Chesapeake Bay includes important juvenile/pupping habitat for sandbar and dusky sharks. These indices were constructed using data collected from fixed stations at the mouth of Chesapeake Bay. Sampling has been ongoing since 1975 using consistent methods. Although the spatial scale of these indices were limited, the working group recommended the indices be used in model base runs because of the length of the time series, the sampling location, and the consistent survey design.

Evaluation of Abundance Indices for SEDAR 21:
Dauphin Island Sea Lab Bottom Longline (SEDAR21-DW-25)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

1C. group recommends excluding stations within Mobile Bay and those beyond 20 meters

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.		✓	✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).		✓		
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3A-D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
A. Include plots of the chi-square residuals by factor.				✓	
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓	

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.		✓			
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓	
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.		✓			
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.		✓			
F. Include plots of the residuals by factor				✓	

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.					
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.					
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.					
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.					*The feasibility of this diagnostic is still under review.*

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
A. Include ROC curve to quantify goodness of fit.					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).					
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.					

MODEL DIAGNOSTICS (CONT.)

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/4/10	revise (see below)	6/23/10	
Revision	6/23/10	base run		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose - Gulf of Mexico - recommend for base model run (ranking=5)

Spatially limited, temporally limited, but is a fishery independent survey. GOM blacknose indices are few and no reason to exclude this index. Revise by excluding stations within Mobile Bay and those beyond 20 meters depth.

Evaluation of Abundance Indices for SEDAR 21: NMFS COASTSPAN Longline (SEDAR21-DW-27)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3B,C,D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	**Working Group Comments:**

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/22/2010	see below		
Revision		base		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

DW-27 - Delaware Bay juvenile sandbars

workshop recommendations: run with new code and also split out yoy and age 1+ as done in last assessment.

Time series recommended for base run. This series (all three - yoy, age 1+ and total juvenile sandbar sharks) was used as base in the last stock assessment. Since that time this time series has been updated through 2009 giving it a nine year time span. This is a standardized survey which uses random stratified sampling based on depth within geographic regions and covers the entire Delaware Bay. This bay is one of two principle nursery areas for the sandbar shark in east coast waters of the U.S. The CVs look great and this time series provides a great juvenile sandbar shark index.

Since all three Delaware Bay indices were used in the last stock assessment and the total juvenile index is a combination of the yoy and age 1+ indices, it may be beneficial to use the total juvenile sandbar shark index for continuity and the yoy and age 1+ indices in the base run.

Evaluation of Abundance Indices for SEDAR 21: NMFS Northeast Longline (SEDAR21-DW-28)

DESCRIPTION OF THE DATA SOURCE

Working Group Comments:

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3A,B,C,D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	Workshop Recommendation	Revision Deadline ***	Author and Rapporteur Signatures
First Submission	6/22/10	rerun with new code	6/23/10	
Revision	6/23/10	base		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

DW28 - NE LL

Sandbar - include in base run (ranking=2)

Dusky - include in base run (ranking=1)

This time series was recommended for use in base analyses for both sandbar and dusky sharks. Even though this survey is conducted at fixed stations, it is a highly standardized survey and covers a large portion of both the dusky and sandbar shark's geographic range (off the Florida Keys to New Jersey coastal waters). Sandbar and dusky sharks are the primary shark species caught during this coastal shark longline survey due to the timing of the survey with their migration up the coast. During the last stock assessment for these species, this time series was used for sensitivity analyses. Since then, this time series has been updated with data through 2009, and included recovered surface water temperature and depth data.

Evaluation of Abundance Indices for SEDAR 21:
GA COASTSPAN Longline / GADNR Red Drum Longline (SEDAR21-DW-29)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

Working Group Comments:

3B,C,D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/2010	run using new code		
Revision		see below		

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

DW-29 GADNR red drum and GA COASTSPAN surveys

Sandbar (red drum survey) - Not recommended.
The model diagnostic plots reveal that the residual positive catch distribution is not normally distributed. This is a relatively new survey (3 year time series) and as the time series develops it may provide a useful index in future assessments. At this time it is recommended that GADNR continues to collect sandbar shark catch information from their red drum survey and submit it to future SEDAR data workshops for further evaluation.

Blacknose (red drum survey) - Recommended for base.
Even though this is a short time series (3 years), model diagnostics are acceptable, the CVs look good and it covers the majority of the blacknose shark size range from yoy to adult. This time series also samples an area of the blacknose shark distribution not covered by other time series

Sandbar (GA COASTSPAN) - Recommended for base.
This time series was not available during the last sandbar shark assessment. This time series spans nine years and provides a juvenile sandbar shark index for Georgia's coastal waters. This index provides information on a portion of the US Atlantic sandbar population not sampled by other surveys because it is conducted in GA waters during the summer months when many of the sandbar juveniles have migrated north to cooler waters

Evaluation of Abundance Indices for SEDAR 21: SC COASTSPAN Longline / SCDNR Red Drum Longline (SEDAR21-DW-30)

DESCRIPTION OF THE DATA SOURCE

Working Group Comments:

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✔
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✔
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✔
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✔
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✔
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✔

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✔			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✔			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✔			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✔			
B. Describe the effects (if any) of management regulations on CPUE	✔			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✔			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✔
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✔	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✔	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✔	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✔
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✔
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✔

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✔
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✔
C. Describe inclusion criteria for factors and interactions terms.				✔
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✔
E. Provide a table summarizing the construction of the GLM components.				✔
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✔
G. Report convergence statistics.				✔

Working Group Comments:

3B,C,D. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
1. Binomial Component					
A. Include plots of the chi-square residuals by factor.				✔	2B,D. AOD
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✔	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✔	
2. Lognormal/Gamma Component					
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✔	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✔		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✔	
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✔		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✔	
F. Include plots of the residuals by factor				✔	
3. Poisson Component					
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.					
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.					
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.					
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.					***The feasibility of this diagnostic is still under review.***
4. Zero-inflated model					
A. Include ROC curve to quantify goodness of fit.					
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).					
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.					

MODEL DIAGNOSTICS (CONT.)

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✔
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✔

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline ****	*Author and Rapporteur Signatures*
First Submission	6/21/2010	run with new code		
Revision		see below		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

DW-30 - Sandbar (SC COASTSPAN) - Recommended for base. This time series was not available during the last sandbar shark assessment. The model diagnostics and the CVs look good. This index provides information on a portion of the US Atlantic sandbar population not sampled by other surveys. It is conducted in SC waters during summer months when many sandbar juveniles have migrated north to cooler waters.

DW-30 - Sandbar (SCDNR red drum - hist (98-06) - Recommended for base. This time series was not available during the last sandbar shark assessment. The time series spans nine years and covers the majority of the sandbar shark's size range. The model diagnostics and CVs look good. In addition it also provides information on a portion of the US Atlantic sandbar population not sampled by other surveys because it is conducted in SC waters during the summer months when many of the sandbar juveniles have migrated north to cooler waters.

DW-30 - Blacknose (SCDNR red drum - hist (98-06) - Recommended for base. This time series was used as base in the last blacknose assessment. Since last used it has been updated through 2006 (the final year of this time series before gear and sampling design changes) and includes recovered depth data. The model diagnostics and CVs look good. This time series also samples an area of the blacknose shark distribution not covered by other time series.

DW-30 - Sandbar and Blacknose (SCDNR red drum - new (07-09) - Not recommended. The model diagnostic plots reveal the residual positive catch distribution is not normally distributed. This is a relatively new survey (3 year time series) and as it develops it should provide a useful index for future assessments. It is recommended that SCDNR continues to collect sandbar shark catch information from their red drum survey and submit it to future SEDAR data workshops for further evaluation.

Evaluation of Abundance Indices for SEDAR 21: NMFS Historical Longline (SEDAR21-DW-31)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

Working Group Comments:

3A,B,C,D. AOD

2. Management Regulations (for FD Indices)

Item	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

Item	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/22/10	rerun with new code	6/23/10	
Revision	6/23/10	sensitivity		

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommended for sensitivity model run (ranking=1).
Dusky - recommended for sensitivity model run (ranking=1).

These indices were not recommended for base runs of the models due to small sample size and inconsistent sampling effort over the entire US south Atlantic. The proportion of positive dusky shark sets was low, approximately 9% over all years. Although the time series was long (1961-1996), total sets in many years was low. The highest number of sets in any year was 74, however, in most years fewer than 30 sets were completed. The working group was concerned that so few sets per year may not be sufficient to adequately follow the trends in the sandbar and dusky shark populations over the broad geographic range of the survey. In future data workshops for these species, it may be beneficial to restrict the survey data to the waters off the northeast US.

Evaluation of Abundance Indices for SEDAR 21: UNC Longline (SEDAR21-DW-33)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

3B,C,D. AOD

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).			✓	
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)				✓
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				✓
F. Include plots of the residuals by factor				✓

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

2B,D. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	Workshop Recommendation	Revision Deadline ***	Author and Rapporteur Signatures
First Submission	6/22/2010	rerun with new code		
Revision	6/24/2010			

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

DW-33 -UNC LL - Blacknose - base
Even though the UNC LL survey is only two fixed stations at the northern end of the blacknose range, this species was regularly encountered during the survey years. This time series is recommended for base because of the long time series and lack of blacknose data available in the Atlantic. This time series was used as base in the 2007 stock assessment for blacknose sharks. The current time series has been updated with data through 2009, including recovered temperature data and data corrections detailing missing water hauls and missing or incorrect information pertaining to individual animal records, since it was used in the last stock assessment.

DW-33 -UNC LL - Dusky - sensitivity
Dusky sharks are a good portion of the overall UNC catch but they are transient in the area sampled and could easily be missed by the two fixed stations. There are a few years during the time series when there were no dusky catch throughout the entire year Because this is such a long time series, dusky time series are scarce, and dusky sharks are only second to the blacknose in numbers caught throughout the lifetime of the survey, it is recommended that this time series be used in sensitivity analyses.

DW-33 - UNC LL - Sandbar - not recommended
As with dusky sharks, sandbar sharks are transient in this area and many are likely to bypass the sampling area during their migrations. The overall and yearly proportions of positive sets is low and there are numerous years without any sandbar shark catch. Due to the limited sampling area and the abundance of other time series available for this species, it is not recommended to use this time series for sandbar sharks.

Evaluation of Abundance Indices for SEDAR 21: Mote Marine Lab Longline (SEDAR21-DW-34)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).		✓		
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.		✓		

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

Working paper DW34 describes survey design

2. Management Regulations (for FD Indices)

Item	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				
B. Describe the effects (if any) of management regulations on CPUE				
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				

3. Describe Analysis Dataset (after exclusions and other treatments)

Item	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.		✓		
B. Include tables and/or figures of number of positive observations by factors and interaction terms.		✓		
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.		✓		
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.		✓		
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).		✓		
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.		✓		
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).		✓		

4. Model Standardization

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?		✓		
E. Provide a table summarizing the construction of the GLM components.		✓		
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)	✓			
G. Report convergence statistics.		✓		✓

Working Group Comments:

3A-G. AOD, indices from this data set were produced at the data workshop and methodology for constructing those indices was not included in the working paper. Index methods were reported verbally by the analyst.

4E,G. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.		✓		
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)		✓		
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).		✓		

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.		✓		
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.		✓		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.		✓		
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.		✓		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.		✓		
F. Include plots of the residuals by factor		✓		

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1A-C. AOD
2A-F. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report		✓		
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).		✓		

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

Model Results A, B. AOD.

	Date Received	*Workshop Recommendation*	*Revision Deadline ****	*Author and Rapporteur Signatures*
First Submission	6/25/10	accept as prepared	N/A	
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose GOM (longline index) - recommended for use in a base model run (ranking=3)

The data set included longline, drumline, and gillnet data. Only the longline data were useful for constructing an index of abundance. Analyses were conducted during the data workshop due to late arrival of the data.

These data were fisheries independent, collected during a survey using standardized methods. The ranking was based upon the relatively short time series and limited spatial coverage of the survey.

Evaluation of Abundance Indices for SEDAR 21: NMFS Southeast Bottom Longline (SEDAR21-DW-39)

Working Group Comments:

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).	✓			
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.	✓			

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.		✓		
B. Include tables and/or figures of number of positive observations by factors and interaction terms.		✓		
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.		✓		
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.		✓		
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?	✓			
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)		✓		
G. Report convergence statistics.		✓		

Working Group Comments:

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Working Group Comments:

1. Binomial Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include plots of the chi-square residuals by factor.			✓	
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)		✓		
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).			✓	

2. Lognormal/Gamma Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.			✓	
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.		✓		
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.			✓	
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.		✓		
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.		✓		
F. Include plots of the residuals by factor			✓	

3. Poisson Component

Item	Not Applicable	Absent	Incomplete	Complete
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

The feasibility of this diagnostic is still under review.

4. Zero-inflated model

Item	Not Applicable	Absent	Incomplete	Complete
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

Item				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

Item				
A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

Item				
1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/10	accept as submitted		
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

This is a fisheries independent data set that includes a long time series of data and large spatial coverage (TX-NC).

Blacknose south Atlantic - recommend for use in base model (ranking=1)

Blacknose Gulf of Mexico - recommend for use in base model (ranking=1)

Blacknose SA & GOM - recommend for use in base model (ranking=1)

Sandbar SA & GOM - recommend for use in base model (ranking=1)

Dusky south Atlantic - do not use due to very small sample size (11 individuals)

Dusky Gulf of Mexico - do not use due to very small sample size (11 individuals)

Dusky SA & GOM - do not use due to very small sample size (11 individuals)

Evaluation of Abundance Indices for SEDAR 21: Coastal Fishery Logbook Gillnet (SEDAR21-DW-40)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).				✓
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?				✓

Working Group Comments:

2D unknown, data are pounds landed no size data reported

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.		✓		

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).			✓	
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)			✓	
G. Report convergence statistics.			✓	

Working Group Comments:

2B,C No size limit, used open season,No trip limit used as there was no way to account for number of sharks caught (1999-2009 limit of 16 scs/pelagic sharks for combined/trip for incidental permit holders).
3A-E. confidential data
4F,G. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)			✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).			✓	
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1B. Confidential data
1C. AOD
2B,D,E. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	06/24/10	Accept	NA	
Revision				

*The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author **DOES NOT** commit to any **LEGAL OBLIGATION** by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose fisheries dependent gillnet index was recommended for base case due to longer time series data than sink gillnet observer data. Those two indices were constructed using fishery dependent data from the same fishery. (ranking=5)

Evaluation of Abundance Indices for SEDAR 21:
Coastal Fishery Logbook Longline (Sandbar) (SEDAR21-DW-41)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

Item	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).				✓
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?				✓

Working Group Comments:

2D unknown, data are pounds landed no size data reported

2. Management Regulations (for FD Indices)	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE	✓			
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.		✓		

3. Describe Analysis Dataset (after exclusions and other treatments)	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.			✓	
B. Include tables and/or figures of number of positive observations by factors and interaction terms.			✓	
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.			✓	
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).			✓	
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)			✓	
G. Report convergence statistics.			✓	

Working Group Comments:

2B,C add comment
3A-E. confidential data
4F,G. AOD

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Item	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)			✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).			✓	
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.			✓	
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.			✓	
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1B. Confidential data
1C. AOD
2B,D,E. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/10	accept as submitted		
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - this index was recommended for use in a sensitivity model run (ranking=1).

This data set includes fishery dependent, self-reported data. The time series of these data is long (1992-2007) and the spatial coverage is broad (TX-NC), however observer data are available for the fishery. The working group recommended the index constructed from those observer data for use in a base run of the model rather than the index constructed using self-reported data. The working group believed that observer data were more accurate than self-reported data.

Evaluation of Abundance Indices for SEDAR 21:
NMFS SEAMAP Groundfish Trawl (SEDAR21-DW-43)

DESCRIPTION OF THE DATA SOURCE

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				✓
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				✓
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				✓
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				✓
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				
B. Describe any changes to reporting requirements, variables reported, etc.				
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.	✓			
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).	✓			
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

Working Group Comments:

2. Management Regulations (for FD Indices)	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				
B. Describe the effects (if any) of management regulations on CPUE				
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.				

3. Describe Analysis Dataset (after exclusions and other treatments)	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.		✓		
B. Include tables and/or figures of number of positive observations by factors and interaction terms.		✓		
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.		✓		
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.		✓		
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).				✓
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)	✓			
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.	✓			
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)	✓			
G. Report convergence statistics.		✓		

Working Group Comments:

3A-D. AOD

4A. general Bayesian Lo et al. method

4G. AOD.

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Item	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:
1. Binomial Component					
A. Include plots of the chi-square residuals by factor.	✓				Frequentist diagnostics were not applicable for this Bayesian analysis. Diagnostics examined included: posterior probabilities and credible intervals. Also examined, and judged to be sufficient, were mixing of the model and burn-in period.
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)	✓				
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).	✓				
2. Lognormal/Gamma Component					
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.	✓				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.	✓				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.	✓				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.	✓				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.	✓				
F. Include plots of the residuals by factor	✓				
3. Poisson Component					
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).	✓				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.	✓				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.	✓				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.	✓				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.	✓				***The feasibility of this diagnostic is still under review.***
4. Zero-inflated model					
A. Include ROC curve to quantify goodness of fit.	✓				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).	✓				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.	✓				

MODEL DIAGNOSTICS (CONT.)

	Not Applicable	Absent	Incomplete	Complete	Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.	✓			
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.	✓			

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline ****	*Author and Rapporteur Signatures*
First Submission	6/21/2010	accept as prepared	N/A	
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Blacknose GOM - recommended for use in base model run (ranking=2)

These data were collected from a fishery independent survey. The ranking was based upon the relatively extensive spatial coverage (TX-AL) and long time series (1987-2009) of those data. The survey used standardized methods with all changes in methodology known and accounted for in the analysis.

Evaluation of Abundance Indices for SEDAR 21: Large Pelagic Survey (SEDAR21-DW-44)

DESCRIPTION OF THE DATA SOURCE

Working Group Comments:

1. Fishery Independent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the survey design (e.g. fixed sampling sites, random stratified sampling), location, seasons/months and years of sampling.				
B. Describe sampling methodology (e.g. gear, vessel, soak time etc.)				
C. Describe any changes in sampling methodology (e.g. gear, vessel, sample design etc.)				
D. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				
E. What species or species assemblages are targeted by this survey (e.g. red snapper, reef fish, pelagic).				
F. Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				

2. Fishery Dependent Indices

	Not Applicable	Absent	Incomplete	Complete
A. Describe the data source and type of fishery (e.g. commercial handline, commercial longline, recreational hook and line etc.).				✓
B. Describe any changes to reporting requirements, variables reported, etc.				✓
C. Describe the variables reported in the data set (e.g. location, time, temperature, catch, effort etc.).				✓
D Describe the size/age range that the index applies to. Include supporting figures (e.g. size comp) if available.				✓

METHODS

1. Data Reduction and Exclusions

	Not Applicable	Absent	Incomplete	Complete
A. Describe any data exclusions (e.g. gears, fishing modes, sampling areas etc.). Report the number of records removed and justify removal.				✓
B. Describe data reduction techniques (if any) used to address targeting (e.g. Stephens and MacCall, 2004; gear configuration, species assemblage etc).				✓
C. Discuss procedures used to identify outliers. How many were identified? Were they excluded?	✓			

2. Management Regulations (for FD Indices)

	Not Applicable	Absent	Incomplete	Complete
A. Provide (or cite) history of management regulations (e.g. bag limits, size limits, trip limits, closures etc.).				✓
B. Describe the effects (if any) of management regulations on CPUE			✓	
C. Discuss methods used (if any) to minimize the effects of management measures on the CPUE series.			✓	

Working Group Comments:

3E confidential data

3. Describe Analysis Dataset (after exclusions and other treatments)

	Not Applicable	Absent	Incomplete	Complete
A. Provide tables and/or figures of number of observations by factors (including year, area, etc.) and interaction terms.				✓
B. Include tables and/or figures of number of positive observations by factors and interaction terms.				✓
C. Include tables and/or figures of the proportion positive observations by factors and interaction terms.				✓
D. Include tables and/or figures of average (unstandardized) CPUE by factors and interaction terms.			✓	
E. Include annual maps of locations of survey sites (or fishing trips) and associated catch rates ***OR*** supply the raw data needed to construct these maps (Observation, Year, Latitude, Longitude (or statistical grid, area), Catch, Effort).	✓			
F. Describe the effort variable and the units. If more than one effort variable is present in the dataset, justify selection.				✓
G. What are the units of catch (e.g. numbers or biomass, whole weight, gutted weight, kilograms, pounds).				✓

4. Model Standardization

	Not Applicable	Absent	Incomplete	Complete
A. Describe model structure (e.g. delta-lognormal)				✓
B. Describe construction of GLM components (e.g. forward selection from null etc.)				✓
C. Describe inclusion criteria for factors and interactions terms.				✓
D. Were YEAR*FACTOR interactions included in the model? If so, how (e.g. fixed effect, random effect)? Were random effects tested for significance using a likelihood ratio test?				✓
E. Provide a table summarizing the construction of the GLM components.				✓
F. Summarize model statistics of the mixed model formulation(s) (e.g. log likelihood, AIC, BIC etc.)				✓
G. Report convergence statistics.				✓

MODEL DIAGNOSTICS

Comment: Other model structures are possible and acceptable. Please provide appropriate diagnostics to the CPUE indices working group.

Item	Not Applicable	Absent	Incomplete	Complete
1. Binomial Component				
A. Include plots of the chi-square residuals by factor.				✓
B. Include plots of predicted and observed proportion of positive trips by year and factor (e.g. year*area)			✓	
C. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				✓
2. Lognormal/Gamma Component				
A. Include histogram of log(CPUE) or a histogram of the residuals of the model on CPUE. Overlay the expected distribution.				✓
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				✓
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				✓
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				✓
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.			✓	
F. Include plots of the residuals by factor				✓
3. Poisson Component				
A. Report overdispersion parameter and other fit statistics (e.g. chi-square / degrees of freedom).				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor.				
C. Include QQ-plot – (e.g. Student deviance residuals vs. theoretical quantiles), Overlay expected distribution.				
D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				
4. Zero-inflated model				
A. Include ROC curve to quantify goodness of fit.				
B. Include plots describing error distribution (e.g. Studentized residuals vs. linear predictor).				
C. Include QQ-plot (e.g. Student dev. residuals vs. theoretical quantiles), Overlay expected distribution.				

Working Group Comments:

1B. AOD
2E. AOD

The feasibility of this diagnostic is still under review.

MODEL DIAGNOSTICS (CONT.)

	Not Applicable	Absent	Incomplete	Complete

Working Group Comments:

D. Include diagnostic plot for variance function (e.g. square root of std residuals vs. fitted values). Overlay expected distribution.				
E. Include diagnostic plot for link function (e.g. linear response variable vs. linear predictor). Overlay expected distribution.				

MODEL RESULTS

A. Tables of Nominal CPUE, Standardized CPUE, Observations, Positive Observations, Proportion Positive Observations and Coefficients of Variation (CVs). Other statistics may also be appropriate to report				✓
B. Figure of Nominal and Standardized Indices with measure of variance (i.e. CVs).				✓

IF MULTIPLE MODEL STRUCTURES WERE CONSIDERED:

(***Note: this is always recommended but required when model diagnostics are poor***.)

1. Plot of resulting indices and estimates of variance				
2. Table of model statistics (e.g. AIC criteria)				

	Date Received	*Workshop Recommendation*	*Revision Deadline* ***	*Author and Rapporteur Signatures*
First Submission	6/21/2010	accept as is		
Revision				

The revision deadline is negotiated by the author, the SEDAR coordinator and the CPUE rapporteur. The author ***DOES NOT*** *commit to any* ***LEGAL OBLIGATION*** *by agreeing to submit a manuscript before this deadline. The maximum penalty for failure to submit a revised document prior to the submission deadline is rejection of the CPUE series.*

Justification of Working Group Recommendation

Sandbar - recommend for use in base model (ranking=5)
Dusky - recommend for use base model (ranking=4)

These data are fishery dependent, reported by recreational fishers during dockside or telephone interviews. Some of those data were reported from fishing tournaments, therefore size/age composition of reported catch may be affected. The working group recommended that these indices be included in base model runs, but with low weighting due to data concerns (self-reported fishery dependent, collected during tournaments).

SEDAR

Southeast Data, Assessment, and Review

SEDAR 21

HMS Gulf of Mexico Blacknose Shark

SECTION III: Assessment Process Report

January 2011

SEDAR
4055 Faber Place Drive, Suite 201
North Charleston, SC 29405

Table of Contents

1. WORKSHOP PROCEEDINGS

1.1. INTRODUCTION

1.1.1. 1.1.1 Workshop time and Place

The SEDAR 21 Assessment Process was held via a series of webinars between September 2010 and January 2011.

1.1.2. 1.1.2 Terms of Reference

1. Review data, including any changes since the Data Workshop, and any analyses suggested by the data workshop. Summarize data as used in each assessment model. Provide justification for any deviations from Data Workshop recommendations.
2. Develop population assessment models that are compatible with available data and recommend which model and configuration is deemed most reliable or useful for providing advice. Document all input data, assumptions, and equations.
3. Provide estimates of stock population parameters (fishing mortality, abundance, biomass, selectivity, stock-recruitment relationship, etc); include appropriate and representative measures of precision for parameter estimates.
4. Characterize uncertainty in the assessment and estimated values, considering components such as input data, modeling approach, and model configuration. Provide appropriate measures of model performance, reliability, and 'goodness of fit'.
5. Provide spawning stock fecundity and stock-recruitment evaluations, including figures and tables of complete parameters.
6. Provide estimates for benchmark and biological reference points, consistent with the Consolidated HMS FMP, proposed FMPs and Amendments, other ongoing or proposed management programs, and National Standards. This may include: evaluating existing reference points, estimating benchmarks or alternative benchmarks, as appropriate, and recommending proxy values.
7. Provide declarations of stock status based on the status determination criteria.
8. Provide stochastic projections of stock status at various harvest or exploitation levels for various timeframes.

9. Project future stock conditions (biomass, abundance, and exploitation) and develop rebuilding schedules, if warranted. Provide the estimated generation time for each unit stock. Stock projections shall be developed in accordance with the following:
   A) If stock is overfished:
      F=0, F=current, F=Fmsy, Ftarget (OY),
      F=Frebuild (max that rebuild in allowed time)
   B) If stock is undergoing overfishing:
      F=0, F=Fcurrent, F=Fmsy, F= Ftarget (OY),
      F=Freduce (different reductions in F that could prevent overfishing, as appropriate)
   C) If stock is neither overfished nor undergoing overfishing:
      F=Fcurrent, F=Fmsy, F=Ftarget (OY)
10. Provide recommendations for future research and data collection (field and assessment); be as specific as practicable in describing sampling design and sampling intensity and emphasize items which will improve future assessment capabilities and reliability.
11. Prepare an accessible, documented, labeled, and formatted spreadsheet containing all model parameter estimates and all relevant population information resulting from model estimates and any projection and simulation exercises. Include all data included in assessment report tables and all data that support assessment workshop figures.
12. Complete the Assessment Workshop Report (Section III of the SEDAR Stock Assessment Report). Provide a list of tasks that were not completed, who is responsible for completing each task, and when each task will be completed.

1.1.3. 1.1.3. List of Participants

SEDAR 21: HMS Sandbar, Dusky, and Blacknose Sharks

SEDAR 21 ASSESSMENT WEBINARS ATTENDANCE REPORT

x = present

First	Last	Web1 14-Sep	Web2 16-Sep	Web3 30-Sep	Web4 8-Oct	Web5 22-Oct	Web6 26-Oct	Web7 28-Oct	Web8 2-Nov	Web9 4-Nov	Web10 8-Nov	Web11 10-Nov	Web12 2-Dec	Web13 8-Dec	Web14 11-Jan
PANELISTS															
Katie	Andrews	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Enric	Cortes	X	X			X	X	X	X	X	X	X	X	X	X
Paul	Conn	X	X	X	X	X	X		X	X	X	X	X	X	X
Frank	Hester	X	X	X	X	X	X	X		X	X	X	X	X	X
Bill	Gazey	X	X												
Beth	Babcock		X	X	X	X	X	X	X	X	X		X	X	
Yan	Jiao		X					X							X
Ivy	Baremore	X	X	X	X	X	X	X	X		X	X	X	X	X
Lori	Hale	X	X		X	X	X		X	X		X			
Michelle	Passerotti	X	X	X	X		X								
HMS REPRESENTATION															
Jackie	Wilson	X	X	X			X	X	X	X		X	X	X	X
Steve	Durkee	X	X	X	X			X	X	X	X		X	X	X
Karyl	Brewster-Geisz		X	X	X			X	X	X	X	X	X	X	X
STAFF															
Julie	Neer	X	X	X	X	X	X	X	X	X	X	X	X	X	X
OBSERVERS															
Catherine	Kilduff	X													
Clark	Gray	X		X					X					X	X
Rusty	Hudson	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Adam	Pollack	X													
John	Carlson	X							X		X	X		X	

Kevin	McCarthy	X													
Melissa	Recks				X					X					
Jason	Adriance		X	X		X		X		X				X	
Mike	Clark						X	X							
Iris	Ho												X		
Claudia	Friess								X			X		X	X
David	Stiller														X

1.1.4. 1.1.4. List of Assessment Process Working and Reference Papers

SEDAR21-AP-01	Hierarchical analysis of blacknose, sandbar, and dusky shark CPUE indices	Paul Conn

1.2. PANEL RECOMMENDATIONS AND COMMENTS

1.2.1. 1.2.1. Term of Reference 1

Review data, including any changes since the Data Workshop, and any analyses suggested by the data workshop. Summarize data as used in each assessment model. Provide justification for any deviations from Data Workshop recommendations.

Changes to the data and additional analyses following the Data Workshop (DW) are reviewed in Section 2. The main changes to data include 1) combining the shrimp pre-TED and post-TED bycatch series into a single catch stream, 2) reducing the initial estimate of shrimp bycatch in 1950 from ca. 24,000 individuals to 0 to conform to the assumption of a virgin population in the starting year of the model (1950), 3) using the post-TED selectivity for this newly derived catch stream, 4) developing an approach for calculating total discard mortality for potential use in the SS3 model, and 5) using an approach based on the maximum estimate of survival at age obtained from four life-history invariant methods to generate a vector of natural mortality (M) values. There were also additional analyses undertaken that were not discussed at the DW, including 1) development of age-length keys to transform length-frequency distributions into age-frequency distributions, 2) derivation of selectivity curves from age frequencies, and 3) exploration of the impact of using different methods to estimate M on population parameters derived from a life table.

1.2.2. 1.2.2. Term of Reference 2

Develop population assessment models that are compatible with available data and recommend which model and configuration is deemed most reliable or useful for providing advice. Document all input data, assumptions, and equations.

The original intent was to move from the age-structured production model (ASPM) used for the last assessment to the Stock Synthesis model (SS3). Since this was the first time that implementation of SS3 was attempted for any species of HMS shark and owing to limited progress in that implementation (due in part to the simultaneous assessment of four stocks of sharks under SEDAR-21), it was decided that the ASPM would be the primary model used and that the attempt to implement SS3 would be continued after completion of this report. Thus, only the ASPM and its configuration are described more fully in Section 3.1.1.

1.2.3. 1.2.3. Term of Reference 3

Provide estimates of stock population parameters (fishing mortality, abundance, biomass, selectivity, stock-recruitment relationship, etc); include appropriate and representative measures of precision for parameter estimates.

Estimates of assessment model parameters and their associated CVs are reported in Section 3.1.2.

1.2.4. 1.2.4. Term of Reference 4

Characterize uncertainty in the assessment and estimated values, considering components such as input data, modeling approach, and model configuration. Provide appropriate measures of model performance, reliability, and 'goodness of fit'.

Uncertainty in the assessment and estimated values is characterized in Section 3.1.2. Fits to observed catches and relative abundance indices are also provided in section 3.1.2.

1.2.5. 1.2.5. Term of Reference 5

Provide spawning stock fecundity and stock-recruitment evaluations, including figures and tables of complete parameters.

Spawning stock fecundity and stock-recruitment evaluations are provided in Section 3.1.2.

1.2.6. 1.2.6. Term of Reference 6

Provide estimates for benchmark and biological reference points, consistent with the Consolidated HMS FMP, proposed FMPs and Amendments, other ongoing or proposed management programs, and National Standards. This may include: evaluating existing reference points, estimating benchmarks or alternative benchmarks, as appropriate, and recommending proxy values.

Estimates of benchmark and biological reference points are provided in Section 3.1.2.

1.2.7. 1.2.7. Term of Reference 7

Provide declarations of stock status based on the status determination criteria.

Stock status based on the status determination criteria is reported in Section 3.1.2.

1.2.8. 1.2.8. Term of Reference 8

Provide stochastic projections of stock status at various harvest or exploitation levels for various timeframes.

For reasons explained in Section 3.1.2, stochastic projections of stock status at various exploitation levels were not performed, but will be provided before the Review Workshop.

1.2.9. 1.2.9. Term of Reference 9

Project future stock conditions (biomass, abundance, and exploitation) and develop rebuilding schedules, if warranted. Provide the estimated generation time for each unit stock.

For reasons explained in Section 3.1.2, projections of future stock conditions and rebuilding schedules were not developed, but will be provided, if appropriate, before the Review Workshop. Estimated generation time is provided in Section 3.1.2.

1.2.10. 1.2.10. Term of Reference 10

Provide recommendations for future research and data collection (field and assessment); be as specific as practicable in describing sampling design and sampling intensity and emphasize items which will improve future assessment capabilities and reliability.

Recommendations by the Assessment Panel (AP) for future research and data collection are provided in Section 3.1.4.

2. DATA REVIEW AND UPDATE

2.1. CATCHES

Two changes were introduced to the catch streams presented and approved at the DW. The first change was to combine the shrimp pre-TED and post-TED bycatch series into a single catch stream. The reason for this change, which was not discussed by the AP, was that in exploratory runs the model was unable to fit those two catch series, probably because the post-TED series had zero catches in 1950-1989 while the pre-TED series had zero catches in 1990-2009. A second issue that was discovered during model fitting was that the pre-TED series had an estimate of ca. 24,000 sharks for the first model year (1950), which would invalidate the assumption of a virgin stock in the first year of the model. We thus re-ran the linear interpolation that had been used at the DW to produce bycatch estimates for 1950-1959 starting from 0 animals caught in 1950 increasing linearly to 1960, which was the first year with nominal effort estimates available (see page 40 of the SEDAR 21 DW Report).

Following the peer review provided by the CIE reviewer, we also attempted to quantify uncertainty in those landings and catches that were estimated and developed two sensitivity scenarios: a low catch scenario and a high catch scenario, both of which are described in Section 3.1.1.

2.1.1. Commercial Landings

Commercial landings data used in the assessment are presented in Table 2.1 and Figure 2.1. A full description of the landings and how they were calculated is given in the SEDAR 21 DW Report and SEDAR21-DW-09. Briefly, commercial landings were decomposed into three separate gears: bottom longlines, nets, and lines, by taking the product of the annual landing estimates and the proportional gear composition for the Gulf of Mexico (see the SEDAR 21 DW Report and SEDAR21-DW-09).

2.1.2. Recreational catches

The recreational catch data used in the assessment are presented in Table 2.1 and Figure 2.1. A full description of the catches and how they were computed is given in the SEDAR 21 DW Report and SEDAR21-DW-09. Briefly, annual catch estimates are the sum of estimates reported in the MRFSS (fish landed [A] and discarded dead [B1]), Headboat survey (fish landed) and Texas Parks and Wildlife Department survey (fish landed).

2.1.3. Commercial Bottom Longline Discards

Dead discards from the commercial shark bottom longline fishery are presented in Table 2.1 and Figure 2.1. A full description of how they were computed is given in the SEDAR 21 DW Report and SEDAR21-DW-09, but essentially they are estimated using the annual dead discard percentage observed in the Shark Bottom Longline Observer Program in the Gulf of Mexico multiplied by the annual commercial landings of blacknose sharks caught on longlines in the Gulf of Mexico.

2.1.4. Shrimp Trawl Bycatch

Dead discards from the commercial shrimp trawl fishery in the Gulf of Mexico are presented in Table 2.1 and Figure 2.1. A full description of how they were computed is given in the SEDAR 21 DW Report and SEDAR21-DW-16. As mentioned above, the pre-TED and post-TED series were imputed as a single series into the model to address poor-fit issues and are actually as reported in Table 3 and Figure 1 of the SEDAR 21 DW Report, except for the period 1950-1959, for which numbers were re-estimated to satisfy the assumption of no exploitation (zero catches) in the first year of the model.

2.2. LENGTH COMPOSITIONS, AGE COMPOSITIONS, AND SELECTIVITIES

Length and age composition data were not used directly in the assessment because catch-at-length and catch-at age information is not collected for sharks. However, length-frequency information from animals caught in scientific observer programs, recreational fishery surveys, and various fishery-independent surveys was used to generate age-frequency distributions through age-length keys (Figure 2.2). Although the simplest way to obtain an age-frequency distribution from a length-frequency distribution is to back-transform length into age through a growth curve (von Bertalanffy or other), this approach has multiple biases, among them that 1) any observed length > L_∞ must be eliminated or arbitrarily assigned to older ages and 2) when an observed length approaches L_∞, it is mathematically allocated to ages above those attainable by aged fish within the stock, yielding in some cases unreasonably old ages. The next way to obtain an age-frequency distribution from a length-frequency distribution is an age-length key, an approach that also has biases and whose main assumption is that age can be estimated from length using information contained in a previously aged sample from the population. The AP decided that age frequencies be estimated using an age-length key and recommended that other approaches (e.g., age slicing, stochastic age-frequency estimation using the VBGF [Bartoo and Parker 1983] or probabilistic methods [Goodyear 1997]) be investigated in the future, although some of these methods require more information that may not be available.

The age-frequency distributions produced were then used to estimate selectivity curves externally to the stock assessment model. Although in theory the ASPM can estimate selectivities, there are no age and very few length data available for the model to do so, and so the estimation of selectivities must be done independently of the model. The derivation of selectivities from age-frequency distributions was done under the following assumptions. With only natural mortality (M) operating, one would expect an age-frequency histogram to decline with age. However, with both M and fishing mortality (F) operating, what is observed instead is an increase in the age frequency that reflects the increase in selectivity with age up to a "fully selected" age. Beyond the "fully selected" age, all subsequent ages are expected to consistently decline because they all experience (approximately) the same F and M. The fully selected age is thus determined by looking at the age-frequency distribution and identifying the "fulcrum" or modal age class, where younger ages show an increasing frequency and all subsequent ages decrease in frequency. The specific algorithm for deriving selectivities is in Appendix 1. Based on the above, the following selectivity curves were fitted statistically or by eye (to accommodate AP members beliefs of the selectivity of a particular gear type) to each catch and CPUE series:

2.2.1. Catches

Commercial Bottom Longline, Commercial Line, Bottom Longline Discards, and Recreational—A logistic curve corresponding to the BLLOP selectivity, which has a fully selected age at 2.

Commercial Gillnet—No new selectivity curve was developed for this series; the same selectivity as in the 2007 assessment was used, which was a double logistic curve with fully selected age at 2 and decreasing thereafter.

Shrimp fishery bycatch—This series was assigned the Shrimp post-TED selectivity curve, which was a double logistic that only captured age-1 animals. When the catch streams were combined, it was more accurate to assume they were all age-1 animals rather than incorrectly infer that any were age-2 animals (as was the case for the shrimp pre-TED selectivity curve) , particularly when we have almost no data on the size of animals caught in the entire fishery. The data we do have simply allow us to imply the girth of the animals that can pass through the TED bars.

2.2.2. Indices of relative abundance

BLLOP (bottom longline), NMFS SE BLL (bottom longline), PC GILLNET juveniles (gillnet), and PC GILLNET adults (gillnet)—All these series were assigned the BLLOP selectivity, which is a logistic curve with a fully selected age at 2.

DISL (bottom longline survey)—A logistic curve with fully selected age of 8.

MML LL (bottom longline survey)—A double logistic curve with fully selected age of 5 and decreasing thereafter to select animals up to age 9.

NMFS SEAMAP Groundfish Trawl Summer (trawl survey) and NMFS SEAMAP Groundfish Trawl Fall (trawl survey)—These series were assigned the Shrimp pre-TED selectivity curve, which was a double logistic with maximum selectivity at age 1 and a descending right limb covering animals only up to age 6. The pre-TED shrimp selectivity was used because the SEAMAP trawls use similar gear to the shrimping vessels but are not fitted with TEDs.

Logistic curves fitted to the data were:

$$s = \frac{1}{1 - e^{-\left(\frac{a - a_{50}}{b}\right)}}$$

where a_{50} is the median selectivity age (inflection point) and b is the slope. Double logistic curves were expressed as:

$$s = \frac{\frac{1}{1 - e^{-\left(\frac{a - a_{50}}{b}\right)}} \times \left(1 - \frac{1}{1 - e^{-\left(\frac{a - c_{50}}{d}\right)}}\right)}{\max(sel)}$$

where a_{50} and c_{50} are the ascending and descending inflection points, b and d are the ascending and descending slopes, respectively, and max (sel) is the maximum selectivity.

All selectivities used in the assessment are summarized in Table 2.2 and Figure 2.3.

2.3. INDICES OF RELATIVE ABUNDANCE

The standardized indices of relative abundance used in the assessment are presented in Table 2.3 and Figure 2.4. The Index WG of the DW recommended the use of eight indices: seven fishery-independent series (NMFS LL SE, PCGN adults, PCGN Juveniles, NMFS SEAMAP Groundfish Trawl Summer, NMFS SEAMAP Groundfish Trawl Fall, DISL LL, and MML LL) and one fishery-dependent series (the commercial BLLOP observer index), all of which were standardized by the respective authors through GLM techniques (see SEDAR 21 DW Report).

2.4. LIFE HISTORY INPUTS

The life history inputs used in the assessment are presented in Table 2.4. These include age and growth, as well as several parameters associated with reproduction, including sex ratio, reproductive frequency, fecundity at age, maturity at age, and month of pupping, and natural mortality. The ASPM uses most life history characteristics as constants (inputs) and others are estimated parameters, which are given priors and initial values. The estimated parameters are described in the Parameters Estimated section (3.1.1.4) of the report.

All biological input values in Table 2.4 are as reported in the DW report, with the exception of natural mortality at age. Since the values of M recommended by the Life History WG for dusky and sandbar shark resulted in a negative population growth rate when used in a life table (where fishing mortality was set to zero), the AP agreed that one possible strategy that resulted in a more realistic, positive population growth rate in the absence of fishing was to take the maximum of several estimates at age. These estimates came from the same life history invariant methods that were explored at the DW (Hoenig [1983], Chen and Watanabe [1989], Peterson and Wroblewski [1984], and Lorenzen [1996]), but rather than taking the *average* of the Peterson and Wroblewski, Chen and Watanabe, and Lorenzen methods, the *maximum* of the four methods mentioned was used instead. To keep consistency among assessments, we used the same approach for blacknose shark. For fecundity, since the ASPM tracks only females, the number of offspring produced was divided by 2 to account for females only. No further division was necessary because the reproductive cycle of blacknose sharks in the Gulf of Mexico agreed upon at the DW is annual. The proportion of females in maternal condition (Walker 2005) was not available, thus we used the maturity ogive shifted to the right by one year to account for the minimum time it would take a female to become pregnant and produce offspring after it reaches maturity (gestation period is estimated at about 10 months for this species).

2.5. REFERENCES

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Chen, S.B. and Watanabe, S. 1989. Age dependence of natural mortality coefficient in fish population dynamics. Nippon Suisan Gak. 55:205-208.

Goodyear, C.P. 1997. Fish age determined from length: an evaluation of three methods using simulated red snapper data. Fish. Bull. 95:39-46.

Hoenig, J. M. 1983. Empirical use of longevity data to estimate mortality rates. Fish. Bull. 81:898–903.

Lorenzen, K. 1996. The relationship between body weight and natural mortality in juvenile and adult fish: a comparison of natural ecosystems and aquaculture. J. Fish Biol. 49:627-647.

Peterson, I. and Wroblewski, J.S. 1984. Mortality rates of fishes in the pelagic ecosystem. Can. J. Fish. Aquat. Sci. 41:1117-1120.

SEDAR 21-DW-09. Updated catches of blacknose, dusky, and blacknose sharks.

SEDAR 21-DW-16. A Negative Binomial Loglinear Model with Application for the Estimation of Bycatch of Blacknose Shark in the Gulf of Mexico Penaeid Shrimp Fishery.

Walker, T. I. 2005. Reproduction in fisheries science. In: Reproductive Biology and Phylogeny of Chondrichthyans: Sharks, Batoids, and Chimaeras (Ed. W.C. Hamlett) pp. 81-127. Science Publishers Inc., Enfield, NH, USA.

2.6. TABLES

Table 2.1. Catches of blacknose shark by fleet in numbers. Catches are separated into six fisheries: commercial longline, commercial gillnet, commercial lines, recreational, shrimp bycatch, and commercial bottom longline discards. Highlighted in red are the numbers that changed with respect to what was reported in the SEDAR21 DW Report.

Year	Commercial landings			Recreational	Shrimp	Bottom LL
	Bottom longlines	Nets	Lines		bycatch	discards
1950	0	0	0	0	0	0
1951	0	0	0	0	3721	0
1952	0	0	0	0	8622	0
1953	0	0	0	0	13524	0
1954	0	0	0	0	18524	0
1955	0	0	0	0	23327	0
1956	0	0	1	0	28228	0
1957	0	0	1	0	33129	0
1958	0	0	1	0	38031	0
1959	0	0	1	0	42932	0
1960	0	0	1	0	47833	0
1961	0	0	1	0	33862	0
1962	0	0	1	0	40773	0
1963	0	0	1	0	46081	0
1964	0	0	1	0	49405	0
1965	0	0	1	0	43301	0
1966	0	0	2	0	40661	0
1967	0	0	2	0	47119	0
1968	0	0	2	0	47967	0
1969	0	0	2	0	55478	0
1970	0	0	2	0	46466	0
1971	0	0	2	0	47557	0
1972	0	0	2	0	69855	0
1973	0	0	2	0	59445	0
1974	0	0	2	0	54073	0
1975	0	0	2	0	43974	0
1976	0	0	2	0	47515	0
1977	0	0	3	0	50258	0
1978	0	0	3	0	56419	0
1979	0	0	3	0	55117	0
1980	0	0	3	0	32121	0
1981	224	0	3	0	38772	193
1982	448	0	3	0	36504	387
1983	672	0	3	13837	33245	580
1984	897	0	3	0	34228	774
1985	1121	0	3	1746	31129	967
1986	1345	0	3	2068	32788	1161
1987	1569	313	4	14486	31829	1354
1988	1793	626	4	8905	25715	1548
1989	2017	939	4	1793	25888	1741

1990	2242	1252	4	1875	29903	1934
1991	2466	1565	4	0	34196	2128
1992	2690	1878	4	4383	34392	2321
1993	2914	2191	4	4547	32511	2515
1994	3138	2505	4	14305	30019	2708
1995	10218	0	20	2814	30909	9245
1996	2515	0	4	12413	33461	2106
1997	3545	0	43	11078	38115	1744
1998	2072	1185	23	9573	38961	1450
1999	510	1128	511	5294	36315	84
2000	3244	0	956	6894	35703	2671
2001	1555	24	14	14854	38769	0
2002	3806	2940	398	10808	43518	3045
2003	3027	16	5	5906	34529	1552
2004	1931	0	80	15071	31306	652
2005	9221	103	26	7101	22953	6475
2006	16355	937	17	9438	19554	8416
2007	4255	314	48	5809	17381	967
2008	2166	9	31	3716	13193	368
2009	3929	69	32	4775	15668	896

Table 2.2. Selectivity curves for catches and indices of relative abundance. Curves were fitted by eye except where otherwise indicated. Parameters are ascending inflection point (a_{50}), ascending slope (b), descending inflection point (c_{50}), descending slope (d), and maximum selectivity (max(sel)).

Series	Selectivity	a_{50}	b	c_{50}	d	max(sel)
CATCHES						
Commercial bottom longlines, Commercial Lines, Bottom Longline Discards, Recreational	Logistic*	1.136	0.085			
Commercial gillnets	Double logistic	0.5	0.5	4	1.5	0.75
Shrimp trawl bycatch	Double logistic	0.01	0.1	1	0.1	0.99
INDICES OF ABUNDANCE						
BLLOP, NMFS LL SE, PCGN adults, PCGN juvs	Logistic*	1.136	0.085			
DISL LL	Logistic*	3.682	0.658			
MML LL	Double logistic	2	0.7	7	0.5	0.97
SEAMAP Summer, SEAMAP Fall	Double logistic	0.01	0.50	2.50	0.75	0.99

* Fitted by least squares

Table 2.3. Standardized indices of relative abundance used in the baseline scenario. All indices are scaled (divided by their respective mean).

YEAR	NMFS SE LL	SEAMAP-S	SEAMAP-F	PCGN-Adults	PCGN-Juveniles	MML LL	DISL LL	BLLOP
1950	-	-	-	-	-	-	-	-
1951	-	-	-	-	-	-	-	-
1952	-	-	-	-	-	-	-	-
1953	-	-	-	-	-	-	-	-
1954	-	-	-	-	-	-	-	-
1955	-	-	-	-	-	-	-	-
1956	-	-	-	-	-	-	-	-
1957	-	-	-	-	-	-	-	-
1958	-	-	-	-	-	-	-	-
1959	-	-	-	-	-	-	-	-
1960	-	-	-	-	-	-	-	-
1961	-	-	-	-	-	-	-	-
1962	-	-	-	-	-	-	-	-
1963	-	-	-	-	-	-	-	-
1964	-	-	-	-	-	-	-	-
1965	-	-	-	-	-	-	-	-
1966	-	-	-	-	-	-	-	-
1967	-	-	-	-	-	-	-	-
1968	-	-	-	-	-	-	-	-
1969	-	-	-	-	-	-	-	-
1970	-	-	-	-	-	-	-	-
1971	-	-	-	-	-	-	-	-
1972	-	-	-	-	-	-	-	-
1973	-	-	-	-	-	-	-	-
1974	-	-	-	-	-	-	-	-
1975	-	-	-	-	-	-	-	-
1976	-	-	-	-	-	-	-	-
1977	-	-	-	-	-	-	-	-
1978	-	-	-	-	-	-	-	-
1979	-	-	-	-	-	-	-	-
1980	-	-	-	-	-	-	-	-
1981	-	-	-	-	-	-	-	-
1982	-	-	-	-	-	-	-	-
1983	-	-	-	-	-	-	-	-
1984	-	-	-	-	-	-	-	-
1985	-	-	-	-	-	-	-	-
1986	-	-	-	-	-	-	-	-
1987	-	0.530	0.773	-	-	-	-	-
1988	-	0.550	0.696	-	-	-	-	-

1989	-	1.256	0.607	-	-	-	-	-
1990	-	0.483	1.050	-	-	-	-	-
1991	-	0.817	0.985	-	-	-	-	-
1992	-	0.599	1.116	-	-	-	-	-
1993	-	1.112	0.555	-	-	-	-	-
1994	-	0.649	0.826	-	-	-	-	0.075
1995	0.498	0.565	1.698	-	-	-	-	0.242
1996	1.136	0.915	0.937	0.965	2.265	-	-	0.157
1997	0.768	0.950	0.882	0.551	1.338	-	-	0.192
1998	-	0.773	0.907	1.394	0.618	-	-	0.319
1999	0.626	0.569	1.223	-	2.213	-	-	0.797
2000	0.661	0.961	1.046	-	0.103	-	-	-
2001	0.861	2.009	0.676	0.842	0.824	-	-	0.123
2002	0.672	0.821	0.821	0.812	1.081	-	-	1.555
2003	1.644	1.479	1.099	0.659	1.029	0.396	-	0.969
2004	1.538	1.097	0.860	1.611	0.772	1.269	-	1.447
2005	0.500	1.205	1.202	1.243	0.566	1.060	-	2.980
2006	1.680	0.975	0.772	-	0.721	0.743	1.920	2.965
2007	0.713	0.811	1.384	0.425	0.978	1.156	0.987	1.510
2008	1.177	1.226	1.727	2.022	0.875	2.120	0.760	1.275
2009	1.525	2.648	1.156	0.474	0.618	0.255	0.332	0.393

Table 2.4. Life history inputs used in the assessment. All these quantities are treated as constants in the model.

Age	Proportion mature	M
1	0.0000	0.2939
2	0.0005	0.2555
3	0.0099	0.2337
4	0.1751	0.2201
5	0.8191	0.2112
6	0.9897	0.2051
7	0.9995	0.2009
8	1.0000	0.1979
9	1.0000	0.1957
10	1.0000	0.1941
11	1.0000	0.1930
12	1.0000	0.1922
13	1.0000	0.1915
14	1.0000	0.1911

Sex ratio:	1:1
Reproductive frequency:	1 yr
Fecundity:	5 pups
Pupping month:	June
L_{inf}	104.3 cm FL
k	0.3
t_0	-1.71
Weight vs length relation:	$W=0.00000165L^{3.34}$

2.7. FIGURES

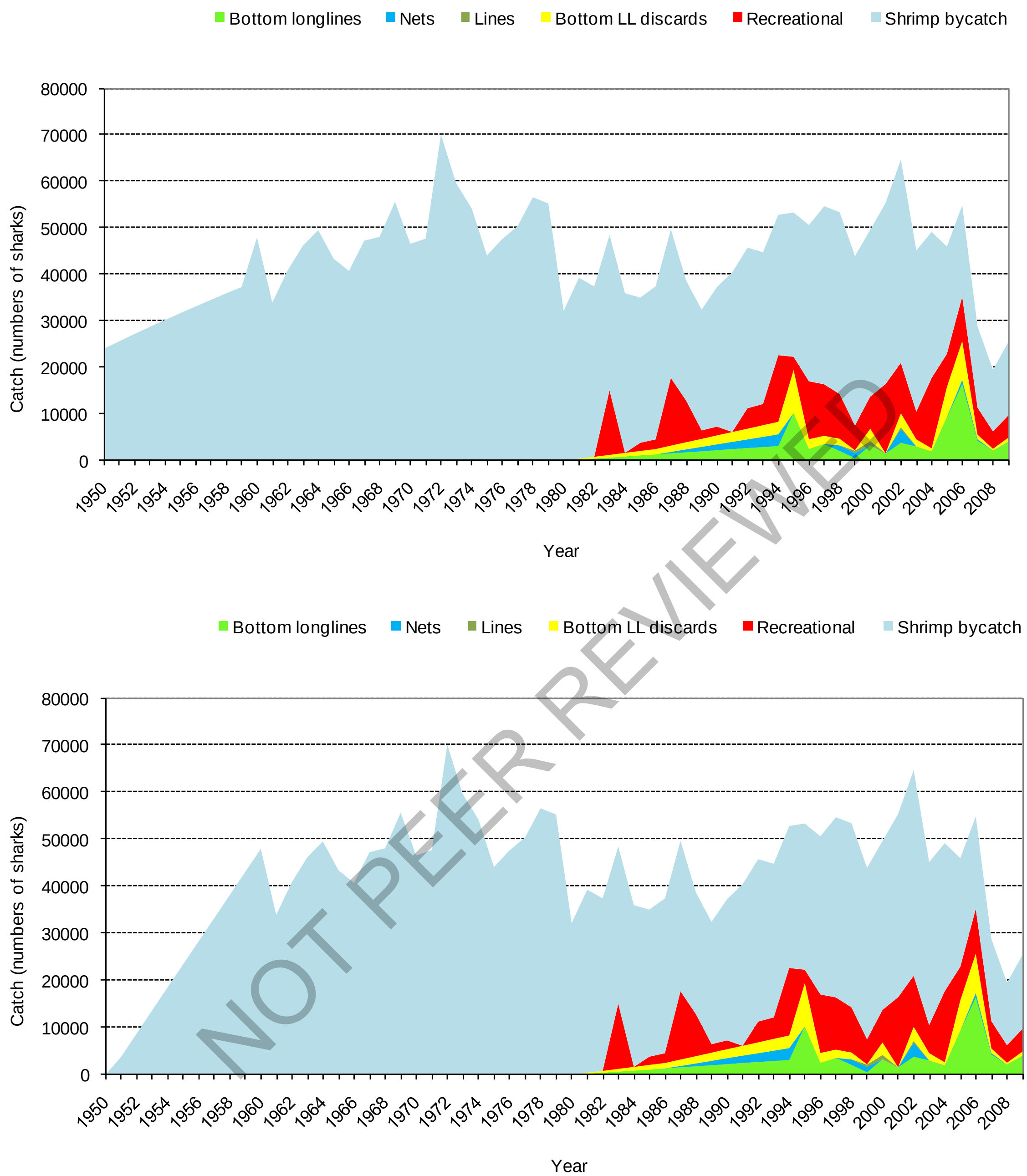

Figure 2.1. Catches of blacknose shark by fleet. Catches are separated into six fisheries: commercial longline, commercial gillnet, commercial lines, recreational, shrimp bycatch, and commercial bottom longline discards. The commercial lines series is not visible in the figures due to its small magnitude. The top figure shows catches as reported in the SEDAR21 DW Report; the bottom figure shows the change introduced to the shrimp bycatch series for 1950-1959 (see also Table 2.1 and section 2.1).

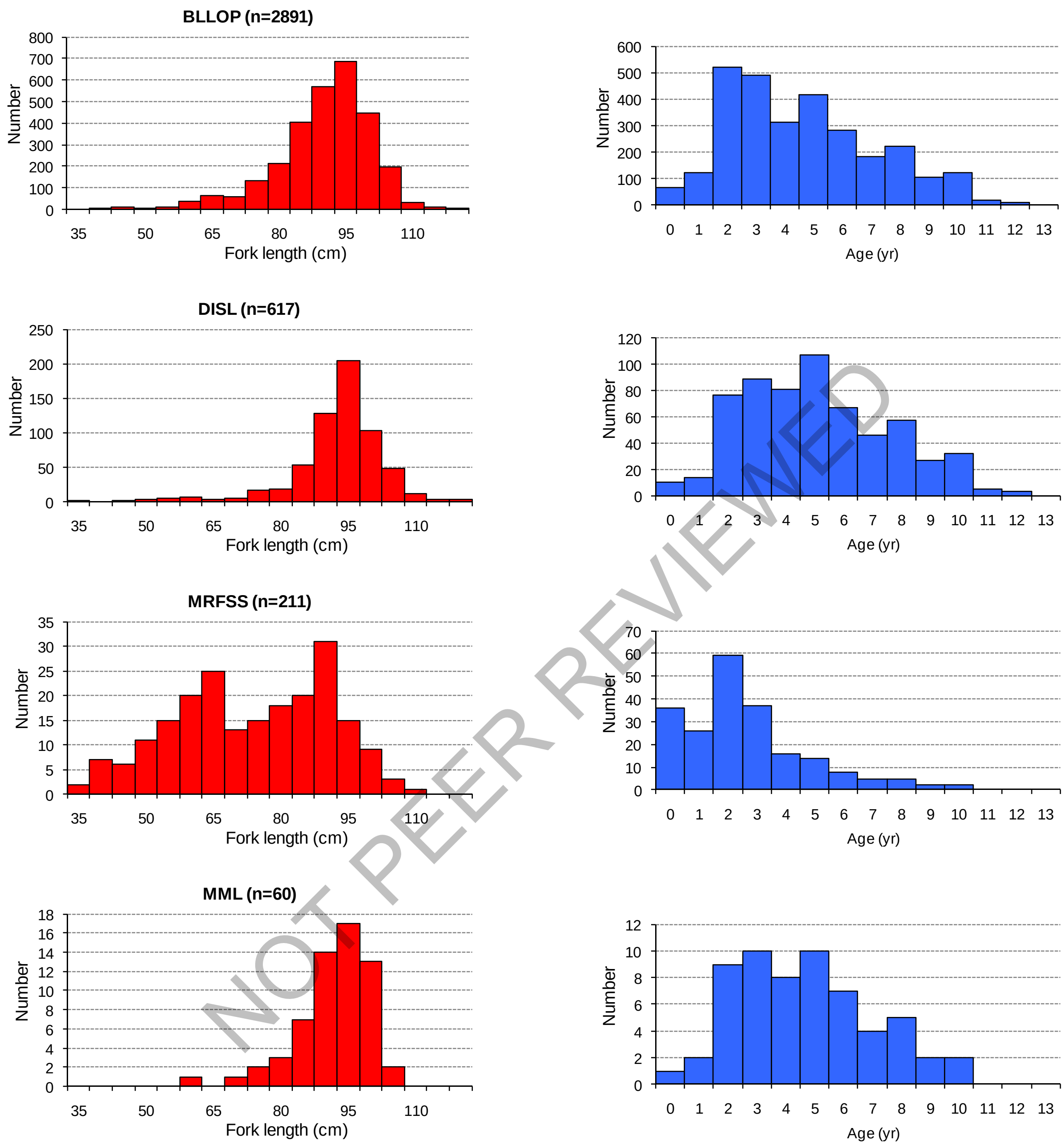

Figure 2.2. Length-frequency (left panels) and age-frequency (right panels) distributions of blacknose shark from the Shark Bottom Longline Observer Program (BLLOP) for 1994-2009, Dauphin Island Sea Lab (DISL) survey for 2006-2009, Marine Recreational Fishery Statistics Survey (MRFSS) for 1981-2009, and Mote Marine Laboratory (MML) survey for 2003-2009.

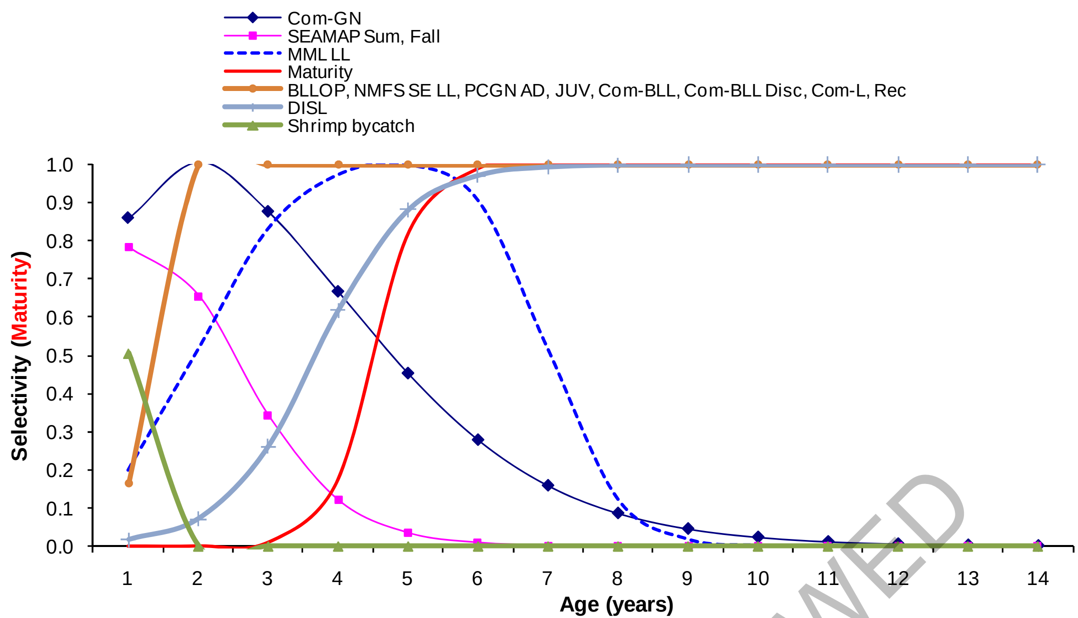

Figure 2.3. Selectivity curves for catches and indices of relative abundance. The maturity ogive for blacknose shark has been added for reference.

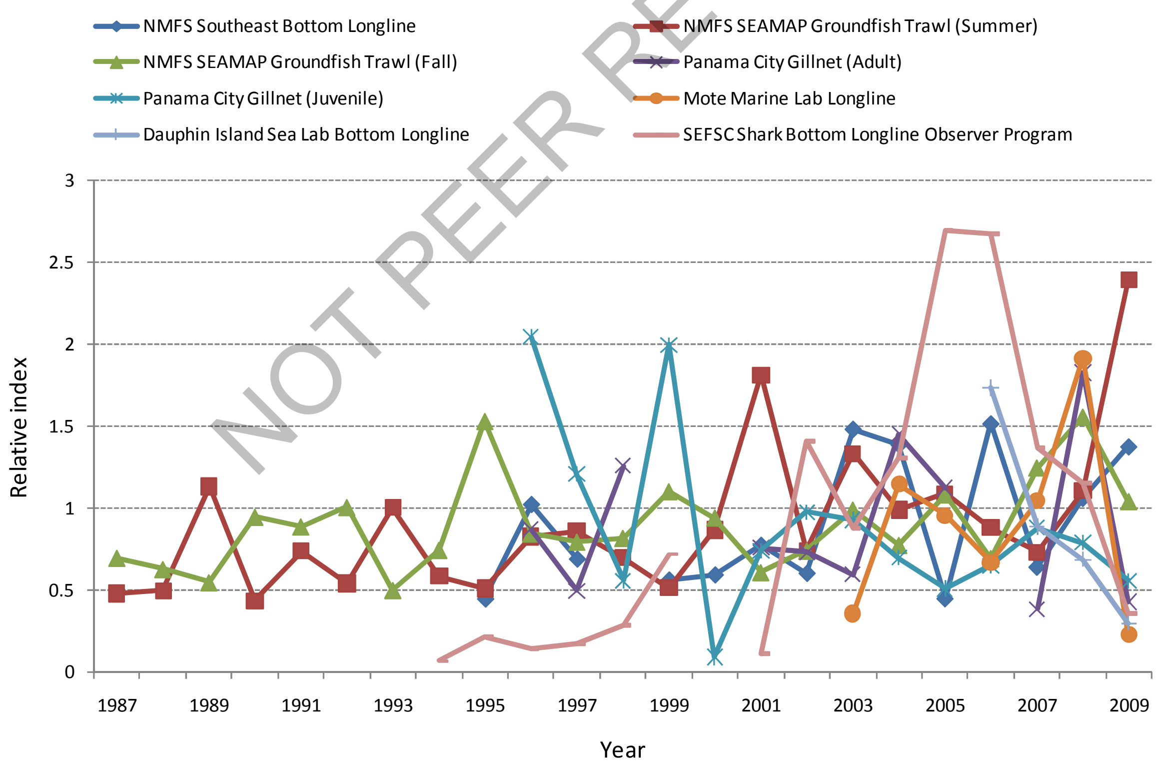

Figure 2.4. Indices of relative abundance used for the baseline scenario. All indices are statistically standardized and scaled (divided by their respective mean and a global mean for overlapping years for plotting purposes). Note that the earliest series start in 1987.

3. STOCK ASSESSMENT MODEL(S) AND RESULTS

3.1. MODEL 1: STATE- SPACE AGE-STRUCTURED PRODUCTION MODEL (ASPM)

3.1.1. Model 1 Methods

3.1.1.1. Overview

The state-space, age-structured production model (ASPM) was finally used as the primary assessment modeling approach. The ASPM has been used extensively for assessing shark stocks domestically (including the sandbar and blacknose sharks) and under the auspices of ICCAT since 2002 (see e.g. ICCAT 2005). The ASPM allows incorporation of many of the important biological (mortality, growth, reproduction) and fishery (selectivity, effort) processes in conjunction with observed catches and CPUE indices (and length and age compositions if available). Similar to the catch-free methodology used for dusky shark (see SEDAR 21 dusky shark assessment report), a first step in applying this method is to identify a year in which the stock can be considered to be at virgin conditions. Assuming that there is some basis for deriving historic removals, one can estimate a population trajectory from virgin conditions through a more data-poor historic period when only catch or effort data are available, until a more recent year ("modern period") when more data (e.g., CPUE indices) become available for model fitting.

3.1.1.2. Data Sources

Catches, indices of abundance, length and age compositions to derive selectivities, selectivities, and biological inputs used in the ASPM are described in Section 2.

3.1.1.3. Model Configuration and Equations

To derive numbers at age for the first model year, one must define a year when the stock could be considered to be at virgin conditions. The AP set the year of virgin conditions at 1950 which is what was used for the previous assessment.

Population Dynamics

The dynamics of the model are described below, and are extracted (and/or modified) from Porch (2002). The model begins with the population at unexploited conditions, where the age structure is given by

$$(1) \qquad N_{a,y=1,m=1} = \begin{cases} R_0 & a = 1 \\ R_0 \exp\left(-\sum_{j=1}^{a-1} M_j\right) & 1 < a < A \\ \dfrac{R_0 \exp\left(-\sum_{j=1}^{A-1} M_j\right)}{1-\exp(-M_A)} & a = A \end{cases},$$

where $N_{a,y,1}$ is the number of sharks in each age class in the first model year (y=1), in the first month (m=1), M_a is natural mortality at age, A is the plus-group age, and recruitment (R) is assumed to occur at age 1.

The stock-recruit relationship was assumed to be a Beverton-Holt function, which was parameterized in terms of the maximum lifetime reproductive rate, α:

$$(2) \qquad R = \frac{R_0 S \alpha}{S_0 + (\alpha - 1)S} \quad .$$

In (2), R_0 and S_0 are virgin number of recruits (age-1 pups) and spawners (units are number of mature adult females times pup production at age), respectively. The parameter α is calculated as:

$$\text{(3)} \qquad \alpha = e^{-M_0}\left[\left(\sum_{a=1}^{A-1} p_a m_a \prod_{j=1}^{a-1} e^{-M_j}\right) + \frac{p_A m_A}{1-e^{-M_A}} \prod_{a=1}^{A-1} e^{-M_a}\right] = e^{-M_0}\varphi_0 \quad ,$$

where p_a is pup-production at age a, m_a is maturity at age a, and M_a is natural mortality at age a. The first term in (3) is pup survival at low population density (Myers et al. 1999). Thus, α is virgin spawners per recruit (φ_0) scaled by the slope at the origin (pup-survival).

The time period from the first model year (y_1) to the last model year (y_T) is divided into a historic and a modern period (mod), where y_i for i<mod are historic years, and modern years are y_i for which mod ≤ i ≤ T. The historic period is characterized by having relatively fewer data compared to the modern period. The manner in which effort is estimated depends on the period modeled. In the historic period, effort is estimated as either a constant (4a) or a linear trend (4b)

$$\text{(4a)} \qquad f_{y,i} = b_0 \qquad \text{(constant effort)}$$

or

$$\text{(4b)} \qquad f_{y,i} = b_0 + \frac{(f_{y=\text{mod},i} - b_0)}{(y_{\text{mod}} - 1)} f_{y=\text{mod},i} \qquad \text{(linear effort),}$$

where $f_{y,i}$ is annual fleet-specific effort, b_0 is the intercept, and $f_{y=\text{mod},i}$ is a fleet-specific constant. In the modern period, fleet-specific effort is estimated as a constant with annual deviations, which are assumed to follow a first-order lognormal autoregressive process:

$$\text{(5)} \qquad \begin{aligned} f_{y=\text{mod},i} &= f_i \exp(\delta_{y,i}) \\ \delta_{y,i} &= \rho_i \delta_{y-1} + \eta_{y,i} \\ \eta_{y,i} &\sim N(0, \sigma_i) \end{aligned} \quad .$$

From the virgin age structure defined in (1), abundance at the beginning of subsequent months is calculated by

$$\text{(6)} \qquad N_{a,y,m+1} = N_{a,y,m} e^{-M_a \delta} - \sum_i C_{a,y,m,i} \quad ,$$

where δ is the fraction of the year (m/12) and $C_{a,y,m,i}$ is the catch in numbers of fleet i. The monthly catch by fleet is assumed to occur sequentially as a pulse at the end of the month, after natural mortality:

$$(7) \qquad C_{a,y,m,i} = F_{a,y,i}\left(N_{a,y,m}e^{-M_a\delta} - \sum_{k=1}^{i-1} C_{a,y,m,k}\right)\frac{\delta}{\tau_i} \quad ,$$

where τ_i is the duration of the fishing season for fleet i. Catch in weight is computed by multiplying (7) by $w_{a,y}$, where weight at age for the plus-group is updated based on the average age of the plus-group.

The fishing mortality rate, F, is separated into fleet-specific components representing age-specific relative-vulnerability, v, annual effort expended, f, and an annual catchability coefficient, q:

$$(8) \qquad F_{a,y,i} = q_{y,i} f_{y,i} v_{a,i} \quad .$$

Catchability is the fraction of the most vulnerable age class taken per unit of effort. The relative vulnerability would incorporate such factors as gear selectivity, and the fraction of the stock exposed to the fishery. For this model application to blacknose sharks, both vulnerability and catchability were assumed to be constant over years.

Catch per unit effort (CPUE) or fishery abundance surveys are modeled as though the observations were made just before the catch of the fleet with the corresponding index, i:

$$(9) \qquad I_{y,m,i} = q_{y,i}\sum_a v_{a,i}\left(N_{a,y,m}e^{-M_a\delta} - \sum_{k=1}^{i-1} C_{a,y,m,k}\right)\frac{\delta}{\tau_i} \quad .$$

Equation (9) provides an index in numbers; the corresponding CPUE in weight is computed by multiplying $v_{a,i}$ in (9) by $w_{a,y}$.

State space implementation

In general, process errors in the state variables and observation errors in the data variables can be modeled as a first-order autoregressive model:

$$(10) \quad \begin{aligned} g_{t+1} &= E[g_{t+1}]e^{\varepsilon_{t+1}} \\ \varepsilon_{t+1} &= \rho\varepsilon_t + \eta_{t+1} \end{aligned} \quad .$$

In (10), g is a given state or observation variable, η is a normally distributed random error with mean 0 and standard deviation σ_g, and ρ is the correlation coefficient. E[g] is the deterministic expectation. When g refers to data, then g_t is the observed quantity, but when g refers to a state variable, then those g terms are estimated parameters. For example, effort in the modern period is treated in this fashion.

The variances for process and observation errors (σ_g) are parameterized as multiples of an overall model coefficient of variation (CV):

$$(11a) \quad \sigma_g = \ln\left[(\lambda_g CV)^2 + 1\right]$$

$$(11b) \quad \sigma_g = \ln\left[(\omega_{i,y}\lambda_g CV)^2 + 1\right] \quad .$$

The term λ_g is a variable-specific multiplier of the overall model CV. For catch series and indices (eq 11b), the additional term, $\omega_{i,y}$, is the weight applied to individual points within those series. For instance, because the indices are standardized externally to the model, the estimated variance of points within each series is available and could be used to weight the model fit. Given the DW decision to use equal weighting between indices as a baseline, all $\omega_{i,y}$ were fixed to 1.0 and the same λ_g was applied to all indices. To evaluate the sensitivity case where indices were weighted by the rankings developed by the Index WG, each $\omega_{i,y}$ was fixed to the estimated CV for point y in series i; an attempt was also made to estimate a separate λ_g for each series, however those multipliers were not estimable and so a single λ was applied to all indices.

In the present model, these multipliers on catches and indices were fixed after exploring the effects on model outputs for several different values. A fleet-specific effort constant was estimated, but by allowing for large process error it was effectively a free parameter; the correlation was fixed at 0.5.

Additional model specifications

Individual points within catch and index series can be assigned different weights, based either on estimated precision or expert opinion. The base case model configuration downweighted the catches for certain periods, giving them ½ of the weight of catches in more recent years, on the rationale that they were either estimated or generally less well known (as was done in the last assessment in 2007). Thus, the commercial bottom longline and line landings as well as the bottom longline discards were downweighted for 1950-1994, the commercial net landings for the period 1950-1995, the recreational catches for 1950-1980, and the shrimp trawl bycatch for 1950-1971.

One further model specification is the degree to which the model-predicted values matched catches versus indices. An overall model CV is estimated (see equations 11a and 11b), and multiples (λ_g) of this overall CV can be specified separately for catches and indices (see Porch 2002). All catch series were assigned the same CV multiple, and all indices were assigned a single CV multiple (this forces equal weighting of the indices). Given that the estimated stock status did not vary much based on the alternate weighting between catch and indices, it was decided to proceed by placing relatively more confidence in the catch series (notwithstanding the weighting of individual points within the catch series, as described in the paragraph above). The catches are fit more closely due to the estimation of annual deviations for catches but not indices. All indices are given the same weight in the base case, and there was no discussion amongst the panel members as to the degree of belief in any one series. One of the sensitivities discussed later uses the a priori rankings decided by the Indices WG to address unequal weighting.

3.1.1.4. Parameters Estimated

The model started in 1950 and ended in 2009, with the historic period covering 1950-1971, and the modern period spanning 1972-2009. Estimated model parameters initially were pup (age-0) survival, virgin recruitment (R_0), catchability coefficients associated with catches and indices, and fleet-specific effort and fishing mortality. Virgin recruitment was given a uniform prior distribution ranging from 100,000 to 100 million individuals, whereas pup survival was given an informative lognormal prior with median=0.72 (mean=0.75, mode=0.66), a CV of 0.3, and bounded between 0.20 and 0.99. The mean value for pup survival matched closely that derived using life-history based methods (0.75 vs. 0.74; see Section 2.4). However, after exploratory runs, pup survival had to be fixed because the estimated value was hitting the upper boundary and the Hessian was returned as not positive definite.

A list of estimated model parameters is presented in Table 3.1 (other parameters were held constant and thus not estimated, see Section 3.1.2). The table includes predicted parameter values and their associated SDs from ASPM, initial parameter values, minimum and maximum values a parameter could take, and prior densities assigned to parameters.

3.1.1.5. Uncertainty and Measures of Precision

Numerical integration for this model was done in AD Model Builder (Otter Research Ltd. 2001), which uses the reverse mode of AUTODIF (automatic differentiation). Estimation can be carried out in phases, where convergence for a given phase is determined by comparing the maximum gradient to user-specified convergence criteria. The final phase of estimation used a convergence criterion of 10^{-6}. For models that converge, the variance-covariance matrix is obtained from the inverse Hessian. Uncertainty in parameter estimates was quantified by computing asymptotic standard errors for each parameter (Table 3.1), which are calculated by ADMB by inverting the Hessian matrix (i.e., the matrix of second derivatives) after the model fitting process. Additionally, likelihood profiling will be performed to examine posterior distributions for several model parameters and to provide probabilities of the stock being overfished and overfishing occurring. Likelihood profiles are calculated by assuming that the posterior probability distribution is well approximated by a multivariate normal (Otter Research

Ltd. 2001). Model fit will be assessed by comparing components of the relative negative log-likelihood (relative rather than exact because the constants in the likelihood were not included). The relative negative log-likelihood (objective function) and AICc (small sample AIC) values are listed in the tables of model results.

Uncertainty in data inputs and model configuration was examined through the use of sensitivity scenarios. Six alternative runs are included in this report in addition to the baseline run. A seventh sensitivity scenario identified by the AP (adding CPUE series identified as "sensitivity") could not be run because there were no such series. We also include continuity and retrospective analyses. The *continuity analysis* uses the same model and inputs as in 2007 (which was done for a combined single stock), but includes additional years of catches and indices, to see the effect that additional observations have on model results. *Retrospective analyses* of the baseline run were conducted, in which the model was refit while sequentially dropping the last three years of data to look for systematic bias in key model output quantities over time. More retrospective years could not be added due to the length of the Dauphin Island Sea Laboratory index, which started in 2006.

We now specifically describe how each of these sensitivities was implemented.

Baseline run: the base model configuration assumed virgin conditions in 1950, used the imputed historical catch series, the updated biological parameters, and the 8 base case CPUE indices. In addition, historic landings for bottom longline and line landings and bottom longline discards (1950-1994), commercial net landings (1950-1995), recreational catches (1950-1980), and shrimp trawl bycatch (1950-1971) were downweighted by ½.

Scenario 1: Rank-based weighting—Same as the base run, but using the inverse of the a priori ranks (based on criteria such as spatial coverage, reliability, etc.) provided by the Index WG after the DW to weight each CPUE series (Table 3.2). The ranks ranged from a best of 1 for the NMFS SE LL index to a worst of 5 for the DISL LL series.

<u>Scenario 2:</u> U-shaped M—Same as the base run, but using a U-shaped vector of natural mortality at age to account for increased natural mortality for the older ages. Initially the Chen and Watanabe (1989) method mentioned in Section 2.4 was used to derive a U-shaped curve for M, but given that the curve was not quite U-shaped because it decreased again for the oldest ages, another method (the "bathtub" method; see Siegfried [2006]) was used instead to approximate the M predicted by the Chen and Watanabe equation while maintaining a U shape (Table 3.3 and Figure 3.1). The equation for the "bathtub" method is:

$$U(a) = c\left[e^{-\lambda_d(a-d)} + e^{\lambda_g(a-g)}\right]$$

where c is a scaling factor, d is the age when constant M begins, g is the age where M starts to increase again, λ_d is the descending slope and λ_g is the ascending slope.

<u>Scenario 3:</u> Fishery-independent CPUE series—Same as the base run, but excluding the only fishery-dependent index (BLLOP).

<u>Scenario 4:</u> Hierarchical index—Same as the base run, but using only one hierarchical index of relative abundance weighted by the inverse of the CV (see document SEDAR21-AW-01 and Table 3.4). The selectivity used for the single index was a weighted average of the selectivities associated with the individual indices (Figure 3.2). The inverse variance selectivity weights reported in SEDAR-21-AW-01 (NMFS SE LL: 0.367; SEAMAP Summer and SEMAP Fall: 0.113; PCGN Adults and Juveniles: 0.225; MML: 0.096; DISL: 0.044; BLLOP: 0.041) were used to weight the individual selectivity curves. Once a weighted selectivity vector was obtained, a functional form (double logistic curve) was developed to approximate the weighted selectivity for input into the model.

<u>Scenarios 5 and 6:</u> Low and high catch scenarios—Same as the base run, but using a low and high catch scenario, respectively. The low and high catch series were constructed in an attempt

to incorporate uncertainty in the magnitude of the catches as recommended by the DW CIE reviewer. This was done as follows. Commercial landings are reported in weight (not estimated), but then converted into numbers by using average weights from animals observed in the shark bottom longline observer program. Thus, the only way to incorporate uncertainty in this catch stream is in the average weights used for conversion from weight to numbers. Lower and upper 95% confidence intervals (CIs) of those average weights were thus computed (Figure 3.3a) and used to produced high and low commercial landings scenarios, respectively. For recreational catches, lower and upper CIs of the combined estimates of sharks landed and discarded dead (A+B1 in MRFSS terminology) were also computed (Figure 3.3b) and low and high catch scenarios produced. For shrimp bycatch, 95% CIs were available for the period 1972-2009 (see SEDAR21-DW-16), but no formal estimates had been developed for 1950-1971 and thus no CIs were available for that period. To incorporate uncertainty in the 1950-1971 values, the ratio of the lower CL to the value and the ratio of the upper CL to the value were computed for each year from 1972 to 2009 and the mean of those ratios for 1972-2009 calculated. Those two means were then used as multipliers to reduce or increase, respectively, the annual values for 1950-1971. The low and high catch scenarios varied substantially with respect to the baseline catches (Tables 3.5 and 3.6; Figure 3.4).

3.1.1.6. Benchmark/Reference points methods

Benchmarks included estimates of absolute population levels and fishing mortality for year 2009 (F_{2009}, SSF_{2009}, B_{2009}, N_{2009}, $Nmature_{2009}$), reference points based on MSY (F_{MSY}, SSF_{MSY}, SPR_{MSY}), current status relative to MSY levels, and depletion estimates (current status relative to virgin levels). In addition, trajectories for F_{year}/F_{MSY} and SSF_{year}/SSF_{MSY} were plotted and phase plots provided.

3.1.1.7. Projection methods

Projections will be carried out using Pro-2Box (Porch 2003). Projections will be bootstrapped ≥500 times by allowing for process error in the spawner-recruit relationship. Lognormal recruitment deviations with CV = 0.4, with no autocorrelation, will be assumed. No other variability will be introduced into the projections. Under these assumptions, the base model will be projected at F = 0 to determine the year when the stock can be declared recovered with a 70% probability ($SSF/SSF_{MSY} > 1$). If that year is >10, then management action should be implemented to rebuild the stock within the estimated *rebuilding time+1 generation time* (Restrepo et al. 1998). The estimate of generation time is about 9 years, and was calculated as

$$GenTime = \frac{\sum_i i f_i \prod_{j=1}^{i-1} s_j}{\sum_i f_i \prod_{j=1}^{i-1} s_j}$$

where i is age, f_i is the product of (fecundity at age) x (maturity at age), and s_j is survival at age. Maximum age used in the calculations was 14 years. This generation time corresponds to the mean age of parents of offspring produced by a cohort over its lifetime (ν_1; Caswell 2001); other formulae for calculating generation time yielded similar estimates (T: time required by the population to increase by R_0=8.3; A: mean age of parents of offspring in a stable age distribution=8.0; Caswell 2001).

A fixed F strategy and a fixed TAC strategy will be estimated that would attain rebuilding by the designated year with a 50% and a 70% probability. Assumptions for these projections will include the above process error in stock-recruitment, the selectivity vector will be the geometric mean of the last 3 years (2007-2009), and it will be assumed that any modification to F or a TAC will impact each fishery by the same proportion. As per HMS Management Division guidance, the first year that management begins to operate will be set to 2013; in the interim years (2010-2012), F-based projections will be set equal to F_{2009} and TAC-based projections, to the mean of catches in 2008 and 2009.

Additionally, also as requested by the HMS Management Division, we will determine the probability of rebuilding by 2070, which is the current rebuilding timeframe under Amendment 2 to the 2006 Consolidated HMS FMP. These projections will be done with the current (for 2009) level of F and current TAC of 19,200 sharks.

3.1.2. Model 1 Results

3.1.2.1. Measures of Overall Model Fit

With the exception of a few years for the Commercial longline, Commercial nets, and especially commercial longline discards and recreational series, catches were fit very well. Collapsing the Pre-TED and Post-TED shrimp bycatch series into a single stream (see section 2.1) allowed for a much better fit to that series (Figure 3.5). After an initial decline from 1950 to the mid-1980s, the model interpreted the information in the indices (the two earliest ones of which [SEAMAP indices] started in 1987) by fitting a central tendency and predicting a slowing down in the decline of relative abundance. This is probably because the model had difficulty reconciling the conflicting trends and oscillations of some of the indices of abundance (Figure 3.6). Three of the indices (NMFS LL SE, SEAMAP Summer, and SEAMAP Fall) had a generally increasing tendency, three had a more decreasing tendency (PCGN Adult and Juveniles, DISL), and two were characterized by especially wide oscillations with no clear trend (MML and BLLOP). In general, the poor fit to some of the indices is caused in part by high interannual variability that does not seem to be compatible with the life history of this species, even considering that this stock of blacknose sharks appears to be relatively productive for elasmobranch standards. This suggests that the statistical standardization of the indices done externally to the model may not have included all factors that help explain relative abundance.

Comparison of model fits

A comparison among models of relative likelihood values by model source (catch, indices, effort, catchability and recruitment) will be included before the Review Workshop.

3.1.2.2. Parameter estimates and associated measures of uncertainty

A list of model parameters is presented in Table 3.1. The table includes predicted parameter values with associated SDs, initial parameter values, minimum and maximum allowed values, and prior density functions assigned to parameters. Parameters that were held fixed (not estimated) are not included in this table.

3.1.2.3. Stock Abundance and Recruitment

Predicted stock abundance at age is presented in Figure 3.7. The first three age classes made up about 50% of the population in any given year and mean age by year varied very little (min=3.64, max=5.19).

The ASPM does not model age 0s and thus no predicted age-0 recruits are produced, only the estimated virgin number of age-1 recruits (see Section 3.1.1.3). However, one can calculate an "observed" and an "expected" recruitment for different levels of relative SSB using the Beverton-Holt model reparameterized in terms of steepness (Francis 1992) and maximum lifetime reproductive rate, which are quantities estimated by ASPM. Figure 3.8 shows "observed" vs. predicted recruits for different levels of SSB depletion. Predicted recruits are given by equation (2) in Section 3.1.1.3 and "observed" recruits are given by:

$$R = \frac{4zS}{SPR_0(1-z) + \dfrac{S(5z-1)}{\varphi_0}}$$

where z is steepness, S is spawners, SPR_0 is the spawning potential ratio at virgin conditions and φ_0 is virgin spawners per recruit (from equation 3 in section 3.1.1.3).

3.1.2.4. Stock Biomass

Predicted abundance, total biomass, and spawning stock fecundity (numbers x proportion mature x fecundity in numbers) are presented in Table 3.7 and Figure 3.9. All trajectories show a fairly steep decline from 1950 to about 1980, corresponding to increasing catches, effort and estimated F in the historic period attributable to the shrimp bycatch, and a deceleration of that rate of decline until 2007, followed by even some increase in the most recent year of data, 2009. Decreasing biomass and abundance in ca. 1981-2007 coincide with additional catches of blacknose sharks in other sectors, whereas the stabilization in the last few years of data likely corresponds to reduced catches and increasing tendencies for some of the indices in those years.

3.1.2.5. Fishery Selectivity

As explained in Section 2.2 and shown in Table 2.2 and Figure 2.7, selectivities are estimated externally to the model and a functional form inputted for each fleet and index. In Figure 2.7 one can see that most fleets fully select for immature animals, and that many of the indices include immature animals too.

3.1.2.6. Fishing Mortality

Predicted total and fleet-specific instantaneous fishing mortality rates are presented in Table 3.8 and Figure 3.10. Fishing mortality steeply increased from 1950 to 1972 in accordance with increasing shrimp bycatch and effort during that period. After 1972, fishing mortality oscillated but always exceeded the estimated F_{MSY} of 0.23. Fishing mortality dropped just below F_{MSY} in 2008 in accordance with reduced catches, but was estimated to climb back up in 2009 mirroring a slight increase in catches in the terminal year, 2009. During the entire time period, fishing mortality was dominated by the shrimp fleet, with the influence of the other fleets being minor in comparison (Figure 3.10).

3.1.2.7. Stock-Recruitment Parameters

See Section 3.1.2.3 above for additional discussion of the stock-recruitment curve and associated parameters. The predicted virgin recruitment (R_0; number of age 1 pups) was 159,000 animals (Figure 3.8 and see next section for further discussion on R_0). The predicted steepness was 0.47 and the maximum lifetime reproductive rate was 3.51, values in line with the life history of this species (Brooks et al. 2009). As explained above (section 3.1.1.4), pup (age-0) survival was fixed. We used the mode of the posterior from the estimate of pup survival from the previous assessment: 0.745.

3.1.2.8. Evaluation of Uncertainty

Estimates of asymptotic standard errors for all model parameters are presented in Table 3.1. Posterior distributions for several model parameters and benchmarks of interest will be obtained through likelihood profiling and presented before the Review Workshop.

Results of the base and sensitivity analyses are summarized in Table 3.9. Using the inverse of the a priori ranks to weight the indices (sensitivity scenario 1) led to higher depletion and the stock experiencing more overfishing compared to the base run. Using a U-shaped vector for natural mortality (scenario 2) effectively increased M for ages 1-5 and decreased it for ages 6-14 with respect to the M used in the base run, resulting in the same prediction of stock depletion but a much higher degree of overfishing and a considerably less productive stock than the base run. Using only the seven fishery-independent indices (scenario 3) had very little effect on results, indicating that the fishery-dependent series eliminated in this scenario (BLLOP) had little influence overall. Using only the hierarchical index had a strong effect on results and changed stock status (scenario 4). Since the model was only informed by a single index of relative abundance with an increasing trend, it predicted little depletion and no overfishing at all. Considering lower catches than in the base run (scenario 5) reversed status, with the stock no longer being overfished or overfishing occurring, whereas considering higher catches (scenario 6) improved current status, still resulting in an overfished stock but with overfishing no longer occurring (F_{2009}/F_{MSY}=0.92). The most noticeable results of these two scenarios were that most of the absolute abundance and biomass metrics were less than halved and more than doubled, respectively, with respect to the base run and the other sensitivity scenarios, but stock productivity remained unchanged.

Continuity analysis

This run consisted of using the same exact model, data inputs and assumptions used in the 2007 assessment, but adding four additional years of catch data (2006-2009; Figure 3.11) and the same indices updated to 2009 if available (Figure 3.12). Table 3.10 shows the summarized results of the continuity analysis and of the 2007 base run. The base run in 2007 indicated that the stock was overfished with overfishing occurring, a conclusion supported by the continuity run, which predicted, however, a slightly less overfished stock with less overfishing occurring (Table 3.10). Although the same eight indices used in 2007 were also used in the continuity run, most were reanalyzed and had four additional years of data. There are no very clearly discernable trends in the indices for the added (2006-2009) years, but two seem to indicate an upward trend (NMFS LL SE and UNC) and two a downward tendency (BLLOP and MML).

Retrospective analysis

Results of the retrospective analysis are presented in Figure 3.13. Three model output quantities were examined in the analysis: 1) spawning stock fecundity, 2) relative spawning stock fecundity, and 3) relative fishing mortality. The SSF trajectories all seemed to converge around 1996, overlapped till about 1969 and started to separate somewhat prior to that in two groups, the base-2008 retrospective run, and the 2007-2006 retrospective runs. The relative spawning fecundity (SSF/SSF_{MSY}) trajectories seemed to converge around 2003 and almost completely overlap prior to that, showing no retrospective pattern. The relative fishing mortality (F/F_{MSY}) trajectories did not fully converge until almost the beginning of the time series; the base and 2008 and 2007 retrospective runs ran closely in parallel during most years and fully converged around 1955, whereas the 2006 retrospective run had the same shape as the other runs, but the magnitude was substantially different.

3.1.2.9. Benchmarks/Reference Points/ABC Values

Benchmarks for the MSY reference points for the base run and all sensitivity scenarios are summarized in Table 3.9 and those for the continuity analysis in Table 3.10. The base model estimated an overfished stock and that overfishing was still occurring, albeit at a much reduced level compared to the last assessment when the two stocks were not split (Table 3.10). The model estimated that the stock had been overfished since 1989 and that overfishing was occurring since 1958, with the level of overfishing steadily declining in the last decade, during which it dipped slightly below the limit in the penultimate year of data, 2008, but climbed back up in 2009 (Figure 3.14). All sensitivity runs estimated an overfished status, except for runs 4 (hierarchical index) and 5 (low catch scenario) and three of the sensitivities (S1, S2, and S3) estimated overfishing was occurring whereas the other three (S4, S5, and S6) did not (Table 3.9). Figure 3.15 is a phase plot showing the outcomes of the base model, the 6 sensitivity scenarios, the continuity analysis, and the results of the base model from the 2007 assessment. Figure 3.16

is a phase plot of the outcomes of the base model, the retrospective runs, and the 2007 assessment base model. The results of retrospective analysis support the conclusions from the base run, i.e., that the stock briefly stopped experiencing overfishing in 2008, but not before or in 2009. The conclusion of the 2007 assessment (stock overfished with overfishing) is also replicated closely when using data up to 2006 only (retrospective run 2006). No retrospective runs could be run past 2006 because the DISL index had no data points prior to 2006.

3.1.2.10. Projections

Projections will be undertaken prior to the Review Workshop.

3.1.3. Discussion

Catches of blacknose shark in the Gulf of Mexico were dominated by discards in the shrimp trawl fishery. These discard estimates should be considered superior to those used in the 2007 stock assessment because they stemmed from a collaboration between NOAA and the shrimp industry. The decision to recombine the pre-TED and post-TED series into a single catch stream did not affect the magnitude of the catches; using the Shrimp post-TED selectivity curve for the entire time period (vs. using the Pre-TED selectivity for 1950-1989 and the Post-TED selectivity for 1990-2009) decreased the overall selectivity because it only selected for age-1 sharks (compared to the post-TED selectivity selecting for sharks up to age-6).

Seven of the eight indices that theoretically track relative abundance were fishery independent, but they all started in 1987 at the earliest and thus did not cover the early period of high catches attributed to the shrimp trawl fishery. An issue of concern regarding the indices of relative abundance in general is that many of them show interannual variability that does not seem to be compatible with the life history of sharks (even blacknose sharks which are fairly productive for elasmobranch standards), suggesting that the GLMs used to standardize the indices did not include all factors to help track relative abundance or that the spatial scope of sampling is too limited to allow for precise inference about stock-wide trends. The poor fit to some of the indices is thus likely the result of the model attempting to reconcile different signals provided by different indices and fitting a more central tendency (“compromise fit”).

The uncertainty associated with biological parameters was only investigated through the scenario with a U-shape natural mortality curve and resulted in a higher degree of overfishing and a substantially less productive stock. There were some differences in biological inputs used in the 2007 and the current assessment (beyond the obvious splitting of the blacknose shark stock into Gulf of Mexico and South Atlantic stocks), more specifically the values of M used in 2007 were higher than those in the present assessment, fecundity was higher in the present assessment (5 pups vs. 3.3 pups in 2007), and lifespan was increased by one year (from 13 in 2007 to 14), changes which would be expected to increase the potential productivity of the stock. Other differences between the 2007 and current assessment include: there are still 8 indices, but 3 of them are new and all were reanalyzed, and there are two new selectivities for catches and indices. Selectivities for catch series and the vast majority of indices still select for immature individuals, thus curtailing the reproductive potential of the stock.

The 2007 assessment estimated depletions of $SSF_{2005}/SSF_0 = 0.20$ ($B_{2005}/B_0 = 0.17$) and the current base model estimated $SSF_{2009}/SSF_0 = 0.19$ ($B_{2009}/B_0 = 0.21$). The current base model estimated a more productive stock than the 2007 assessment, with higher maximum lifetime reproductive rate (3.51 vs. 2.02) and steepness (0.47 vs. 0.34). However, the estimate of virgin recruitment (age-1 pups) was lower (159,000 vs. 317,000), possibly as a result of pup survival being fixed at a lower level (0.74 vs. 0.78). Total biomass in 2009 was lower than that estimated for 2005 in the 2007 assessment (754 mt vs. 1,000 mt) and the estimate of MSY for the current base model (51 mt) was also lower than the 2007 assessment estimate (89 mt).

Despite the substantial decrease in the estimated level of overfishing compared to the 2007 assessment, the vulnerability of blacknose sharks to trawl and other gear long before they reach maturity may help explain the still high degree of depletion estimated by the model. The declining trend in overfishing in the last decade is encouraging and expected in recent years due to regulations, but any increases in the level of catches, as seen in 2009 vs. 2008, severely impacts the status of the stock. It will likely take a few generations to allow this stock to be more resilient to sharp increases in fishing mortality.

3.1.4. Recommendations for data collection and future research

- Investigate alternative approaches to age-length keys for estimating age from length.
- Improve observer coverage, particularly during regulatory or gear changes in the fishery.
- Longer time series for surveys will always aid the assessment process. However, it is equally important to maintain the sampling methods and document them well for the most appropriate statistical analyses to be applied to the data.
- More time was necessary to complete the data vetting process for this many species, and in the future we strongly recommend that no more than probably two stocks be assessed simultaneously with the same number of participants.

3.1.5. References

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3.2. TABLES

Table 3.1. List of parameters estimated in ASPM for blacknose shark (base run). The list includes predicted parameter values with associated SDs, initial parameter values, minimum and maximum allowed values, and prior density functions assigned to parameters. Parameters that were held fixed (not estimated) are not included in this table.

	Predicted					Prior pdf			
Parameter/Input name	Value	SD	Initial	Min	Max	Type	Value	SD (CV)	Status
Virgin recuitment	1.59E+05	8.17E+03	1.76E+07	1.00E+05	1.00E+08	uniform	-	-	estimated
Catchability coefficient Com-BLL catch series	1.49E-04	1.05E-04	1.10E-04	1.10E-07	1.10E+01	lognormal	1.10E-04	1	estimated
Catchability coefficient Com-GN catch series	1.11E-05	1.10E-05	1.10E-05	1.10E-10	1.10E+01	lognormal	1.10E-05	1	estimated
Catchability coefficient Com-L catch series	1.11E-05	1.11E-05	1.10E-05	1.10E-07	1.10E+01	lognormal	1.10E-05	1	estimated
Catchability coefficient Rec catch series	1.12E-04	1.11E-04	1.10E-04	1.10E-06	1.10E+01	lognormal	1.10E-04	1	estimated
Catchability coefficient Shrimp catch series	2.55E-03	1.66E-03	1.10E-03	1.10E-06	1.10E+01	lognormal	1.10E-03	1	estimated
Catchability coefficient BLL disc catch series	8.27E-05	5.85E-05	1.10E-04	1.10E-06	1.10E+01	lognormal	1.10E-04	1	estimated
Catchability coefficient NMFS LL SE index	6.10E-06	1.13E-06	5.70E-04	1.10E-10	1.00E-03	lognormal	5.70E-04	1	estimated
Catchability coefficient SEAMAP Summer index	1.02E-05	1.47E-06	3.44E-05	1.10E-10	1.00E-03	lognormal	3.44E-05	1	estimated
Catchability coefficient SEAMAP Fall index	1.11E-05	1.60E-06	5.70E-04	1.10E-10	1.00E-03	lognormal	5.70E-04	1	estimated
Catchability coefficient PCGN Adult index	6.03E-06	1.19E-06	3.44E-04	1.10E-10	1.00E-03	lognormal	3.44E-04	1	estimated
Catchability coefficient PCGN Juvenile index	5.56E-06	1.03E-06	5.70E-04	1.10E-10	1.00E-03	lognormal	5.70E-04	1	estimated
Catchability coefficient MML index	1.02E-05	2.39E-06	2.25E-03	1.10E-10	1.00E-03	lognormal	2.25E-03	1	estimated
Catchability coefficient DISL index	1.55E-05	4.59E-06	3.44E-03	1.10E-10	1.00E-03	lognormal	3.44E-03	1	estimated
Catchability coefficient BLLOP index	3.82E-06	6.91E-07	5.70E-04	1.10E-10	1.00E-03	lognormal	5.70E-04	1	estimated
Historic effort Com-BLL fleet	1.91E-03	1.37E-03	0.00E+00	0.00E+00	1.00E+00	uniform	-	-	estimated
Historic effort Com-GN fleet	2.93E-02	2.97E-02	0	0	1.00E+00	uniform	-	-	estimated
Historic effort Com-L fleet	8.03E-03	9.57E-03	0.00001	0	1.00E+00	uniform	-	-	estimated
Historic effort Rec fleet	1.41E-03	1.51E-03	0.00001	0	1.00E+00	uniform	-	-	estimated
Historic effort Shrimp fleet	1.00E+00	1.27E-03	0.01	0	1.00E+00	uniform	-	-	estimated
Historic effort BLL disc fleet	5.00E-01	3.54E+02	0	0	1.00E+00	uniform	-	-	estimated
Modern effort Com-BLL fleet	1.00E+00	6.25E-02	0.4	0	1.00E+00	uniform	-	-	estimated
Modern effort Com-GN fleet	1.00E+00	1.12E-01	0.28	0	1.00E+00	uniform	-	-	estimated
Modern effort Com-L fleet	1.00E+00	8.26E-02	0.05	0	1.00E+00	uniform	-	-	estimated
Modern effort Rec fleet	1.00E+00	6.79E-02	1.40E-01	0.00E+00	1.00E+00	uniform	-	-	estimated
Modern effort Shrimp fleet	1.00E+00	1.70E-02	6.00E-01	0.00E+00	1.00E+00	uniform	-	-	estimated
Modern effort BLL disc fleet	5.00E-01	3.54E+02	4.00E-01	0.00E+00	1.00E+00	uniform	-	-	estimated
Overall variance	-5.22E-01	2.23E-02	-7.50E+00	-5.50E+01	-1.00E+02	uniform	-	-	estimated
Effort deviation for Com-BLL fleet in 1972	-5.90E+00	9.39E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1973	-5.86E+00	9.39E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1974	-5.81E+00	9.41E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1975	-5.78E+00	9.41E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1976	-5.77E+00	9.41E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1977	-5.75E+00	9.41E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1978	-5.72E+00	9.41E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1979	-5.66E+00	9.42E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1980	-5.62E+00	9.43E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1981	2.04E+00	7.89E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1982	2.74E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Effort deviation for Com-BLL fleet in 1983	3.17E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1984	3.47E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1985	3.69E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1986	3.87E+00	7.87E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1987	4.08E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1988	4.27E+00	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1989	4.37E+00	7.87E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1990	4.46E+00	7.86E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1991	4.56E+00	7.85E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1992	4.67E+00	7.85E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1993	4.79E+00	7.84E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1994	4.91E+00	7.84E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1995	5.99E+00	7.61E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1996	4.65E+00	7.69E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1997	4.74E+00	7.68E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1998	4.42E+00	7.69E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 1999	2.36E+00	7.73E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2000	4.96E+00	7.67E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2001	4.64E-01	7.88E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2002	5.10E+00	7.66E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2003	4.71E+00	7.69E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2004	4.09E+00	7.72E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2005	5.94E+00	7.65E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2006	6.37E+00	7.82E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2007	4.84E+00	8.04E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2008	4.00E+00	8.07E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-BLL fleet in 2009	4.73E+00	8.11E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1972	-3.17E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1973	-3.11E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1974	-3.07E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1975	-3.08E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1976	-3.09E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1977	-3.08E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1978	-3.02E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1979	-2.95E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1980	-2.98E+00	1.33E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1981	-3.05E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1982	-3.07E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1983	-3.07E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1984	-3.06E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1985	-3.07E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1986	-3.06E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1987	5.04E+00	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1988	5.76E+00	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1989	6.14E+00	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1990	6.41E+00	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1991	6.65E+00	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1992	6.87E+00	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1993	7.07E+00	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1994	7.22E+00	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Effort deviation for Com-GN fleet in 1995	-2.89E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1996	-2.88E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1997	-2.85E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1998	6.55E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 1999	6.53E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2000	-2.77E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2001	2.70E+00	1.09E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2002	7.45E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2003	2.32E+00	1.09E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2004	-2.75E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2005	4.20E+00	1.09E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2006	6.44E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2007	5.37E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2008	1.80E+00	1.13E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-GN fleet in 2009	3.83E+00	1.13E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1972	-1.02E+00	1.02E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1973	-5.67E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1974	-5.14E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1975	-4.84E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1976	-4.81E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1977	-5.48E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1978	-1.95E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1979	3.75E-02	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1980	7.60E-02	1.15E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1981	3.46E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1982	3.15E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1983	5.10E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1984	6.61E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1985	6.45E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1986	6.55E-02	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1987	3.98E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1988	4.61E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1989	4.54E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1990	4.41E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1991	4.48E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1992	4.76E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1993	5.19E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1994	5.74E-01	1.14E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1995	2.23E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1996	6.35E-01	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1997	3.03E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1998	2.44E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 1999	5.55E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2000	6.18E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2001	2.00E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2002	5.35E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2003	1.01E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2004	3.78E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2005	2.70E+00	1.09E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2006	2.34E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Effort deviation for Com-L fleet in 2007	3.40E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2008	2.93E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Com-L fleet in 2009	2.93E+00	1.13E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1972	-5.99E+00	1.05E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1973	-5.87E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1974	-5.81E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1975	-5.78E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1976	-5.78E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1977	-5.76E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1978	-5.72E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1979	-5.67E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1980	-5.63E+00	1.32E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1981	-5.67E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1982	-5.67E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1983	6.27E+00	1.09E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1984	-5.64E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1985	4.13E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1986	4.31E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1987	6.35E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1988	5.88E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1989	4.26E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1990	4.28E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1991	-5.54E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1992	5.15E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1993	5.23E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1994	6.36E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1995	4.83E+00	1.08E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1996	6.18E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1997	6.08E+00	1.06E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1998	5.95E+00	1.06E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 1999	5.46E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2000	5.71E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2001	6.32E+00	1.06E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2002	6.07E+00	1.06E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2003	5.61E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2004	6.40E+00	1.06E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2005	5.86E+00	1.07E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2006	6.19E+00	1.10E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2007	5.86E+00	1.12E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2008	5.43E+00	1.13E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Rec fleet in 2009	5.69E+00	1.13E+00	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1972	6.23E+00	6.82E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1973	6.41E+00	9.05E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1974	6.29E+00	8.84E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1975	6.00E+00	8.49E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1976	6.14E+00	8.65E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1977	6.26E+00	8.80E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1978	6.49E+00	9.21E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1979	6.49E+00	9.22E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1980	5.70E+00	8.26E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Effort deviation for Shrimp fleet in 1981	5.98E+00	8.51E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1982	5.91E+00	8.42E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1983	5.78E+00	8.25E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1984	5.82E+00	8.24E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1985	5.74E+00	8.22E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1986	5.95E+00	8.54E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1987	6.06E+00	8.98E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1988	5.62E+00	8.26E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1989	5.57E+00	8.10E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1990	5.73E+00	8.13E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1991	5.88E+00	8.15E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1992	5.96E+00	8.26E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1993	5.98E+00	8.39E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1994	5.69E+00	8.00E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1995	5.58E+00	7.78E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1996	5.69E+00	7.78E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1997	5.79E+00	7.72E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1998	5.84E+00	7.73E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 1999	5.88E+00	7.82E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2000	5.71E+00	7.67E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2001	5.66E+00	7.56E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2002	5.74E+00	7.54E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2003	5.55E+00	7.53E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2004	5.61E+00	7.62E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2005	5.41E+00	7.68E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2006	5.35E+00	8.22E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2007	5.24E+00	8.17E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2008	5.11E+00	8.34E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for Shrimp fleet in 2009	5.29E+00	8.46E-01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1972	-3.10E-04	3.16E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1973	-3.80E-04	3.54E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1974	-2.53E-04	3.62E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1975	-2.06E-04	3.64E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1976	-3.63E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1977	-4.76E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1978	-2.74E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1979	9.55E-05	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1980	1.88E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1981	-1.68E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1982	-6.04E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1983	-6.22E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1984	-2.07E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1985	1.80E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1986	2.27E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1987	1.14E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1988	1.16E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1989	1.69E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1990	6.86E-05	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1991	-1.17E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1992	-1.44E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Effort deviation for BLL-disc fleet in 1993	-8.30E-06	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1994	1.80E-05	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1995	-1.98E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1996	-4.41E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1997	-4.61E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1998	-3.12E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 1999	-2.53E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2000	-3.62E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2001	-4.43E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2002	-3.87E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2003	-3.67E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2004	-5.00E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2005	-5.82E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2006	-4.35E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2007	-2.55E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2008	-2.72E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated
Effort deviation for BLL-disc fleet in 2009	-3.31E-04	3.65E+01	0.00E+00	-1.50E+01	1.00E+02	lognormal	0	1	estimated

Table 3.2. Ranks used for weighting the indices of relative abundance in sensitivity scenario 1.

YEAR	NMFS SE LL	SEAMAP-S	SEAMAP-F	PCGN-Adults	PCGN-Juveniles	MML LL	DISL LL	BLLOP
1950	1	2	2	3	3	3	5	4
1951	1	2	2	3	3	3	5	4
1952	1	2	2	3	3	3	5	4
1953	1	2	2	3	3	3	5	4
1954	1	2	2	3	3	3	5	4
1955	1	2	2	3	3	3	5	4
1956	1	2	2	3	3	3	5	4
1957	1	2	2	3	3	3	5	4
1958	1	2	2	3	3	3	5	4
1959	1	2	2	3	3	3	5	4
1960	1	2	2	3	3	3	5	4
1961	1	2	2	3	3	3	5	4
1962	1	2	2	3	3	3	5	4
1963	1	2	2	3	3	3	5	4
1964	1	2	2	3	3	3	5	4
1965	1	2	2	3	3	3	5	4
1966	1	2	2	3	3	3	5	4
1967	1	2	2	3	3	3	5	4
1968	1	2	2	3	3	3	5	4
1969	1	2	2	3	3	3	5	4
1970	1	2	2	3	3	3	5	4
1971	1	2	2	3	3	3	5	4
1972	1	2	2	3	3	3	5	4
1973	1	2	2	3	3	3	5	4
1974	1	2	2	3	3	3	5	4
1975	1	2	2	3	3	3	5	4
1976	1	2	2	3	3	3	5	4
1977	1	2	2	3	3	3	5	4
1978	1	2	2	3	3	3	5	4
1979	1	2	2	3	3	3	5	4
1980	1	2	2	3	3	3	5	4

1981	1	2	2	3	3	3	5	4
1982	1	2	2	3	3	3	5	4
1983	1	2	2	3	3	3	5	4
1984	1	2	2	3	3	3	5	4
1985	1	2	2	3	3	3	5	4
1986	1	2	2	3	3	3	5	4
1987	1	2	2	3	3	3	5	4
1988	1	2	2	3	3	3	5	4
1989	1	2	2	3	3	3	5	4
1990	1	2	2	3	3	3	5	4
1991	1	2	2	3	3	3	5	4
1992	1	2	2	3	3	3	5	4
1993	1	2	2	3	3	3	5	4
1994	1	2	2	3	3	3	5	4
1995	1	2	2	3	3	3	5	4
1996	1	2	2	3	3	3	5	4
1997	1	2	2	3	3	3	5	4
1998	1	2	2	3	3	3	5	4
1999	1	2	2	3	3	3	5	4
2000	1	2	2	3	3	3	5	4
2001	1	2	2	3	3	3	5	4
2002	1	2	2	3	3	3	5	4
2003	1	2	2	3	3	3	5	4
2004	1	2	2	3	3	3	5	4
2005	1	2	2	3	3	3	5	4
2006	1	2	2	3	3	3	5	4
2007	1	2	2	3	3	3	5	4
2008	1	2	2	3	3	3	5	4
2009	1	2	2	3	3	3	5	4

Table 3.3. Values of natural mortality (M, instantaneous natural mortality rate) at age obtained by applying a U-shaped equation in sensitivity scenario 2.

Age	U-shaped M
1	0.5189
2	0.3844
3	0.2916
4	0.2284
5	0.1863
6	0.1593
7	0.1432
8	0.1351
9	0.1330
10	0.1355
11	0.1416
12	0.1508
13	0.1626
14	0.1769

Table 3.4. Standardized hierarchical index of relative abundance used in sensitivity scenario 4 with associated CVs. The index is scaled (divided by the mean).

YEAR	Hierarchical index	CV
1950	-	-
1951	-	-
1952	-	-
1953	-	-
1954	-	-
1955	-	-
1956	-	-
1957	-	-
1958	-	-
1959	-	-
1960	-	-
1961	-	-
1962	-	-
1963	-	-
1964	-	-
1965	-	-
1966	-	-
1967	-	-
1968	-	-
1969	-	-
1970	-	-
1971	-	-
1972	-	-
1973	-	-
1974	-	-
1975	-	-
1976	-	-
1977	-	-
1978	-	-
1979	-	-
1980	-	-
1981	-	-
1982	-	-
1983	-	-
1984	-	-
1985	-	-
1986	-	-
1987	0.74	0.54
1988	0.73	0.54
1989	0.99	0.49
1990	0.83	0.49
1991	0.94	0.48
1992	0.92	0.52
1993	0.86	0.48
1994	0.63	0.46
1995	0.75	0.36
1996	1.01	0.30
1997	0.76	0.30
1998	1.13	0.36
1999	0.76	0.33
2000	0.80	0.33
2001	0.93	0.29
2002	0.84	0.29
2003	1.24	0.27

2004	1.51	0.26
2005	1.26	0.30
2006	1.51	0.30
2007	0.91	0.28
2008	1.62	0.27
2009	1.32	0.28

Table 3.5. Low catch scenario of blacknose shark used in sensitivity scenario 5. Catches are by fleet in numbers.

Year	Commercial landings			Recreational	Shrimp	Bottom LL
	Bottom longlines	Nets	Lines		bycatch	discards
1950	0	0	0	0	0	0
1951	0	0	0	0	1307	0
1952	0	0	0	0	3029	0
1953	0	0	0	0	4751	0
1954	0	0	0	0	6507	0
1955	0	0	0	0	8194	0
1956	0	0	1	0	9916	0
1957	0	0	1	0	11638	0
1958	0	0	1	0	13360	0
1959	0	0	1	0	15081	0
1960	0	0	1	0	16803	0
1961	0	0	1	0	11895	0
1962	0	0	1	0	14323	0
1963	0	0	1	0	16187	0
1964	0	0	1	0	17355	0
1965	0	0	1	0	15211	0
1966	0	0	2	0	14283	0
1967	0	0	2	0	16552	0
1968	0	0	2	0	16850	0
1969	0	0	2	0	19488	0
1970	0	0	2	0	16323	0
1971	0	0	2	0	16706	0
1972	0	0	2	0	17545	0
1973	0	0	2	0	16231	0
1974	0	0	2	0	16116	0
1975	0	0	2	0	13596	0
1976	0	0	2	0	15640	0
1977	0	0	3	0	16307	0
1978	0	0	3	0	16998	0
1979	0	0	3	0	16875	0
1980	0	0	3	0	8499	0
1981	389	0	3	0	9768	153
1982	779	0	3	0	8062	305
1983	1168	0	3	0	6213	458
1984	1558	0	3	0	4906	610
1985	1947	0	3	0	3217	763
1986	2337	0	3	0	1930	915
1987	2726	0	4	0	259	1068
1988	3116	0	4	0	0	1221
1989	3505	0	4	0	0	1373
1990	3895	0	4	6	11945	1526
1991	4284	0	4	0	14467	1678
1992	4674	0	4	235	15629	1831
1993	5063	0	4	61	14887	1984
1994	5452	0	4	2525	13959	2136

1995	5842	0	11	0	14927	1484
1996	1635	0	4	0	16723	173
1997	1846	0	43	0	19366	630
1998	1875	1185	23	0	20641	283
1999	1064	1128	511	4	18207	893
2000	2863	0	956	17	17626	1726
2001	988	24	14	9	19516	951
2002	3761	2940	398	37	22652	1858
2003	1934	16	5	19	18051	652
2004	1101	0	80	268	16092	750
2005	4482	103	26	66	11787	1390
2006	11067	937	17	217	9762	4338
2007	2974	314	48	142	8306	514
2008	1282	9	31	44	6092	195
2009	2226	69	32	32	7673	314

Table 3.6. High catch scenario of blacknose shark used in sensitivity scenario 6. Catches are by fleet in numbers.

Year	Commercial landings			Recreational	Shrimp	Bottom LL
	Bottom longlines	Nets	Lines		bycatch	discards
1950	0	0	0	0	0	0
1951	0	0	0	0	6148	0
1952	0	0	0	0	14246	0
1953	0	0	0	0	22345	0
1954	0	0	0	0	30607	0
1955	0	0	0	0	38543	0
1956	0	0	1	0	46641	0
1957	0	0	1	0	54738	0
1958	0	0	1	0	62838	0
1959	0	0	1	0	70936	0
1960	0	0	1	0	79034	0
1961	0	0	1	0	55949	0
1962	0	0	1	0	67369	0
1963	0	0	1	0	76139	0
1964	0	0	1	0	81631	0
1965	0	0	1	0	71545	0
1966	0	0	2	0	67183	0
1967	0	0	2	0	77855	0
1968	0	0	2	0	79255	0
1969	0	0	2	0	91665	0
1970	0	0	2	0	76775	0
1971	0	0	2	0	78577	0
1972	0	0	2	0	122165	0
1973	0	0	2	0	102659	0
1974	0	0	2	0	92030	0
1975	0	0	2	0	74352	0
1976	0	0	2	0	79390	0
1977	0	0	3	0	84209	0
1978	0	0	3	0	95840	0
1979	0	0	3	0	93359	0
1980	0	0	3	0	55743	0
1981	2708	0	3	0	67776	1061
1982	5416	0	3	0	64946	2122
1983	8124	0	3	30664	60277	3183
1984	10833	0	3	0	63550	4244
1985	13541	0	3	5168	59041	5305
1986	16249	0	3	4383	63646	6366
1987	18957	0	4	33897	63399	7427
1988	21665	0	4	22669	52542	8488
1989	24373	0	4	5203	54157	9549
1990	27082	0	4	3992	47861	10610
1991	29790	0	4	0	53925	11670
1992	32498	0	4	8995	53155	12731
1993	35206	0	4	11979	50135	13792

1994	37914	0	4	27637	46079	14853
1995	40622	0	80	8964	46891	10318
1996	5481	0	10	31424	50199	581
1997	62774	0	761	28707	56864	21406
1998	8269	4731	91	22176	57281	1249
1999	9237	20424	9251	13099	54423	7750
2000	6083	0	1792	20061	53780	3668
2001	4014	61	36	36784	58022	3866
2002	37972	29328	3975	25949	64384	18758
2003	7138	38	11	16172	51007	2405
2004	11158	0	461	35422	46520	7599
2005	79050	882	224	19264	34119	24506
2006	37516	2148	40	21910	29346	14706
2007	9509	703	106	14951	26456	1645
2008	7751	32	111	9348	20294	1180
2009	20710	361	170	13573	23663	2918

Table 3.7. Predicted abundance (numbers), total biomass (kg), and spawning stock fecundity (numbers) of blacknose shark for the base run.

Year	N	B	SSF
1950	766,724	3,558,511	750,290
1951	766,564	3,558,126	750,290
1952	762,963	3,549,324	750,280
1953	756,781	3,531,078	750,180
1954	748,633	3,503,310	749,120
1955	738,943	3,466,572	744,880
1956	727,903	3,421,614	737,620
1957	715,677	3,369,237	727,960
1958	702,472	3,310,397	716,440
1959	688,452	3,245,996	703,420
1960	673,780	3,176,927	689,140
1961	658,607	3,104,009	673,810
1962	643,041	3,027,978	657,610
1963	627,182	2,949,519	640,730
1964	611,109	2,869,193	623,310
1965	594,920	2,787,614	605,520
1966	578,675	2,705,231	587,450
1967	562,422	2,622,414	569,230
1968	546,211	2,539,545	550,940
1969	530,082	2,456,945	532,670
1970	514,087	2,374,864	514,470
1971	498,229	2,293,491	496,410
1972	482,556	2,213,062	478,540
1973	467,073	2,133,689	460,900
1974	446,764	2,043,438	443,510
1975	434,027	1,967,550	426,350
1976	432,091	1,921,700	408,460
1977	424,020	1,874,381	389,090
1978	411,872	1,821,964	376,850
1979	393,531	1,753,167	372,420
1980	379,341	1,685,972	364,870
1981	391,374	1,679,606	351,990
1982	390,594	1,664,351	333,800
1983	389,337	1,654,156	312,500
1984	375,512	1,569,868	307,710
1985	376,045	1,571,612	307,400
1986	376,730	1,567,820	305,570
1987	371,063	1,549,296	296,870
1988	349,847	1,447,670	281,670
1989	346,211	1,405,305	273,620
1990	348,786	1,408,294	266,710
1991	345,704	1,401,434	262,400
1992	340,468	1,391,889	263,810
1993	330,704	1,350,454	261,000
1994	321,217	1,303,993	247,420
1995	309,360	1,225,464	225,100
1996	298,281	1,159,702	206,700
1997	288,870	1,123,871	195,690
1998	278,588	1,088,245	192,860
1999	271,164	1,061,219	196,290
2000	270,706	1,062,243	196,060
2001	268,178	1,038,125	190,390

2002	266,850	1,027,204	181,990
2003	256,555	983,629	174,760
2004	256,977	984,202	171,790
2005	252,520	963,575	163,980
2006	243,832	915,706	148,150
2007	225,340	828,207	138,760
2008	225,949	838,290	140,650
2009	232,839	873,083	146,230

Table 3.8. Estimated total and fleet-specific instantaneous fishing mortality rates by year.

Year	Total F	Fleet-specific F					
		Com-BLL	Com-GN	Com-L	Rec	Shrimp	BLL-Disc
1950	0.0013181	0.0000003	0.0000003	0.0000001	0.0000002	0.0025474	0.0000002
1951	0.0318510	0.0000003	0.0000003	0.0000003	0.0000002	0.0613643	0.0000002
1952	0.0625920	0.0000003	0.0000003	0.0000004	0.0000002	0.1201787	0.0000002
1953	0.0935420	0.0000003	0.0000003	0.0000006	0.0000002	0.1789956	0.0000002
1954	0.1247000	0.0000003	0.0000003	0.0000008	0.0000002	0.2378125	0.0000002
1955	0.1560600	0.0000003	0.0000003	0.0000010	0.0000002	0.2966193	0.0000002
1956	0.1876300	0.0000003	0.0000003	0.0000012	0.0000002	0.3554387	0.0000002
1957	0.2193900	0.0000003	0.0000003	0.0000013	0.0000002	0.4142582	0.0000002
1958	0.2513700	0.0000003	0.0000003	0.0000015	0.0000002	0.4730777	0.0000002
1959	0.2835400	0.0000003	0.0000003	0.0000017	0.0000002	0.5318971	0.0000002
1960	0.3159100	0.0000003	0.0000003	0.0000019	0.0000002	0.5907166	0.0000002
1961	0.3484800	0.0000003	0.0000003	0.0000021	0.0000002	0.6495361	0.0000002
1962	0.3812400	0.0000003	0.0000003	0.0000022	0.0000002	0.7083300	0.0000002
1963	0.4142000	0.0000003	0.0000003	0.0000024	0.0000002	0.7671495	0.0000002
1964	0.4473500	0.0000003	0.0000003	0.0000026	0.0000002	0.8259690	0.0000002
1965	0.4806900	0.0000003	0.0000003	0.0000028	0.0000002	0.8847884	0.0000002
1966	0.5142200	0.0000003	0.0000003	0.0000029	0.0000002	0.9436079	0.0000002
1967	0.5479400	0.0000003	0.0000003	0.0000031	0.0000003	1.0024274	0.0000002
1968	0.5818500	0.0000003	0.0000003	0.0000033	0.0000003	1.0612468	0.0000002
1969	0.6159300	0.0000003	0.0000003	0.0000035	0.0000003	1.1200408	0.0000002
1970	0.6502000	0.0000003	0.0000003	0.0000037	0.0000003	1.1788603	0.0000002
1971	0.6846500	0.0000003	0.0000003	0.0000038	0.0000003	1.2376798	0.0000002
1972	0.7192700	0.0000004	0.0000005	0.0000040	0.0000003	1.2964992	0.0000002
1973	0.8699300	0.0000004	0.0000005	0.0000063	0.0000003	1.5491249	0.0000002
1974	0.7626900	0.0000004	0.0000005	0.0000067	0.0000003	1.3698389	0.0000002
1975	0.5647000	0.0000005	0.0000005	0.0000069	0.0000003	1.0315442	0.0000003
1976	0.6547200	0.0000005	0.0000005	0.0000069	0.0000003	1.1866044	0.0000003
1977	0.7399800	0.0000005	0.0000005	0.0000105	0.0000004	1.3315515	0.0000003
1978	0.9465300	0.0000005	0.0000005	0.0000109	0.0000004	1.6756288	0.0000003
1979	0.9440800	0.0000005	0.0000006	0.0000116	0.0000004	1.6716039	0.0000003
1980	0.4096100	0.0000005	0.0000006	0.0000120	0.0000004	0.7589724	0.0000003
1981	0.5518400	0.0011461	0.0000005	0.0000115	0.0000004	1.0086430	0.0006371
1982	0.5109000	0.0022933	0.0000005	0.0000115	0.0000004	0.9366535	0.0012747
1983	0.4559200	0.0035250	0.0000005	0.0000117	0.0589875	0.8207468	0.0019593
1984	0.4679900	0.0047674	0.0000005	0.0000119	0.0000004	0.8600787	0.0026499
1985	0.4312100	0.0059568	0.0000005	0.0000119	0.0069737	0.7922414	0.0033111
1986	0.5360100	0.0071622	0.0000005	0.0000119	0.0082783	0.9755523	0.0039811
1987	0.6178800	0.0087684	0.0017136	0.0000166	0.0638278	1.0965538	0.0048738
1988	0.3900700	0.0106158	0.0035202	0.0000176	0.0401131	0.7003822	0.0059007
1989	0.3682500	0.0117864	0.0051463	0.0000175	0.0078646	0.6682849	0.0065514
1990	0.4358300	0.0128919	0.0067735	0.0000173	0.0080966	0.7856182	0.0071659
1991	0.5081700	0.0141906	0.0085915	0.0000174	0.0000004	0.9121475	0.0078877
1992	0.5594800	0.0158446	0.0107240	0.0000179	0.0193042	0.9914226	0.0088071
1993	0.5699500	0.0178405	0.0130857	0.0000187	0.0208268	1.0043634	0.0099165
1994	0.4379400	0.0201040	0.0151146	0.0000198	0.0642542	0.7543870	0.0111747

1995	0.3805500	0.0592605	0.0000006	0.0001033	0.0139884	0.6732778	0.0329395
1996	0.4201100	0.0155888	0.0000006	0.0000210	0.0539441	0.7528841	0.0086649
1997	0.4657900	0.0170552	0.0000006	0.0002313	0.0487310	0.8347066	0.0094800
1998	0.4947400	0.0123803	0.0077389	0.0001282	0.0430099	0.8772736	0.0068815
1999	0.5067600	0.0015742	0.0075968	0.0028647	0.0263648	0.9082755	0.0008750
2000	0.4262000	0.0211510	0.0000007	0.0054022	0.0338406	0.7656465	0.0117566
2001	0.4044700	0.0002365	0.0001649	0.0000819	0.0617292	0.7298301	0.0001315
2002	0.4628200	0.0243499	0.0191069	0.0023386	0.0482186	0.7942538	0.0135347
2003	0.3631600	0.0165615	0.0001128	0.0000305	0.0305297	0.6578151	0.0092056
2004	0.3888900	0.0089009	0.0000007	0.0004857	0.0668720	0.6962044	0.0049475
2005	0.3274600	0.0562459	0.0007407	0.0001649	0.0392078	0.5698279	0.0312639
2006	0.3273400	0.0871440	0.0069418	0.0001153	0.0544743	0.5390808	0.0484384
2007	0.2690200	0.0187595	0.0023855	0.0003342	0.0391419	0.4793952	0.0104274
2008	0.2303600	0.0081067	0.0000673	0.0002085	0.0255432	0.4220023	0.0045061
2009	0.2811200	0.0168321	0.0005097	0.0002095	0.0329420	0.5075949	0.0093560

Table 3.9. Summary of results for base and sensitivity runs for blacknose shark. R_0 is the number of age-1 pups at virgin conditions. SSF is spawning stock fecundity (sum of number at age times pup production at age). All biomass metrics are in kg, except for MSY (numbers). AICc is the Akaike Information Criterion for small sample sizes, which converges to the AIC statistic as the number of data points gets large. Sensitivity runs are: S1 (ranked indices), S2 (U-shape M), S3 (fishery-independent indices only), S4 (hierarchical index), S5 (low catch), and S6 (high catch). S4 does not have corresponding CVs because the Hessian could not be inverted.

	Base		S1		S2		S3		S4		S5		S6	
	Est	CV	Est	CV	Est	CV	Est	CV	Est	CV	Est	CV	Est	CV
AICc	2963.12		2890.92		3015.37		2887.30		2559.92		2693.25		2802.39	
Objective function	913.266		877.16		939.39		857.04		554.58		778.33		832.90	
SSF_{2009}/SSF_{MSY}	**0.53**	0.46	**0.42**	0.40	**0.53**	0.42	**0.52**	0.41	**2.20**		**1.68**	0.19	**0.80**	0.38
F_{2009}/F_{MSY}	**1.21**	0.54	**1.52**	0.47	**2.62**	0.54	**1.22**	0.47	**0.14**		**0.28**	0.36	**0.92**	0.38
N_{2009}/N_{MSY}	0.61	-	0.49	-	0.46	-	0.60	-	1.82		1.60	-	0.84	-
MSY	51443	-	50478	-	83744	-	51362	-	172249		34330	-	122971	-
SPR_{MSY}	0.55	0.21	0.55	0.17	0.81	0.08	0.55	0.19	0.55		0.54	0.09	0.55	0.18
F_{MSY}	0.232	-	0.240	-	0.080	-	0.232	-	0.278		0.395	-	0.145	-
SSF_{MSY}	273810	-	267420	-	530310	-	273200	-	863320		168930	-	682630	-
N_{MSY}	319006	-	310784	-	615285	-	318479	-	897019		185231	-	825117	-
F_{2009}	0.28	0.54	0.36	0.47	0.21	0.54	0.28	0.47	0.04		0.11	0.36	0.13	0.38
SSF_{2009}	146230	0.19	112040	0.22	280870	0.19	142770	0.20	1899500		283480	0.03	547590	0.16
N_{2009}	232839	-	186222	-	308940	-	229651	-	2089091		338634	-	815440	-
SSF_{2009}/SSF_0	0.19	0.18	0.15	0.20	0.24	0.15	0.19	0.18	0.80		0.60	0.03	0.29	0.13
B_{2009}/B_0	0.21	0.17	0.16	0.20	0.20	0.16	0.21	0.17	0.70		0.55	0.03	0.31	0.11
R_0	159440	0.05	156070	0.04	301920	0.06	159350	0.05	504640		100000	0.00	398440	0.05
Pup-survival	0.75	-	0.75	-	0.40	-	0.75	-	0.75		0.75	-	0.75	-
alpha	3.51	-	3.51	-	1.55	-	3.51	-	3.51		3.51	-	3.51	-
steepness	0.47	-	0.47	-	0.28	-	0.47	-	0.47		0.47	-	0.47	-

Table 3.10. Summary of results for continuity run and 2007 base run for blacknose shark. R_0 is the number of age-1 pups at virgin conditions. SSF is spawning stock fecundity (sum of number at age times pup production at age). All biomass metrics are in kg, except for MSY (numbers). AICc is the Akaike Information Criterion for small sample sizes, which converges to the AIC statistic as the number of data points gets large.

	Continuity		2007 Base	
	Est	CV	Est	CV
AICc	4014.64		3700.67	
Objective function	1495.42		1385.18	
SSF_{cur}/SSF_{MSY}	**0.56**	0.75	**0.48**	0.67
F_{cur}/F_{MSY}	**2.78**	1.57	**3.77**	0.83
N_{cur}/N_{MSY}	0.57	-	0.48	-
MSY	93398	-	89415	-
SPR_{MSY}	0.69	0.15	0.71	0.38
F_{MSY}	0.07	-	0.07	-
SSF_{MSY}	356130	-	349060	-
N_{MSY}	590691	-	570753	-
F_{cur}	0.21	1.57	0.24	0.83
SSF_{cur}	198110	0.67	168140	0.75
N_{cur}	437078	-	349308	-
SSF_{cur}/SSF_0	0.23	0.55	0.20	0.65
B_{cur}/B_0	0.21	0.60	0.17	0.68
R_0	332180	0.19	317590	0.19
Pup-survival	0.82	0.23	0.78	0.23
alpha	2.13	-	2.02	-
steepness	0.35	-	0.34	-

cur = 2009 for continuity, 2005 for Base 2007 assessment

3.3. FIGURES

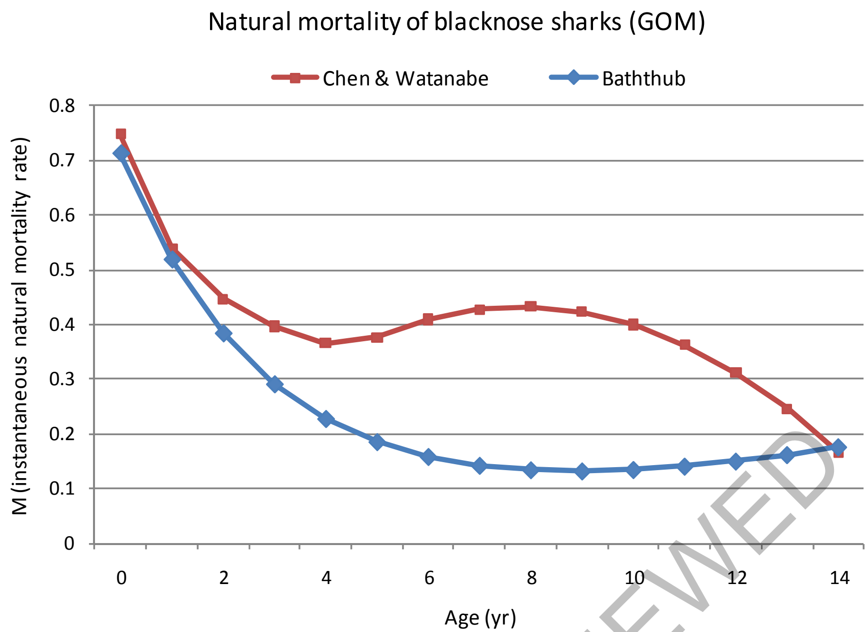


Figure 3.1. Natural mortality at age derived from the Chen and Watanabe (1989) and "bathtub" methods. The "bathtub" method was used to approximate the values of the Chen and Watanabe method while providing a better U shape.

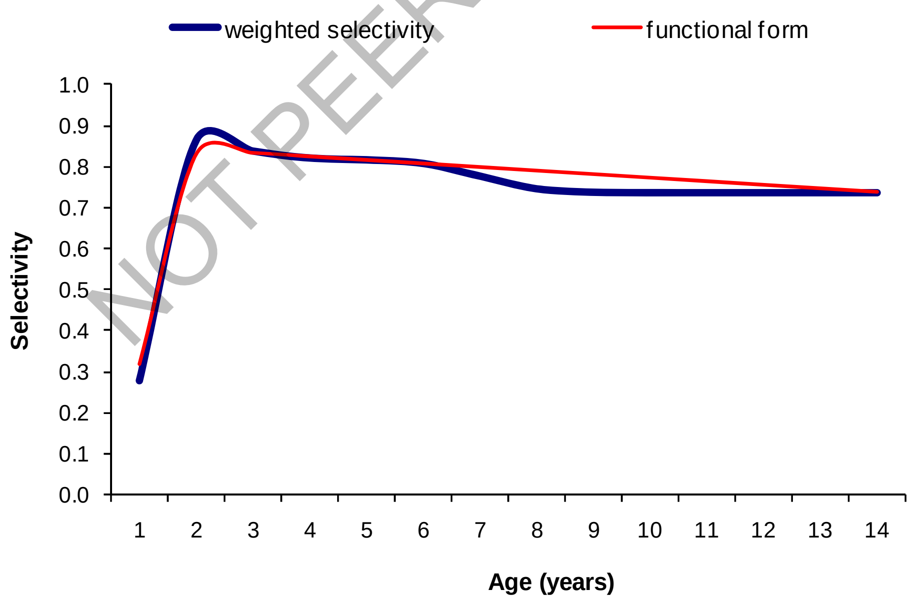
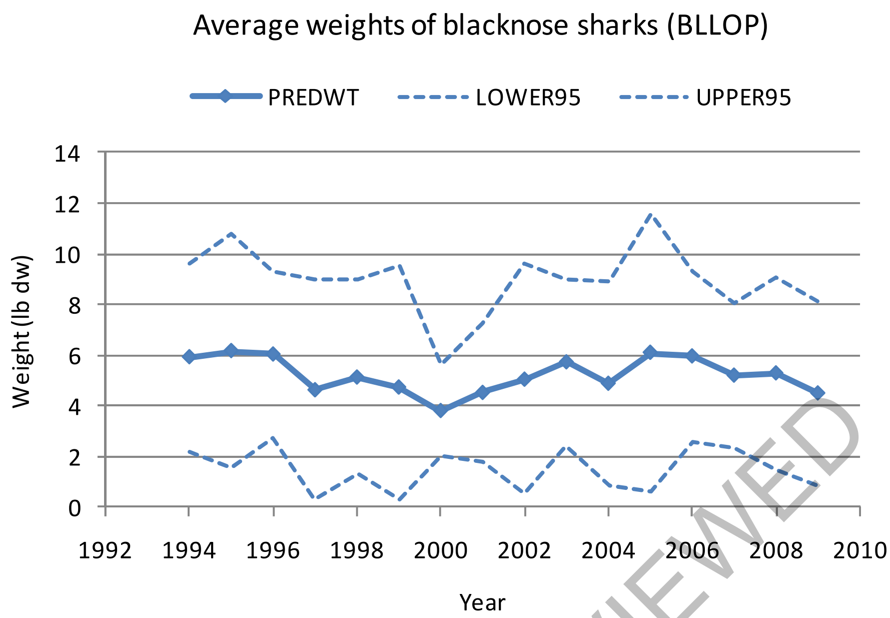


Figure 3.2. Selectivity for the hierarchical index. "Weighted selectivity" is the selectivity obtained by weighting the selectivities of the base run indices by the inverse variance selectivity weights reported in SEDAR-21-AW-01; "functional form" is an approximation of the weighted selectivity for input into sensitivity scenario 4.

A



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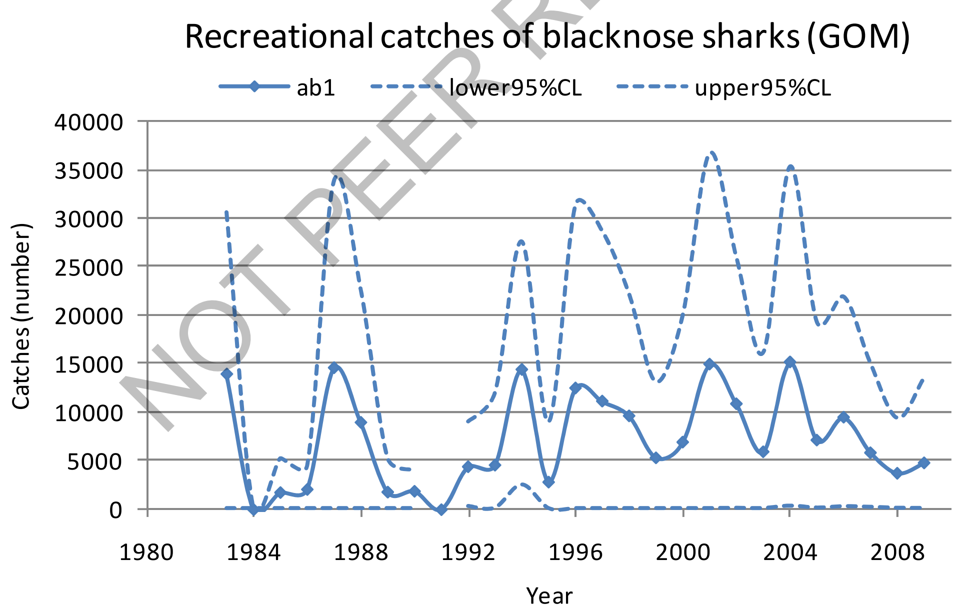


Figure 3.3. A) Average weights of blacknose shark from the bottom longline observer program showing mean and upper and lower 95% CIs; B) Recreational catches of blacknose shark in the Gulf of Mexico (sum of animals landed and discard dead) showing mean and upper and lower 95% CIs.

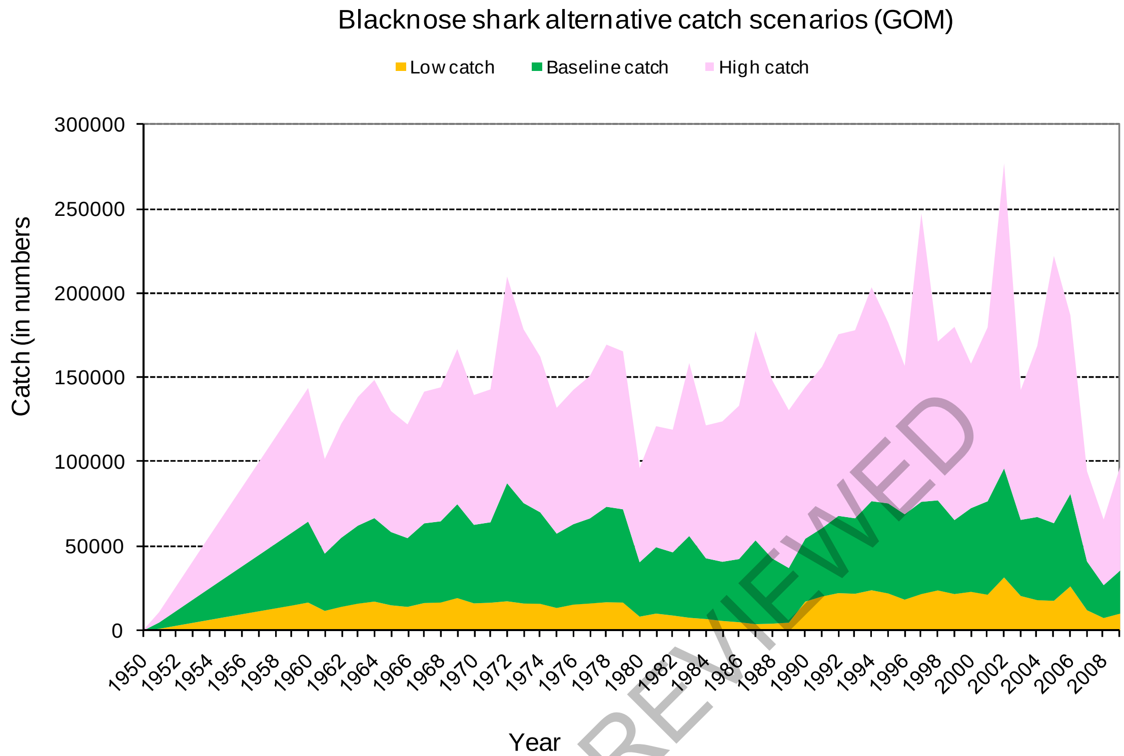


Figure 3.4. Low and high catch estimates for blacknose shark used in sensitivity scenarios 5 and 6. Catch series are stacked.

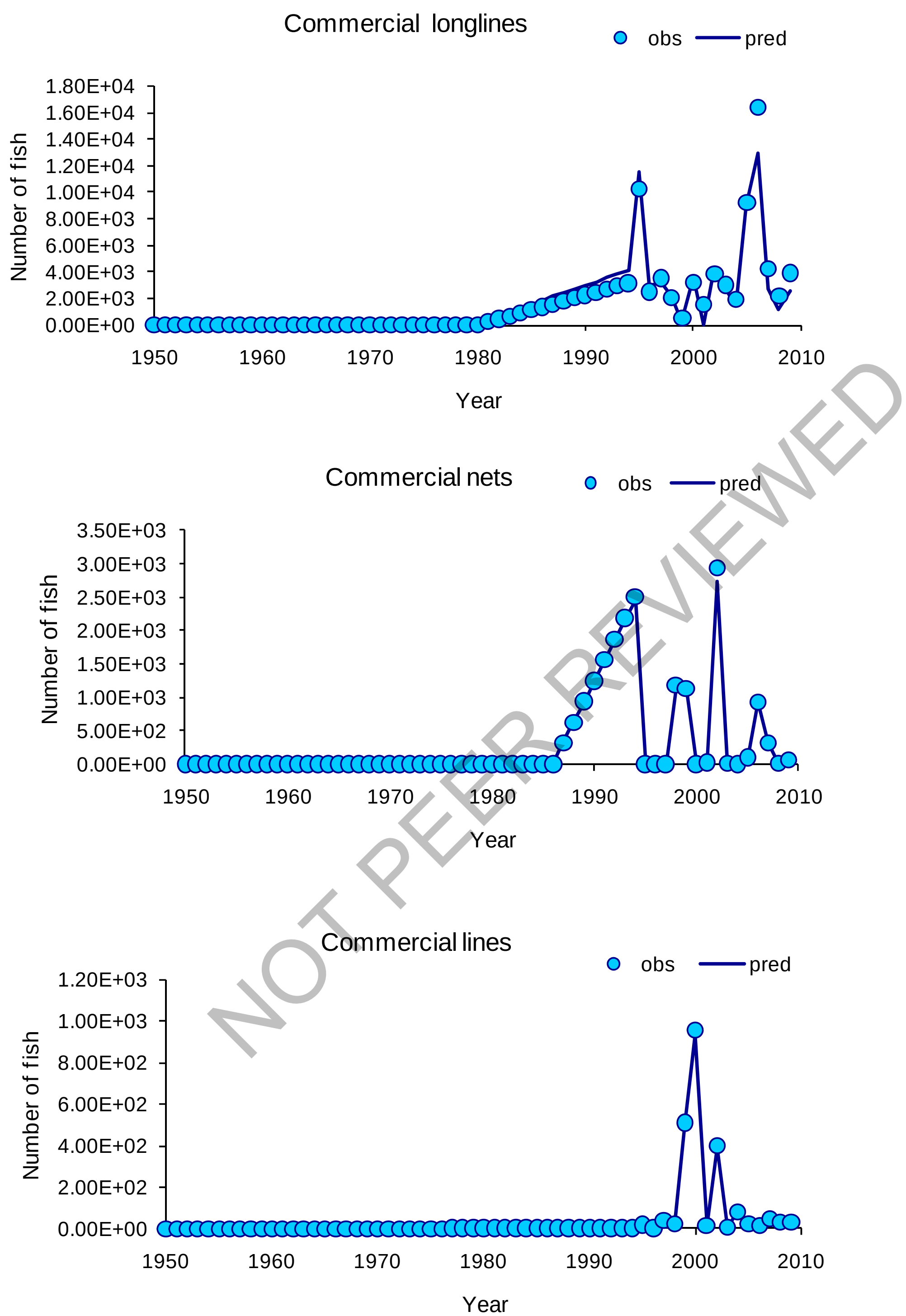


Figure 3.5. Predicted fits to catch data for the base run.

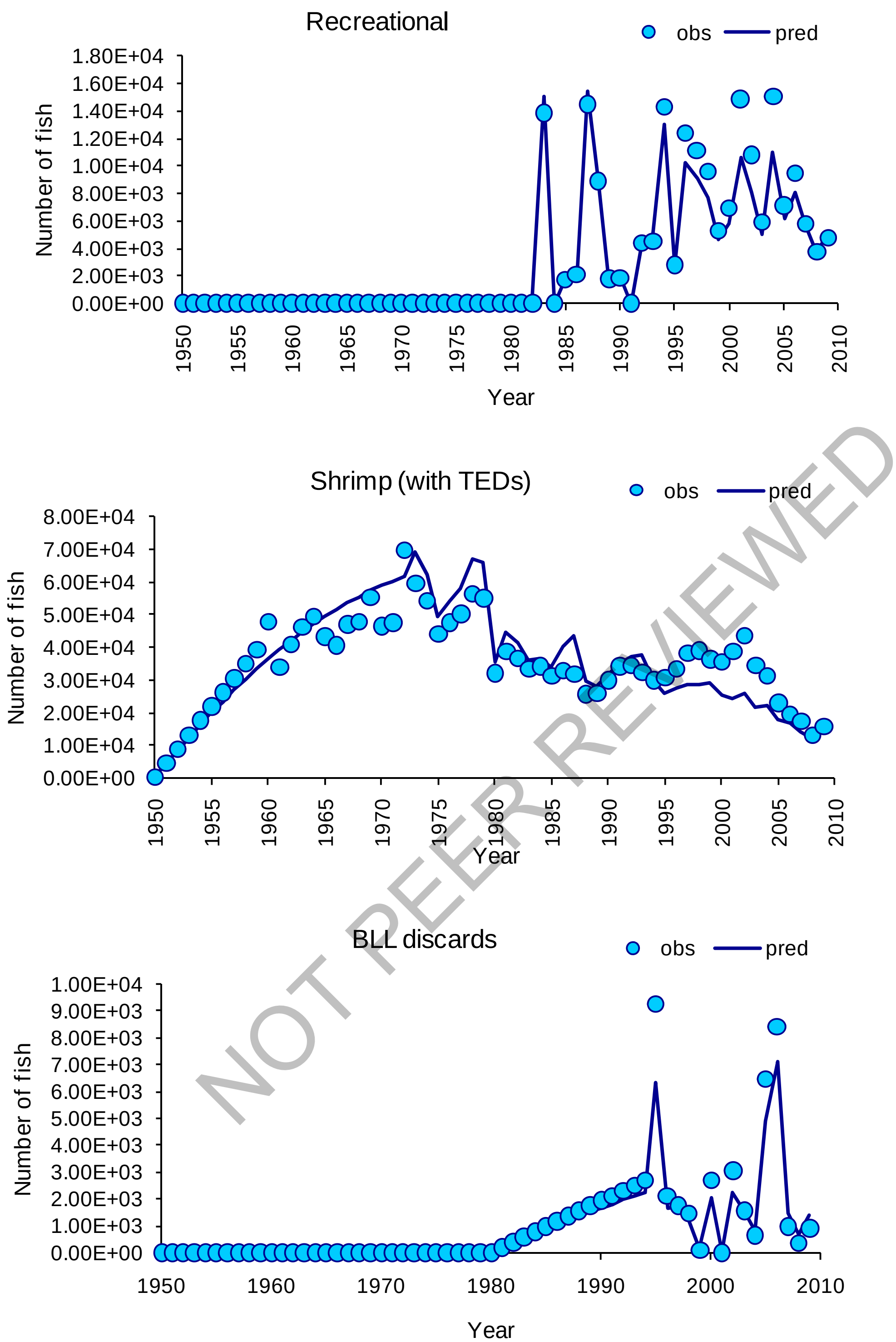


Figure 3.5 (continued). Predicted fits to catch data for the base run.

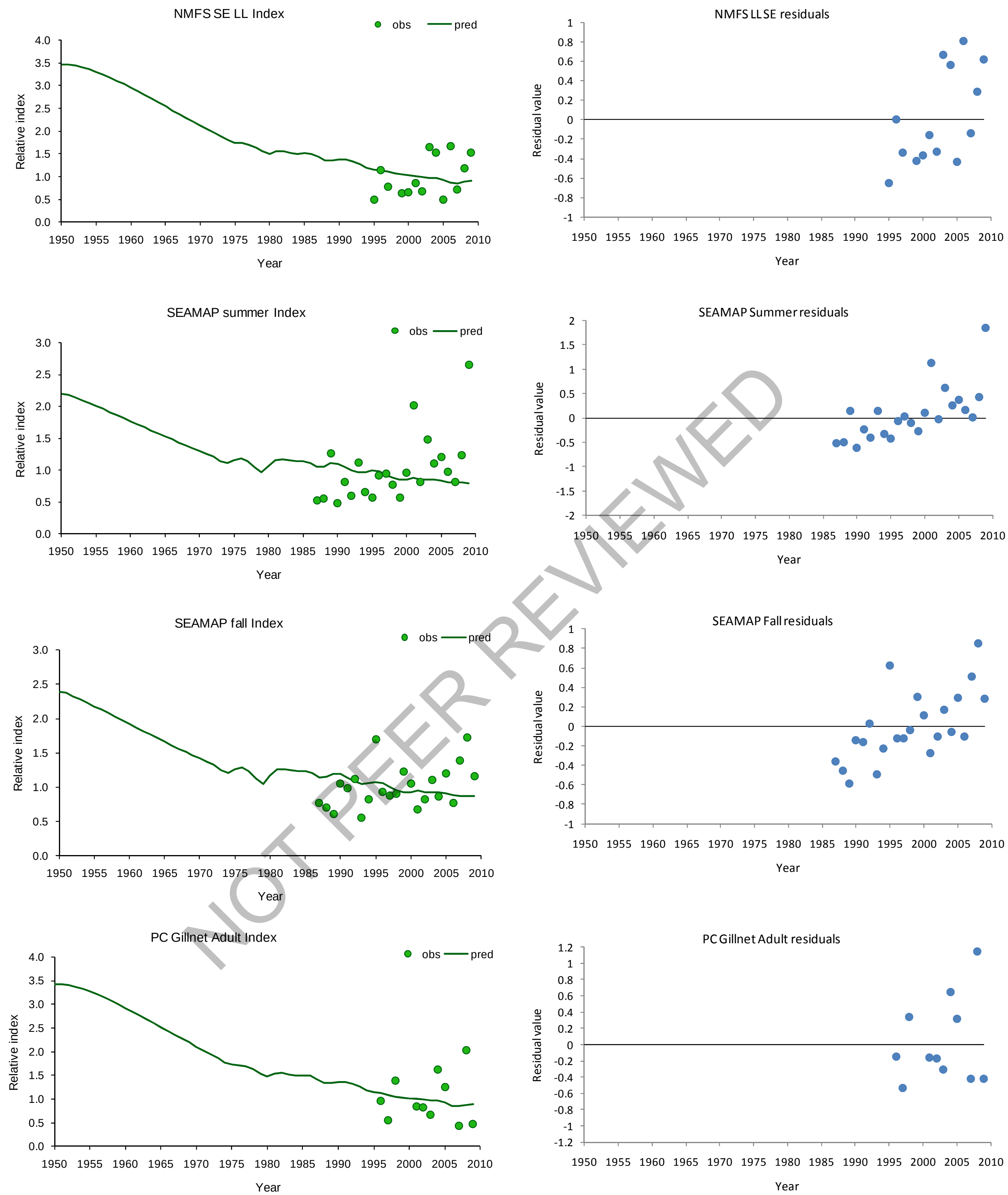


Figure 3.6. Predicted fits to indices (left) and residual plots (right) for the base run.

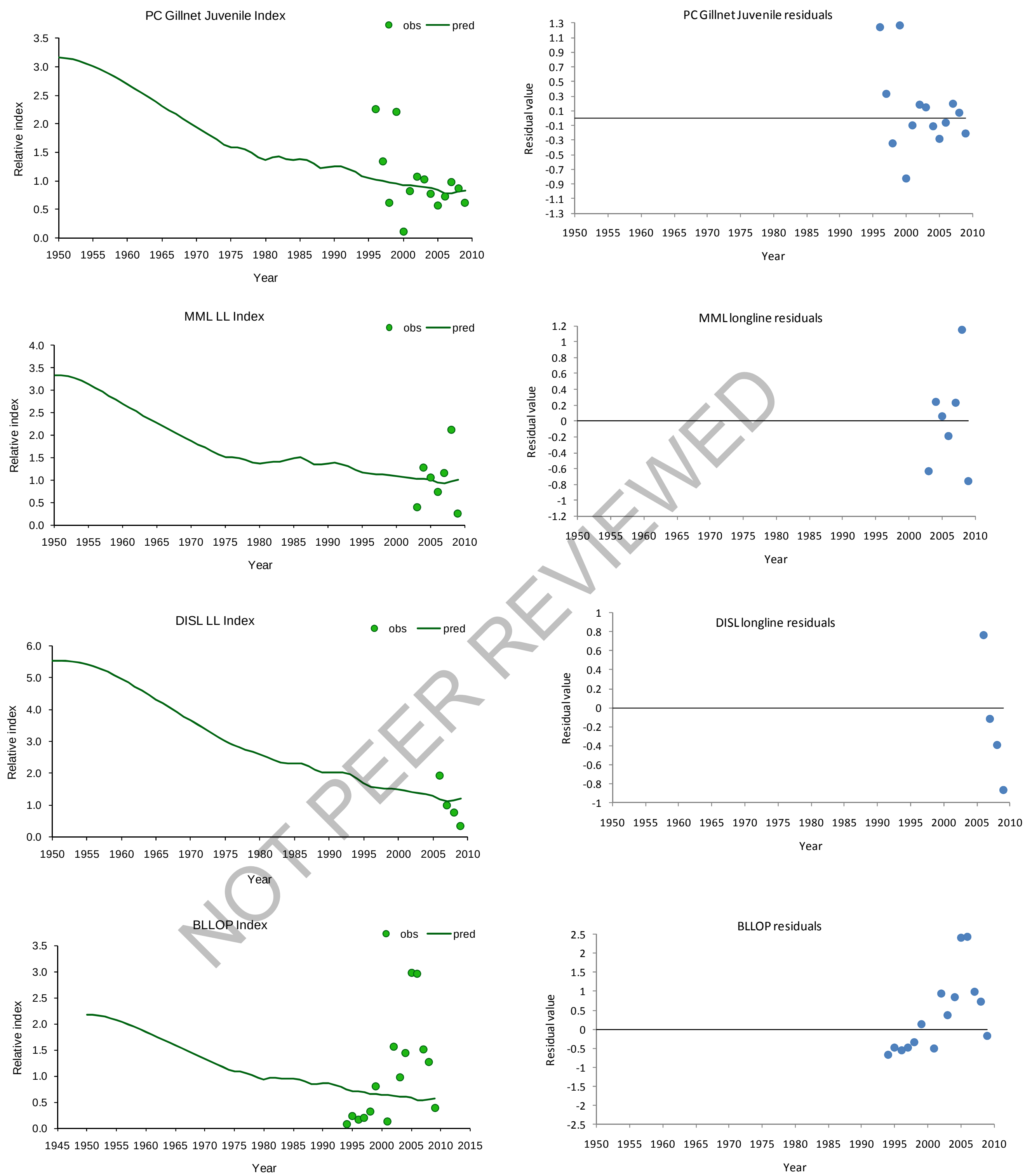


Figure 3.6 (continued). Predicted fits to indices (left) and residual plots (right) for the base run.

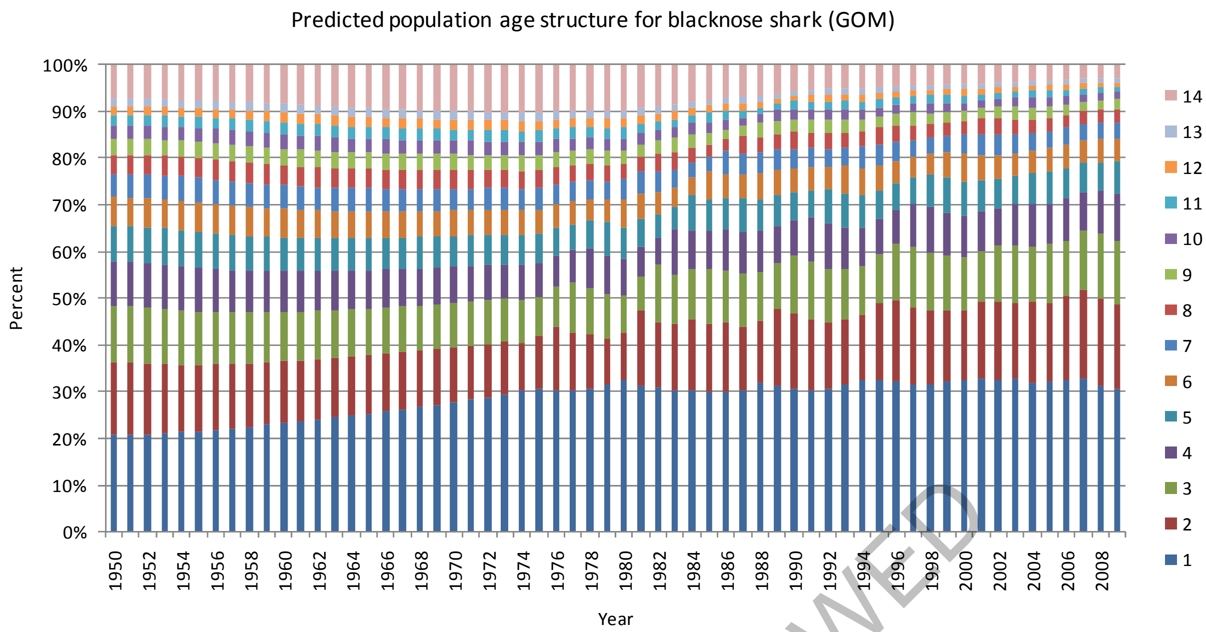


Figure 3.7. Predicted abundance at age for blacknose shark.

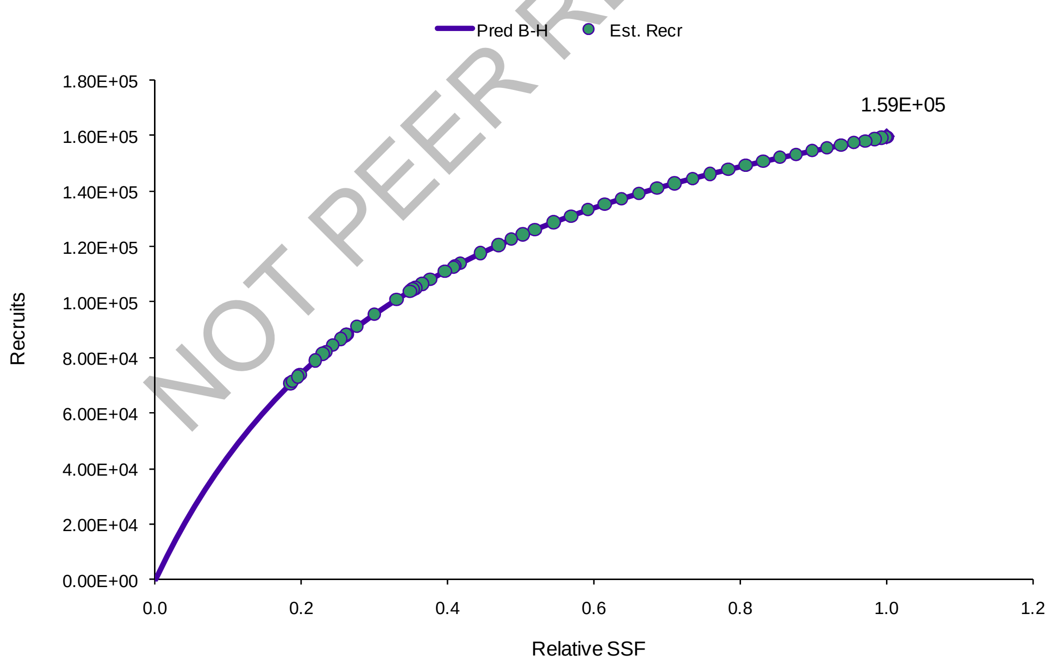


Figure 3.8. Predicted and "observed" Beverton-Holt recruitment (number of age-1 pups) for blacknose sharks at different levels of SSB depletion. The label shows the estimated virgin number of (age-1) recruits.

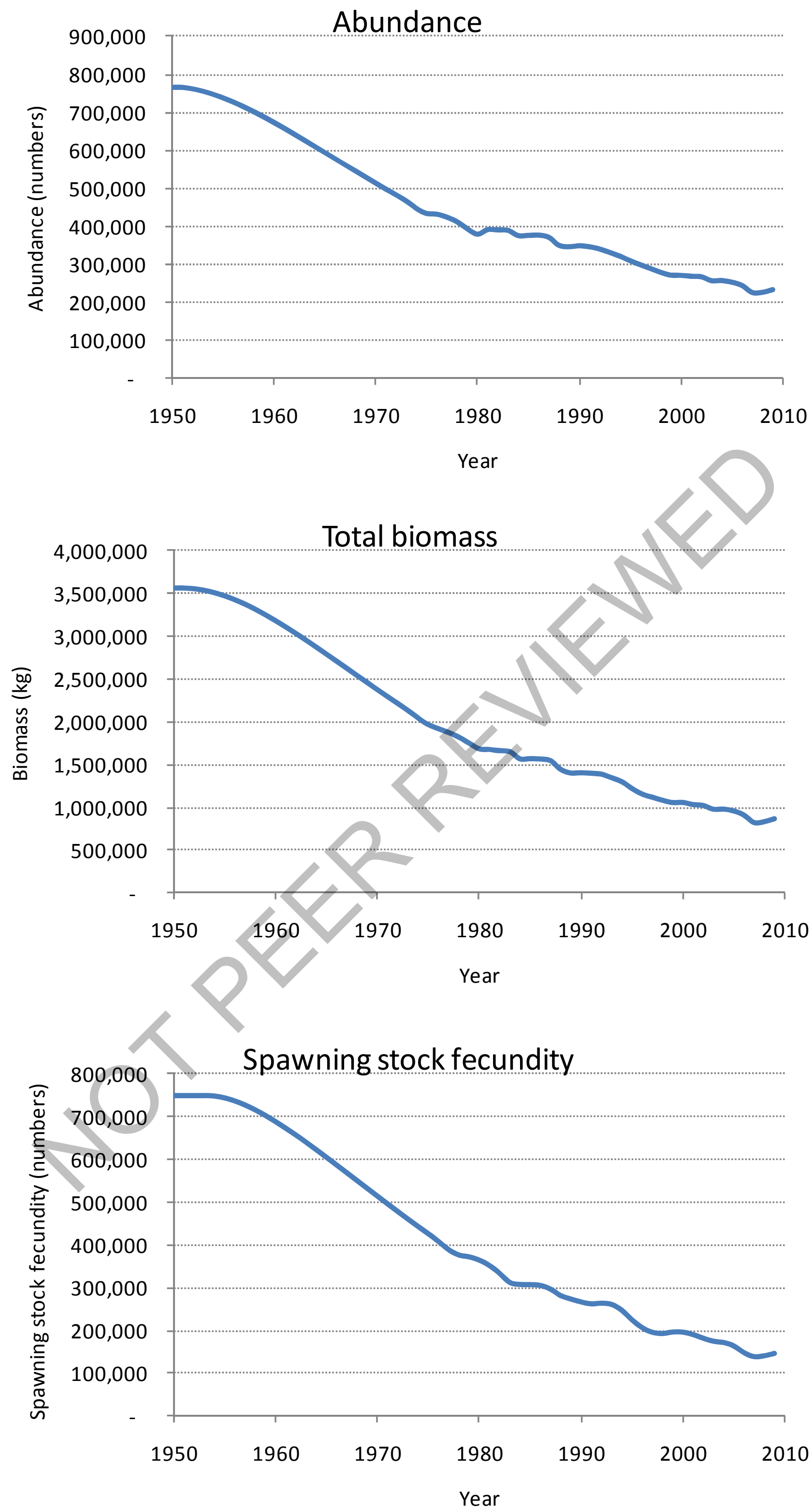


Figure 3.9. Predicted abundance, total biomass, and spawning stock fecundity trajectories for blacknose sharks.

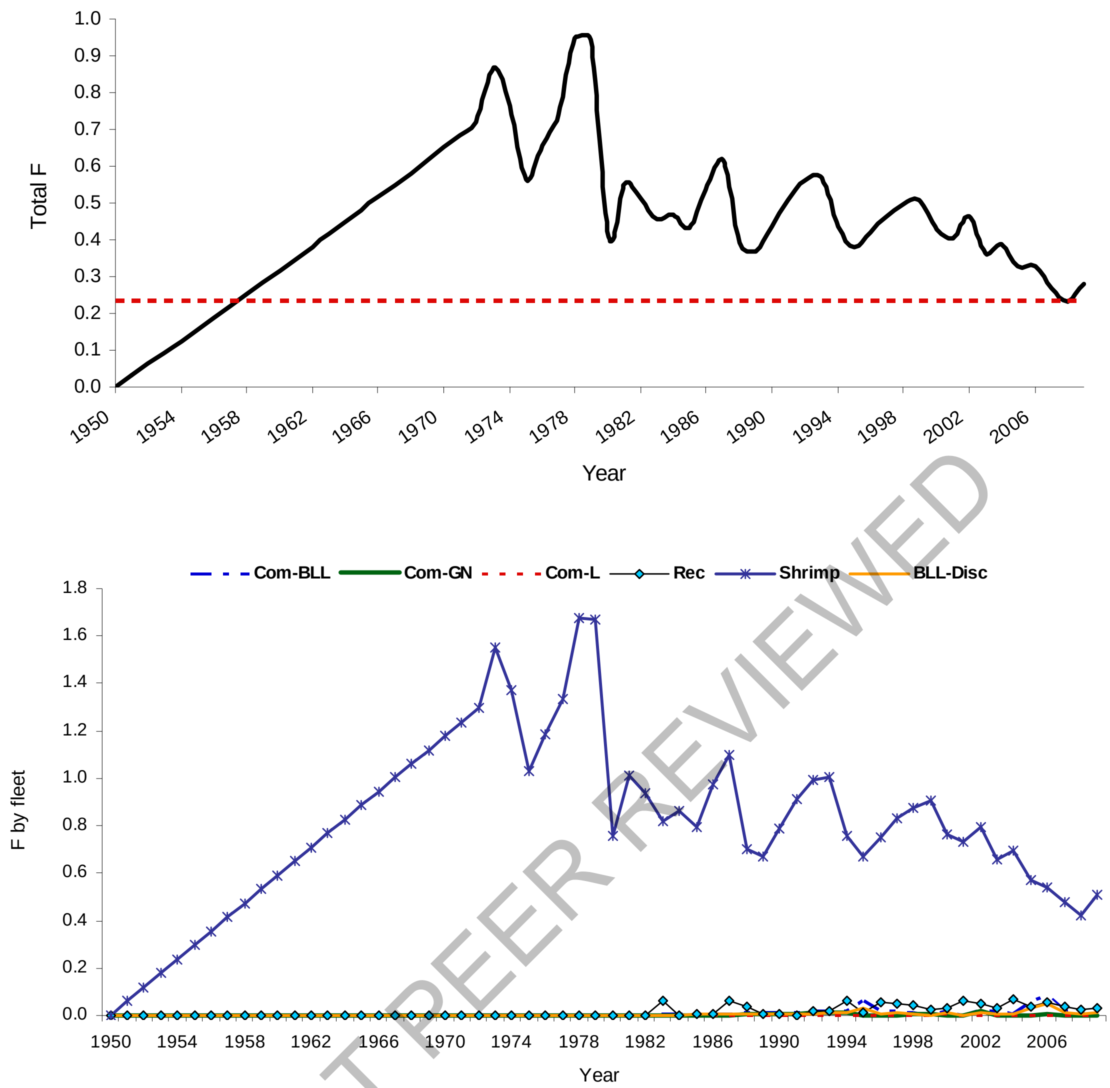


Figure 3.10. Estimated total fishing mortality (top) and fleet-specific F (bottom) for blacknose shark. The dashed line in the top panel indicates F_{MSY} (0.232).

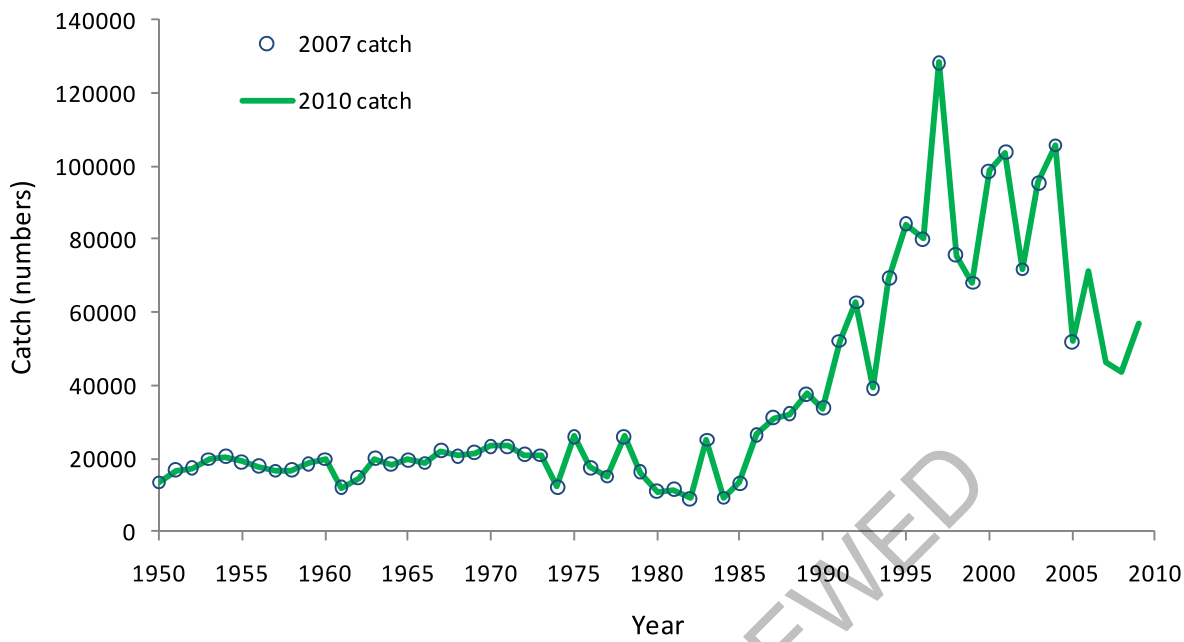


Figure 3.11. Comparison of catch streams used in the 2007 assessment and in the current continuity analysis.

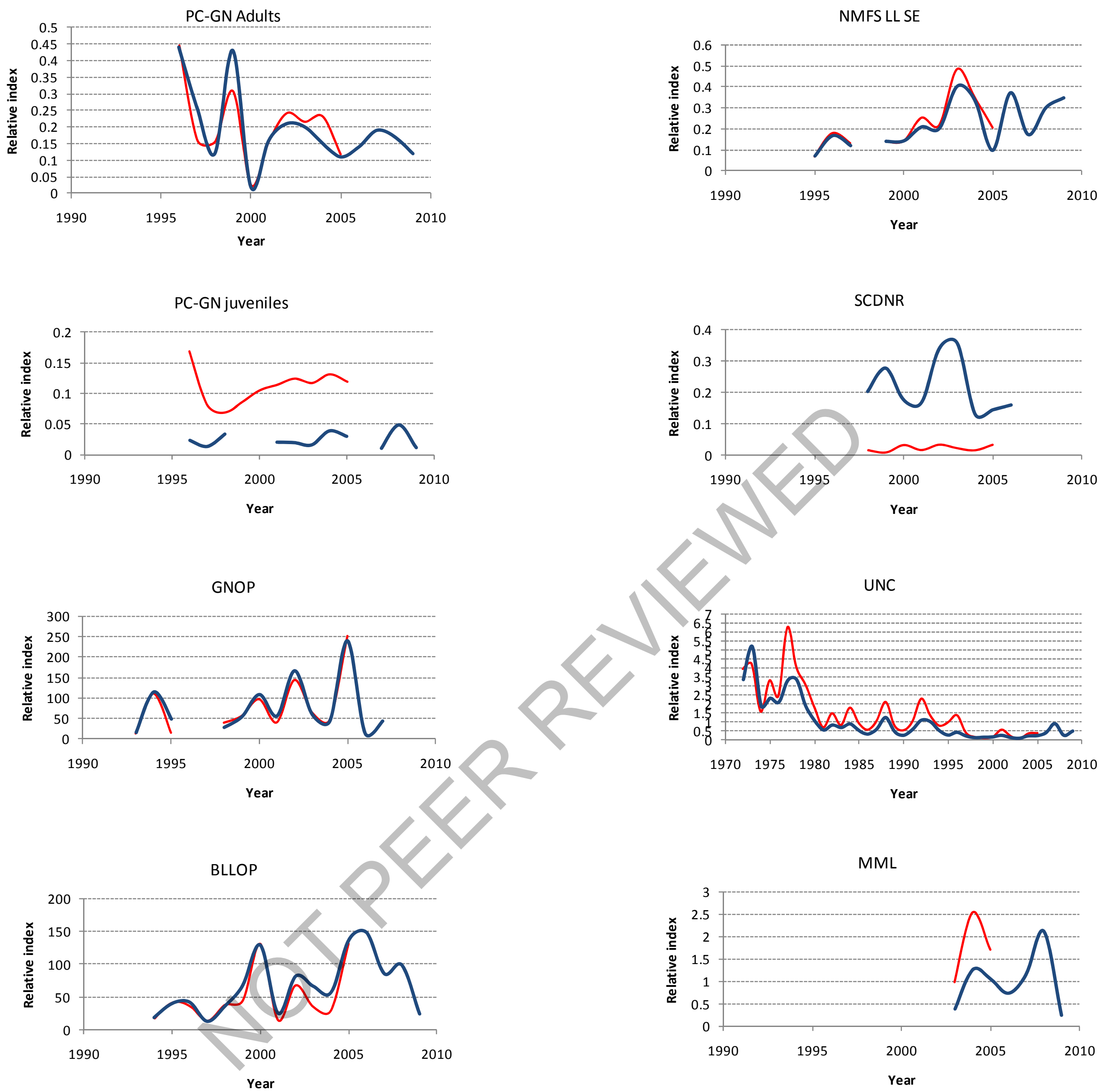


Figure 3.12. Indices used in the 2007 assessment (thin red line) vs. current continuity analysis (thick black line).

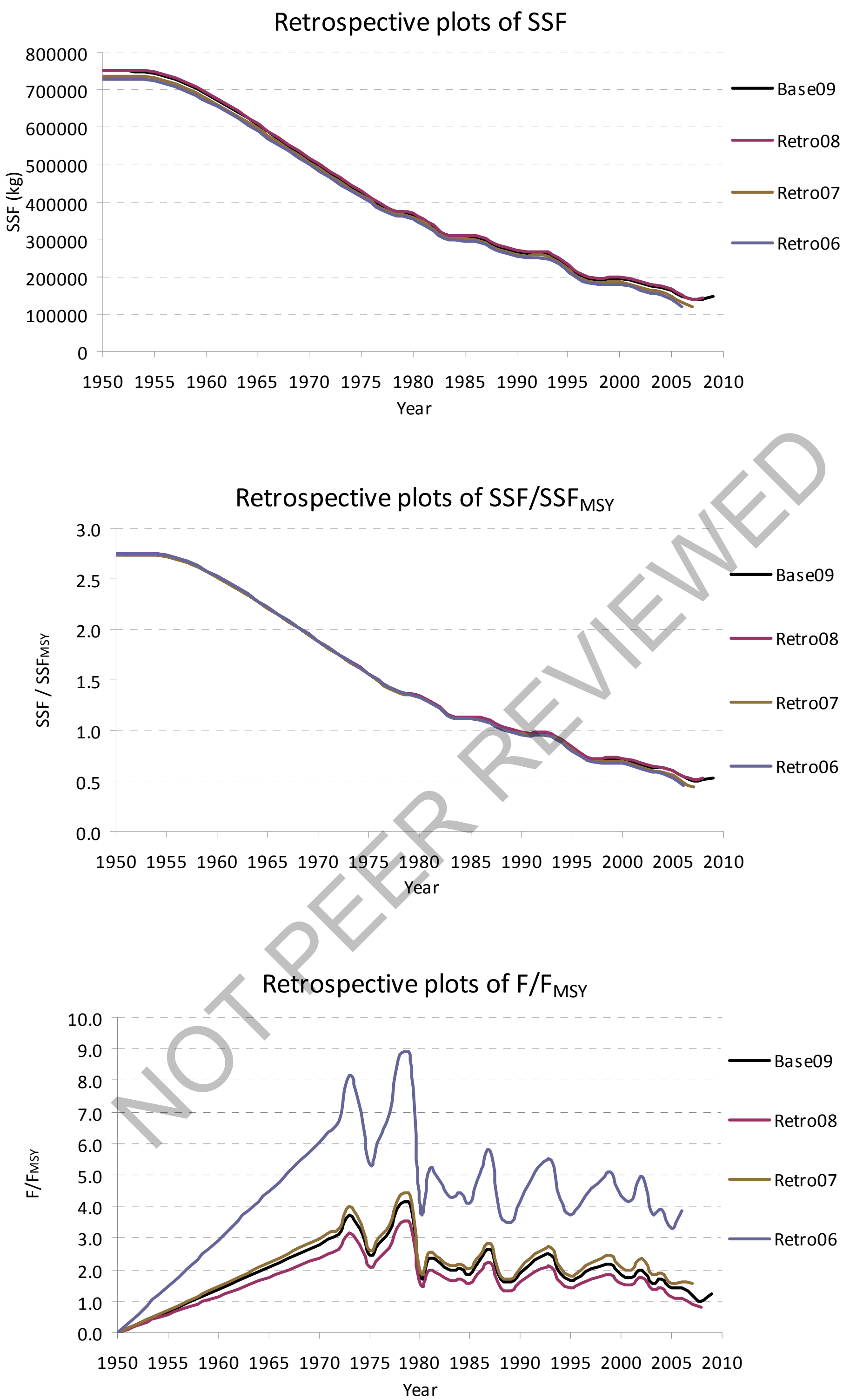
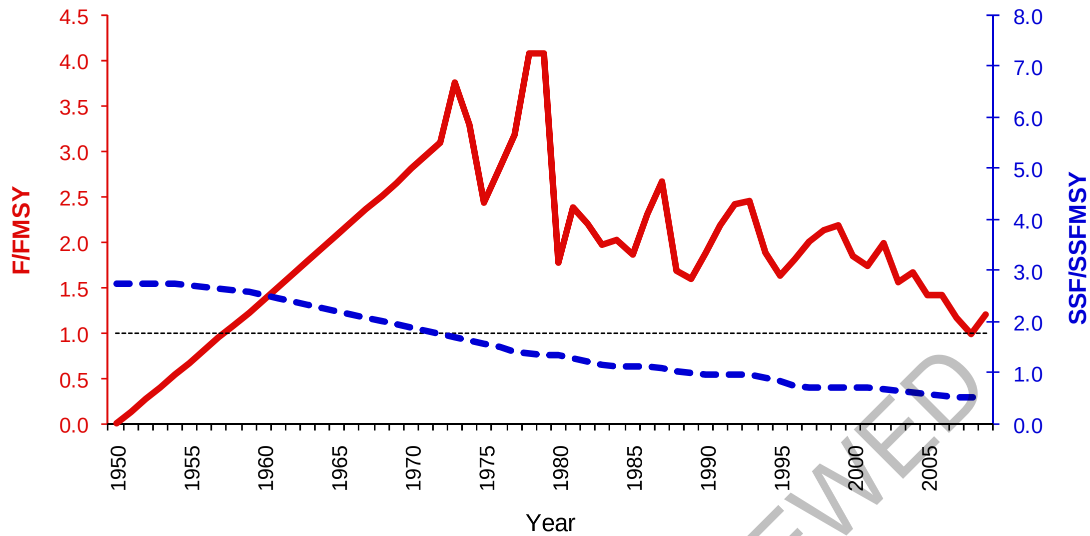


Figure 3.13. Retrospective analysis for blacknose shark with last three years of data sequentially removed from the model. Model quantities examined include spawning stock fecundity (top), relative spawning stock fecundity (middle), and relative fishing mortality rate (bottom).

A



B

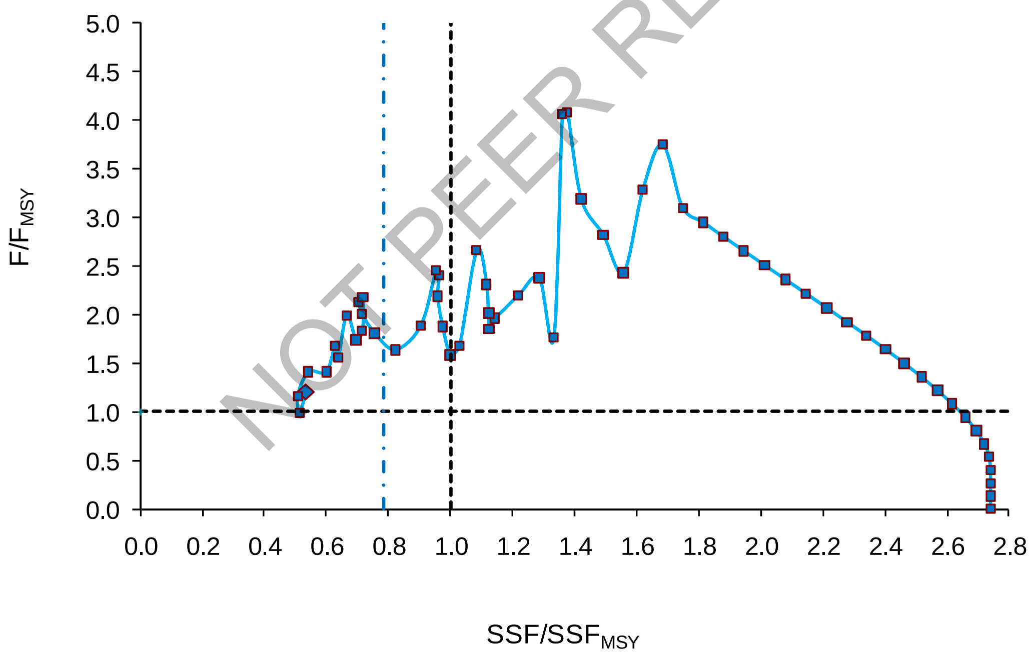


Figure 3.14. (A) Estimated relative biomass and fishing mortality rate trajectories for blacknose shark in the base run. The dashed line indicates F_{MSY}. (B) Phase plot of relative biomass and fishing mortality rate by year. The diamond indicates current (for 2009) conditions. The dashed vertical blue line indicates MSST ((1-M)*B_{MSY}).

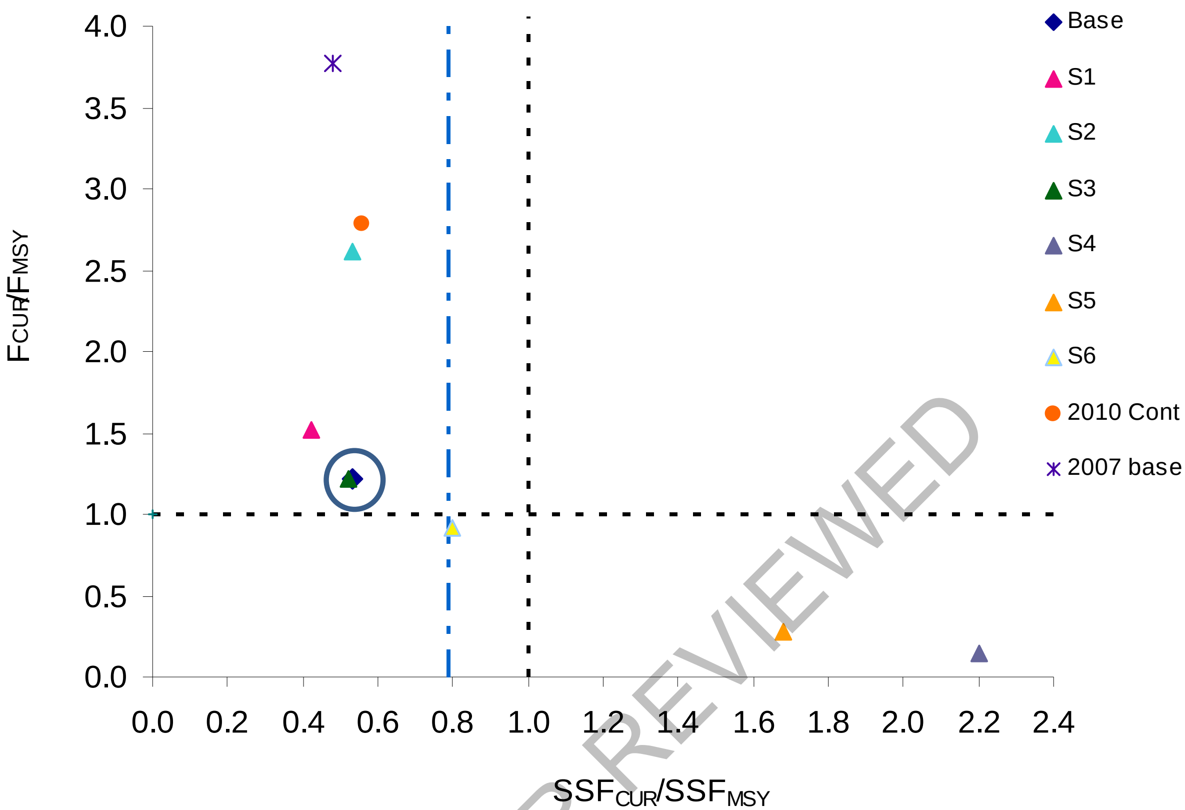


Figure 3.15. Phase plot of blacknose shark stock status. Results are shown for the base model (base), 6 sensitivity scenarios (S1: ranked indices; S2: U-shaped M; S3: fishery-independent indices only; S4: hierarchical index; S5: low catch; S6: high catch), continuity analysis (2010 Cont), and 2007 assessment base models (2007 base). The circle indicates the position of the base run, which overlaps with that of sensitivity run 3. Points to the left of the vertical dashed line indicate runs in which the stock is estimated to be overfished; points above the horizontal black line indicate runs in which overfishing is estimated to be occurring.

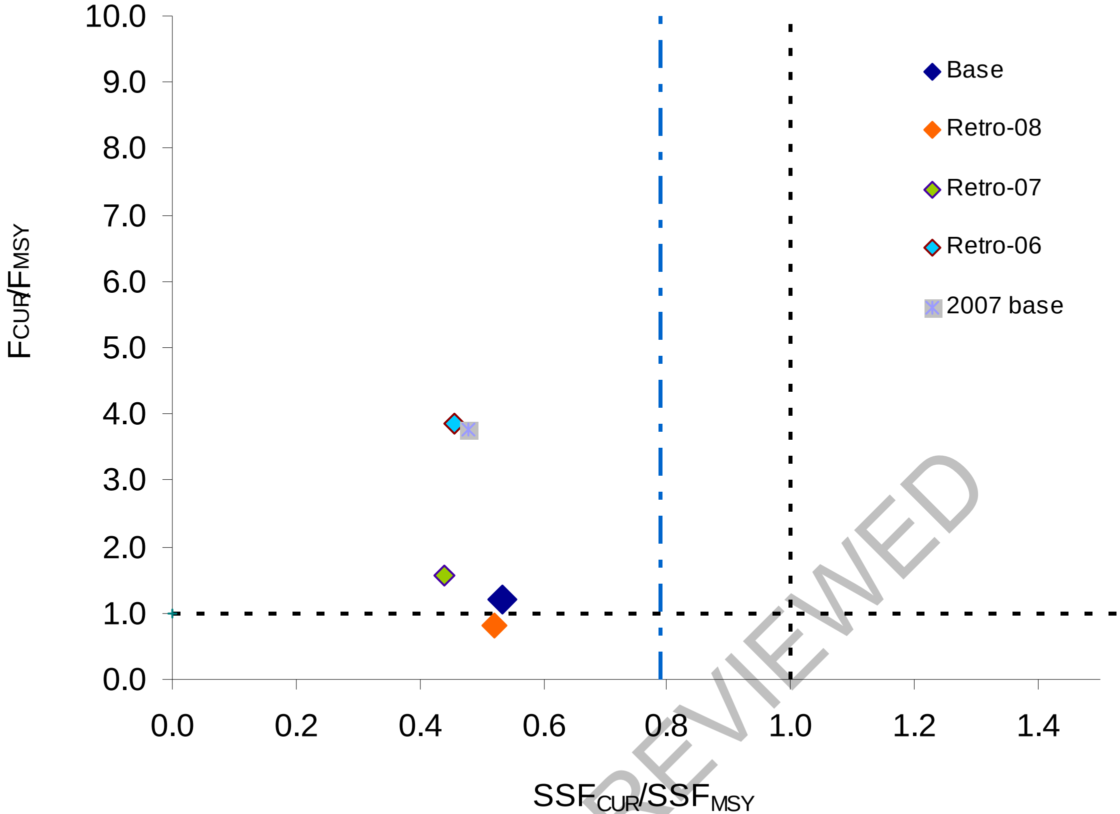


Figure 3.16. Phase plot of blacknose shark stock status for the base model (base), retrospective analysis (sequentially dropping one year from the model: retro08, retro07, and retro06), and 2007 assessment base model (2007 base). Points to the left of the vertical dashed line indicate runs in which the stock is estimated to be overfished; points above the horizontal black line indicate runs in which overfishing is estimated to have occurred.

3.4. Appendix 1. Algorithm used to estimate selectivities (implemented in MS Excel).

1. Obtain age-frequencies
2. Identify age of full selectivity. You should expect to see the age frequency bar chart increase with age to a modal age (*age_full*), after which it begins to decline again. One can assume that *age_full* is the age which is fully selected
3. Calculate the observed proportion at age: Obs[prop.CAA] = freq(age)/Total_samples
4. Take the natural log of observed proportion at age, plot age against it, and fit a trend line
5. Use the fitted trend line to predict expected proportion at age, E[prop.CAA]=exp(trend line)
6. Use the ratio of Obs[prop.CAA]/E[prop.CAA] to estimate the non-fully selected ages (i.e. selectivity of ages < *age_full*)
7. Normalize the column of Obs/Exp by dividing by the ratio value for *age_full* (this will scale ages so that the maximum selectivity will be 1 for *age_full*)
8. The age frequency for ages > *age_full* should decline as a result of natural mortality alone. If natural mortality is relatively constant for those ages, this should be a linear decline when you look at the log(Obs[prop.CAA]). If that decline departs severely from a linear trend, it may be that true selectivity is dome-shaped. Also, you may know because of gear characteristics that selectivity is lower for older animals. In this instance, a double logistic could be estimated to capture the decline in selectivity for the older animals
9. Fit a logistic curve (or alternatively a double logistic curve) by least squares by minimizing the sum of squared residuals of the expected value and the normalized Obs/Exp value
10. If the resulting fitted curve does not cover the ages as expected according to "expert" knowledge, manipulate parameter values to satisfaction ("fit by eye")

SEDAR

Southeast Data, Assessment, and Review

SEDAR 21

HMS Gulf of Mexico Blacknose Shark

SECTION IV: Research Recommendations

SEDAR
4055 Faber Place Drive, Suite 201
North Charleston, SC 29405

1. DATA WORKSHOP RESEARCH RECOMMENDATIONS

1.1 LIFE HISTORY WORKING GROUP

- Increase research on post-release survivorship of all shark species by gear type
- Update age and growth and reproductive studies of blacknose sharks, with emphasis on smaller individuals in the Atlantic and larger individuals in the Gulf of Mexico. Additionally, more information on litter size and reproductive periodicity is needed for blacknose sharks.
- Population level genetic studies on blacknose for stock discrimination(s).
- Develop empirically based estimates of natural mortality
- Continue tagging efforts

1.2 COMMERCIAL STATISTICS WORKING GROUP

The current level of shrimp trawl observer coverage is inadequate to model shrimp bycatch and catch rates with reasonable levels of uncertainty. The bycatch in the shrimp fishery also contains protected species and species of concern. With the current level of coverage, it is very difficult to statistically estimate bycatch of those rare species. More coverage would allow for better estimates of rare species, both protected and otherwise. We recommend the expansion of the observer program towards a goal of 2 to 5 % of the total effort. The recommended coverage levels are common in other observer programs, and have proved adequate for multiple types of statistical analysis. We recommend the program strive for even spatial coverage (particularly adding more south Atlantic coverage), randomness in vessel selection, and full identification to species of elasmobranchs (continuing on from the 2009 Bycatch Characterization Protocol).

1.3 RECREATIONAL STATISTICS WORKING GROUP

No specific research recommendations were provided.

1.4 INDICES OF ABUNDANCE WORKING GROUP

Specific research recommendations, if provided, were given for each index.

2. CIE REVIEW RECOMMENDATIONS - DATA WORKSHOP

Conclusions and Recommendations

The Data Workshop provides a productive environment in which stakeholders and scientists can share knowledge to optimize the information available for assessment. It also serves as a mechanism where differences of opinion can be resolved before assessments are completed. The quality of science was high and appropriate for the purpose of stock assessment.

Compared with many stocks the availability of data are comparatively limited, especially in relation to catches, whether landings or discards. Although there is a large quantity of abundance index information the quality of these data is limited by the amount of fishery independent information or spatial coverage of the survey. Preliminary inspection of the indices at the meeting suggested that there was very little similarity of trends suggesting they have high uncertainty. There is a danger that the assessment might be driven arbitrarily by one of the time series if it happened to have low estimated CVs. I would **recommend that more exploratory analyses are done with the CPUE indices to try to identify those which contribute the most information on stock trends over the area of the assessment.** One possible line of analysis would be to use factor analysis to see if a common annual signal could be extracted from the suite of indices.

During the meeting some time was devoted to filling out a ‘report card’ for each series. In order to save time I **would recommend that the report card is completed by the author and that more time at the meeting is devoted to assessing the value of each time series for the assessment.** The latter should include participation by assessment analysts.

The catch data suffer from a high degree of uncertainty. As much of the uncertainty relates to historical records there is not much that can be done to improve them. However, I would **recommend that an analysis is performed to try to quantify the uncertainty in the time series of catch data.** This would help in characterizing the overall uncertainty in the assessment.

The frequency of spawning by female sharks may be an important factor in estimating the spawning potential of the stock. Biological examination of female sharks appears to be able to determine that some species spawn less often that annually but the actual frequency cannot yet be established. In the absence of definitive information on spawning frequency I would **recommend that female sharks are examined in the spawning period to determine the proportion of spawning females**. While this will not provide an estimate of spawning frequency, it may provide sufficient information to estimate annual spawning biomass.

Estimates of discard survival proved an area of disagreement between scientists and fishing industry representatives. This was in part a result of differing perceptions of the meaning of discard survival. It is important that such disagreements don't lead to negotiated values that have no scientific basis. It might be worth investing in further discussion with the industry to reach a common understanding of the parameter in question. It might also help if **a desk study was undertaken to examine whether the choice of discard survival has a significant bearing on the estimated status of the stock in relation to MSY reference points.** If the sensitivity of the assessments to this quantity is low, it might defuse some of the polarization over the chosen values.

There may be a case for assessment analysts at the workshop to be more active in commenting whether certain biological effects can usefully be incorporated into assessments. This might be because some biological phenomena that are statically significant in their own right have little importance in determining the assessment outcome or where added biological realism in an assessment model is negated by the added uncertainty in input parameter values.

3. ASSESSMENT WORKSHOP RESEARCH RECOMMENDATIONS

- Investigate alternative approaches to age-length keys for estimating age from length
- Improve observer coverage, particularly during regulatory or gear changes in the fishery.
- Longer time series for surveys will always aid the assessment process. However, it is equally important to maintain the sampling methods and document them well for the most appropriate statistical analyses to be applied to the data.
- More time was necessary to complete the data vetting process for this many species, and in the future we strongly recommend that no more than probably two stocks be assessed simultaneously with the same number of participants.

4. REVIEW PANEL RESEARCH RECOMMENDATIONS

TO BE COMPETED FOLLOWING THE REVIEW WORKSHOP